

The Swampland: a novel way to understand the implications UV completion?



Based on:

1. 1903.06239 review E. Palti
2. 2107.00087 review Graña, Herráez
3. 2106.07650 M. Montero, C. Vafa, T. Van Riet and G. Venken

1. Motivation

2. Swampland bound examples

3. The Festina Lente bound.

4. Pheno applications

5. Conclusions.

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- Go back 30 years in time:

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Now there is a paradigm shift, and it all started with the concept of “the Swampland” [Vafa, 2005]

Illustration in biology

The growth of leaves on a plant: helical structure upwards, average angle between leaves is golden angle. Distribution of seeds on a flower

Why?



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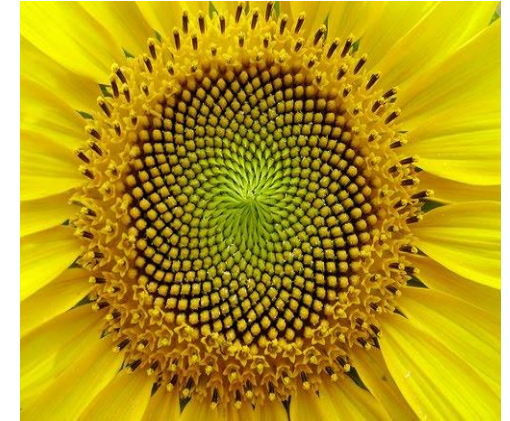
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Answer 1: Leaf distribution maximizes sunlight and rain absorption (Thompson, 1917)!
Seed distribution maximizes amount of seeds on a given surface! Survival principle (Darwin).

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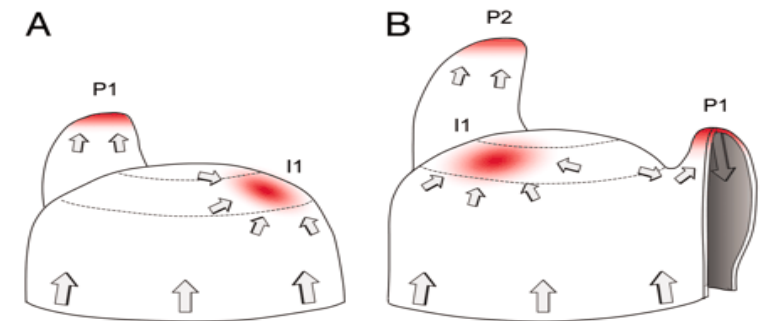
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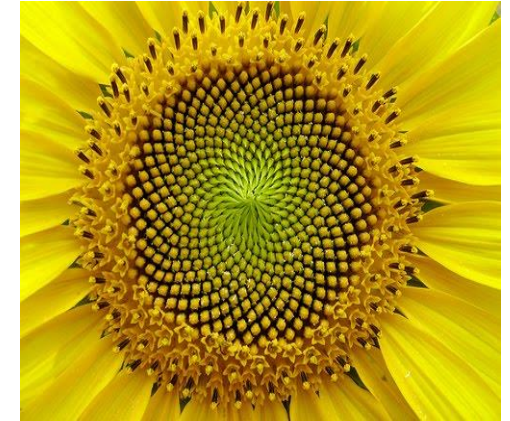
Answer 2: It is the only option. Microscopic models for (Van der Waals) forces on lumps of cells during growth gives golden angle (Douady & Couder, 1992).



(personal interpretation of chapter from *Mathematics of Life*, from Ian Stewart, 2011)

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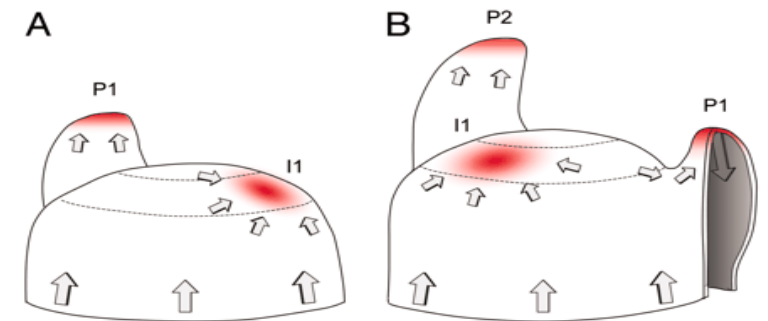
Why?

Answer 1: Leaf distribution maximizes light and rain absorption (Thompson, 1917)!
Seed distribution maximizes area on surface! Survival principle (Darwin).

LANDSCAPE

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SWAMPLAND



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The Swampland: Set of effective field theories **coupled to gravity** that cannot be UV completed”.



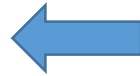
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The landscape: the complementary set



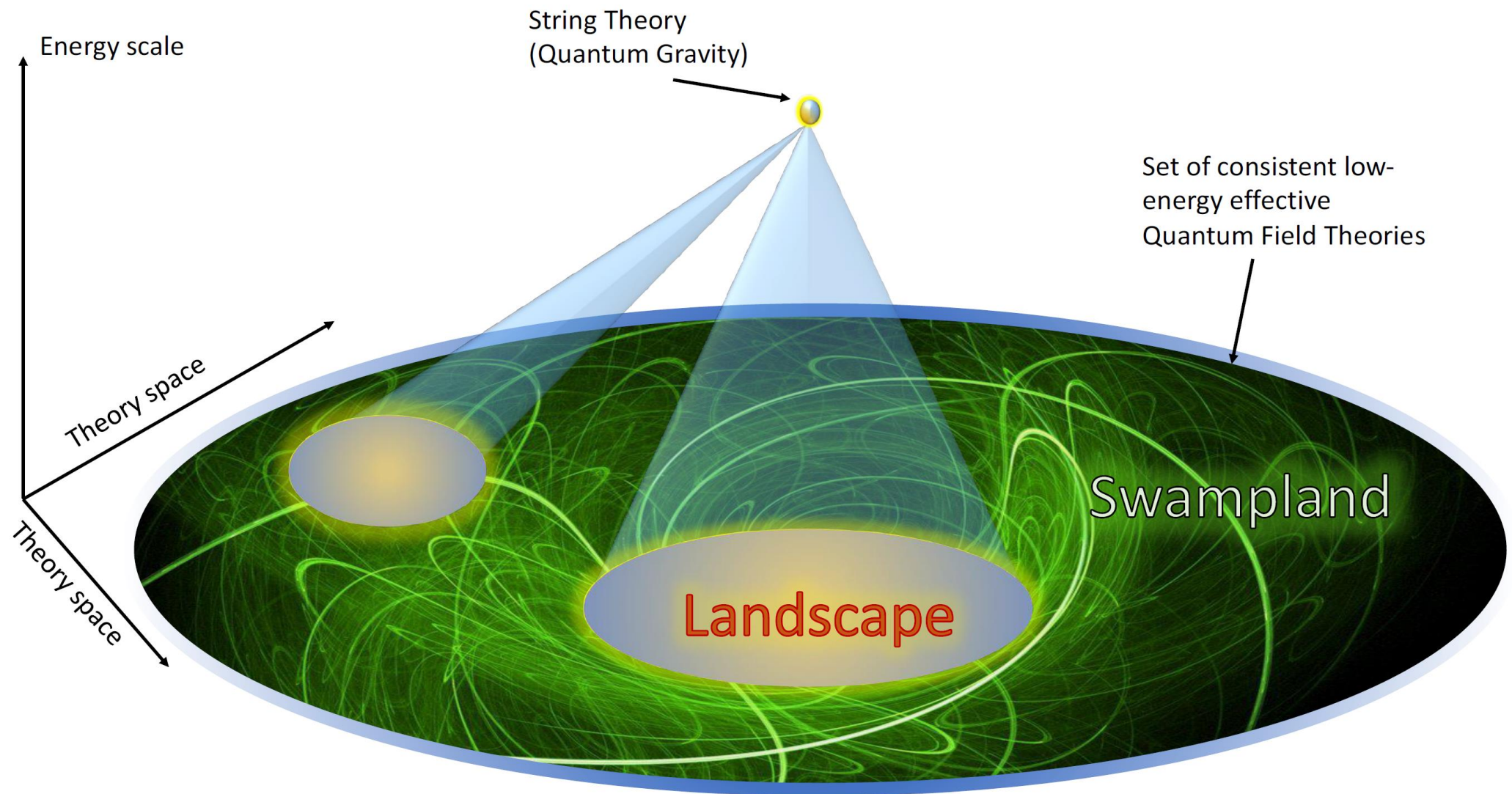
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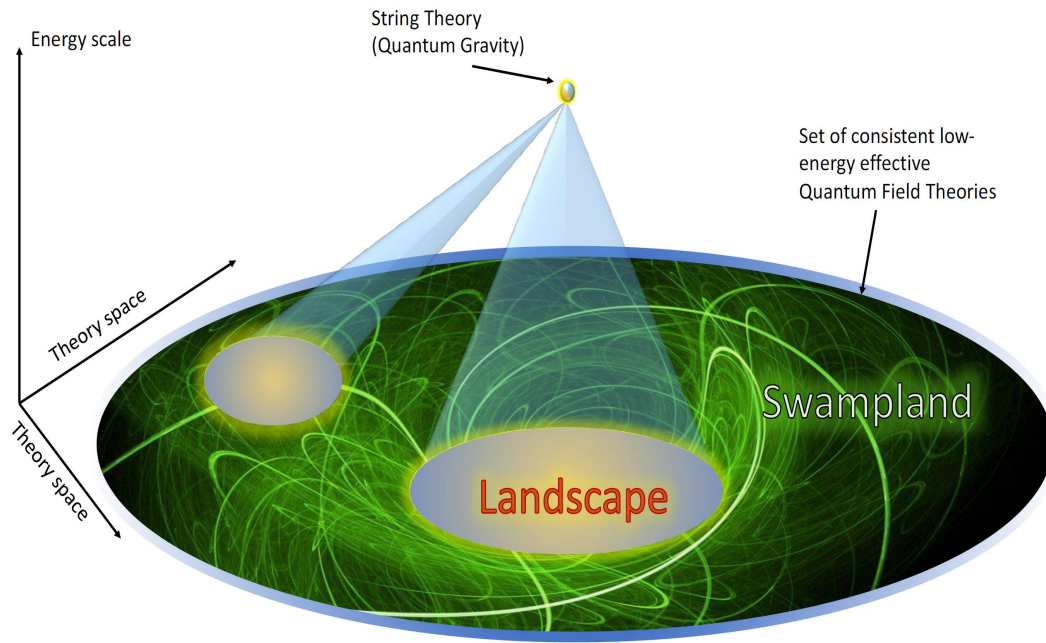
The landscape: the complementary set

Landscape: which effective field theories (EFTs) can we get from string theory (quantum gravity)?
Swampland: which EFTs can we **not** get?

Logically identical questions, “psychologically” different

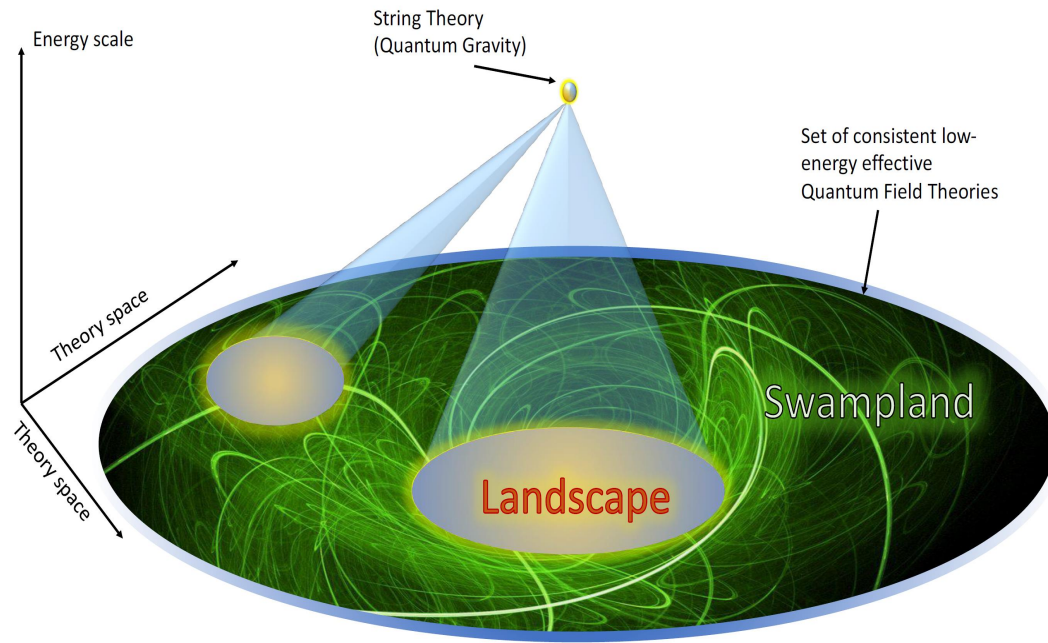


Taken from E. Palti 1903.06239



Instead of trying to “reverse engineer” effective field theories and arrive at an “almost anything goes” picture (landscape), we ask: ‘what is not allowed?’.

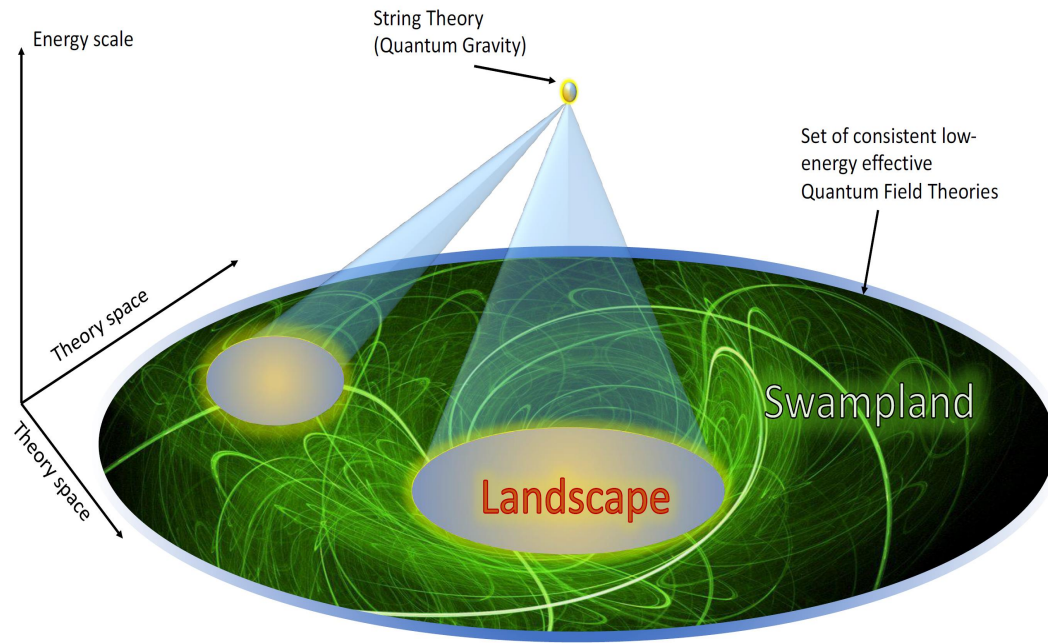
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- Keywords: **interdisciplinary** (pheno meets black hole physics, holography,...), **focusing on the ‘why’**, trying to find **patterns**.



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- Keywords: **interdisciplinary** (pheno meets black hole physics, holography,...), **focusing on the ‘why’**, trying to find **patterns**.
- *Conjectures* instead of *statements*. Become theorems when proven. Usually conjectures come from 1) patterns in string compactifications + 2) heuristic reasoning with black holes.

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No global symmetries conjecture

Consider a field theory with a global symmetry that is not a gauge symmetry. This global symmetry will be broken when coupled to gravity. [\[Banks-Dixon 1988\]](#) [\[Harlow-Ooguri 2018\]](#)

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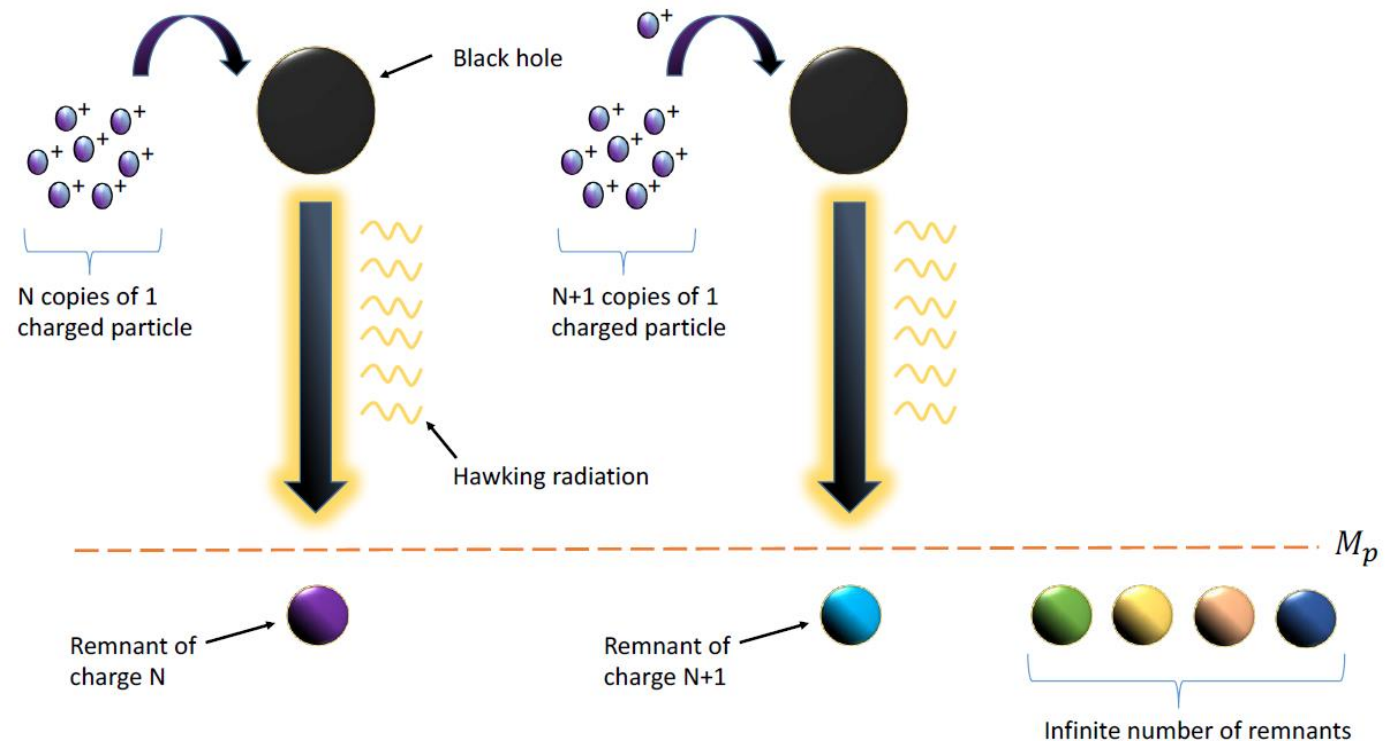
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- Indeed, every consistent compactification of string theory has given field theories obeying this. Could have regarded this as circumstantial evidence.
- Before the proofs, there were already heuristic black hole arguments.



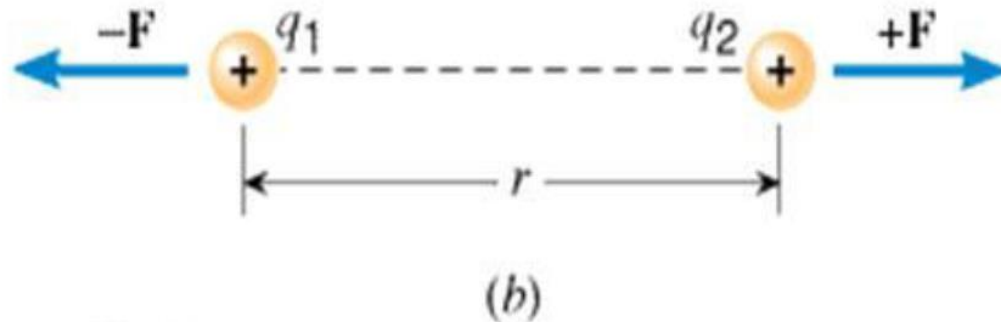




OK, but it perhaps implies that gauge coupling constant cannot be arbitrary small? Gravity as weakest force?

Weak Gravity Conjecture [\[Arkani-Hamed, Motl, Nicolis, Vafa 2006\]](#)

$$\frac{gq}{m} \geq \sqrt{\frac{d-3}{d-2}} M_p^{-\frac{(d-2)}{2}} \quad \text{for some charged state}$$



Constants in Nature not arbitrary, some parts of field theory space are empty when coupled to gravity, despite being “ok” (renormalisable, unitary...)

Current difficulty with Swampland program

Trustworthiness of Swampland statement

Usefulness of Swampland statement



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Consider Einstein-Maxwell theory

$$S = \int d^d x \sqrt{-g} \left[\frac{1}{2} M_p^{d-2} \mathcal{R} - \frac{1}{4g^2} F_{\mu\nu} F^{\mu\nu} - V \right].$$

For constant V , the Hubble radius is then fixed by

$$\frac{(d-1)(d-2)}{2\ell_d^2} = M_p^{2-d} V.$$

• The Electric Weak Gravity bound is:

$$\frac{gq}{m} \geq \sqrt{\frac{d-3}{d-2}} M_p^{-\frac{(d-2)}{2}} \quad \text{for some charged state}$$

• The Festina Lente bound is:

$$m^4 \gtrsim (gq)^2 V \quad \text{for every charged state}$$

In 4D, in terms of fine structure constant, we have a window:

$$(8\pi\alpha V)^{1/4} < m < (8\pi\alpha)^{1/2} M_P$$

$$\alpha = \frac{g^2}{4\pi}$$

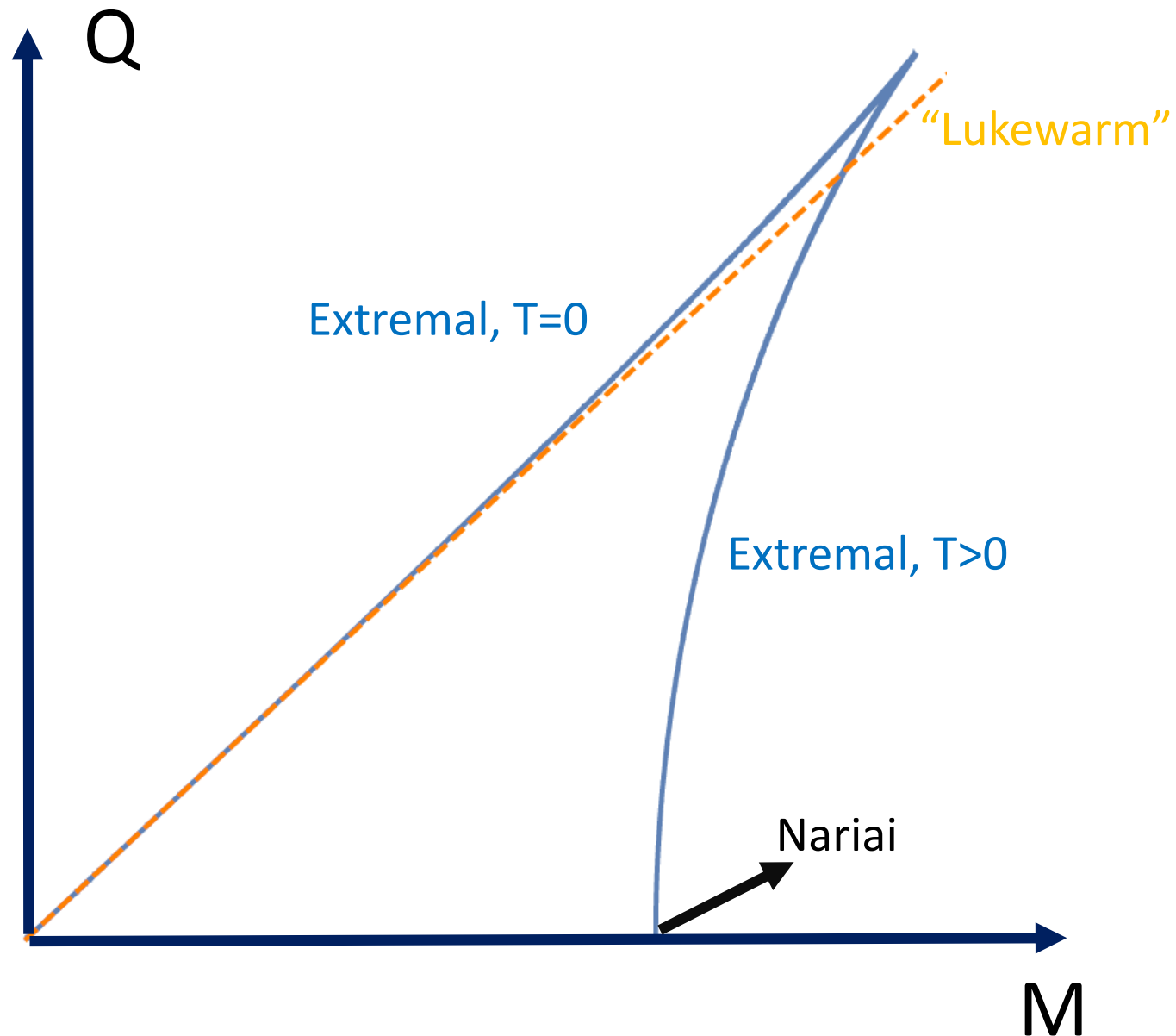
Quantum dynamics of charged black holes in de Sitter space

$$ds^2 = -U(r)dt^2 + \frac{dr^2}{U(r)} + r^2 d\Omega,$$

$$U(r) \equiv 1 - \frac{2M}{r} + \frac{Q^2}{r^2} - r^2$$

$$M \equiv \frac{GM}{\ell}, \quad Q^2 \equiv \frac{Gg^2 Q_r^2}{4\pi\ell^2}$$

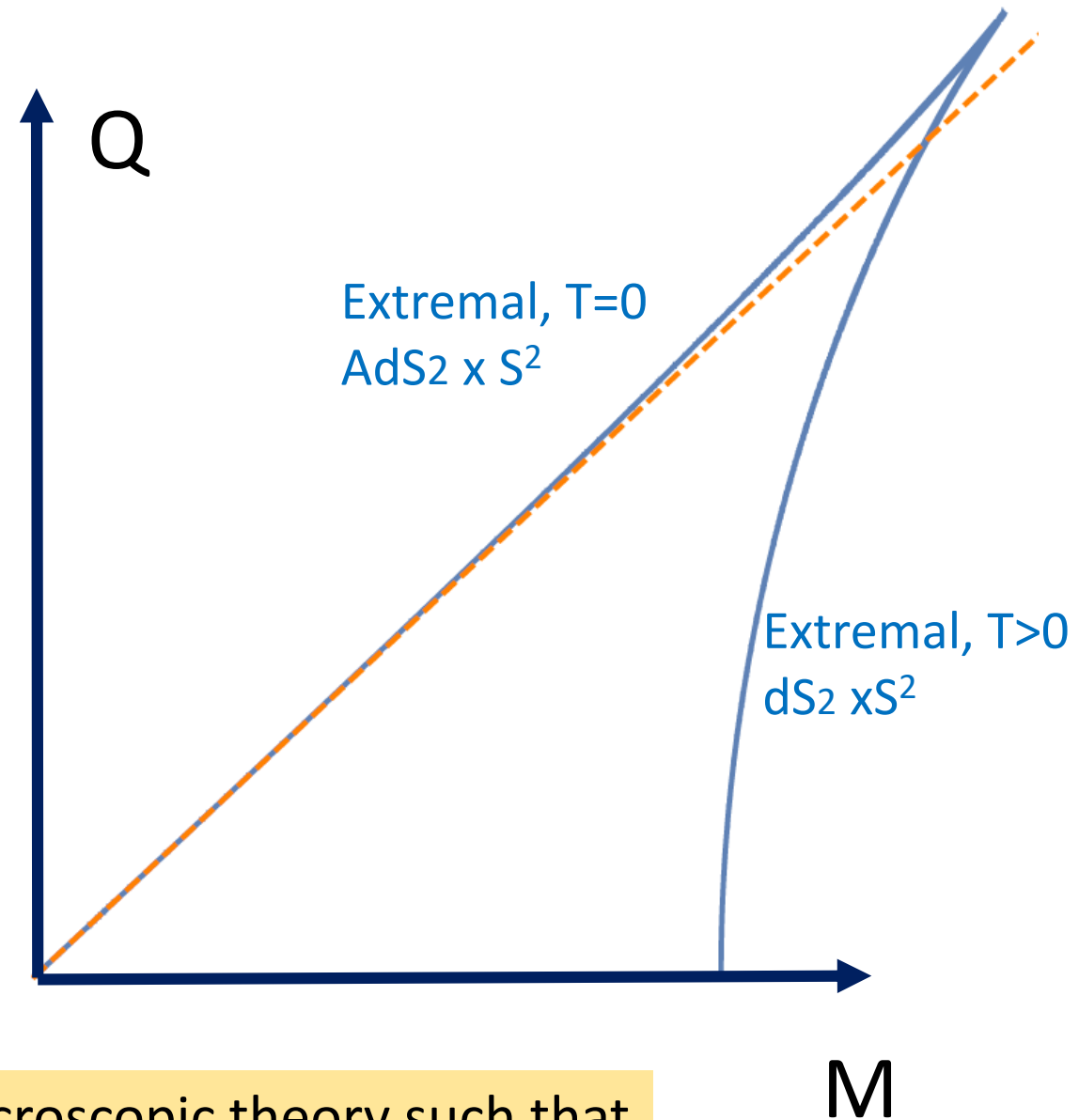
$$S = \frac{\pi}{4G} (r_{BH}^2 + r_{CH}^2)$$



Weak gravity principles for extremal black holes?

Left extremal branch. Almost like in flat space.
But now black holes unstable without even
requiring weak gravity conjecture.

Right extremal branch: Charged Nariai. Gigantic
black holes probing cosmic horizon.

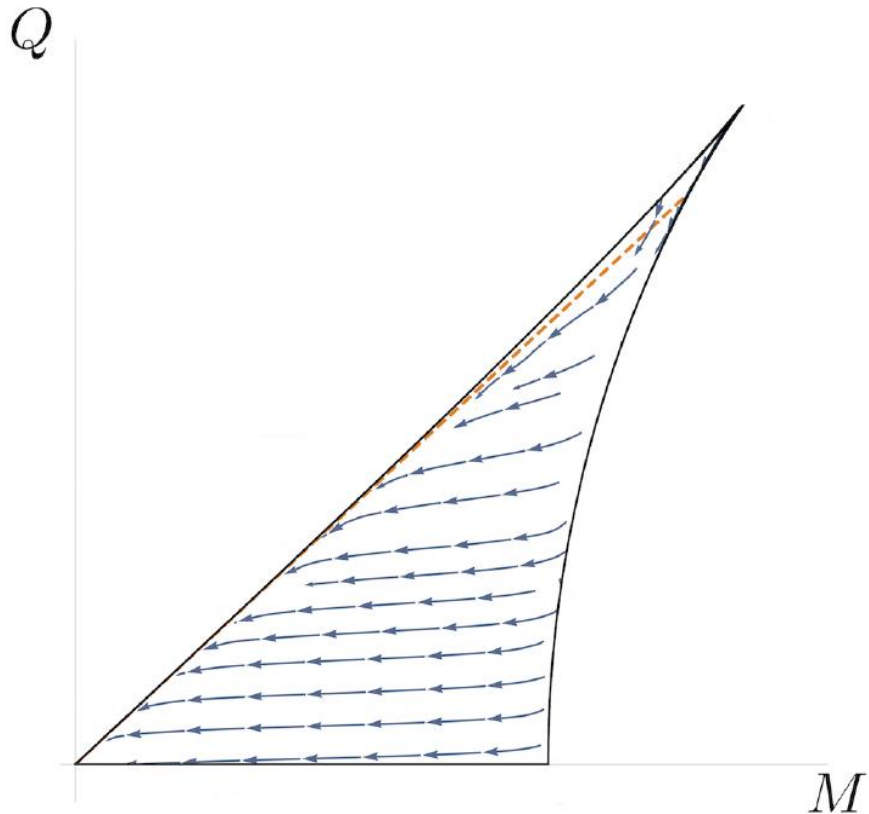


Guiding principle: constrain microscopic theory such that
black holes *do not decay to region outside "shark fin"*.

Adiabatic motion in Q,M plane. Semi-classical analysis of Hawking&Schwinger radiation:

$$\dot{Q} = -4\pi\mathcal{J}, \quad \frac{4r(r\dot{M} - Q\dot{Q})}{-2Mr + Q^2 - r^4 + r^2} = -16\pi r^4 G\mathcal{T}.$$

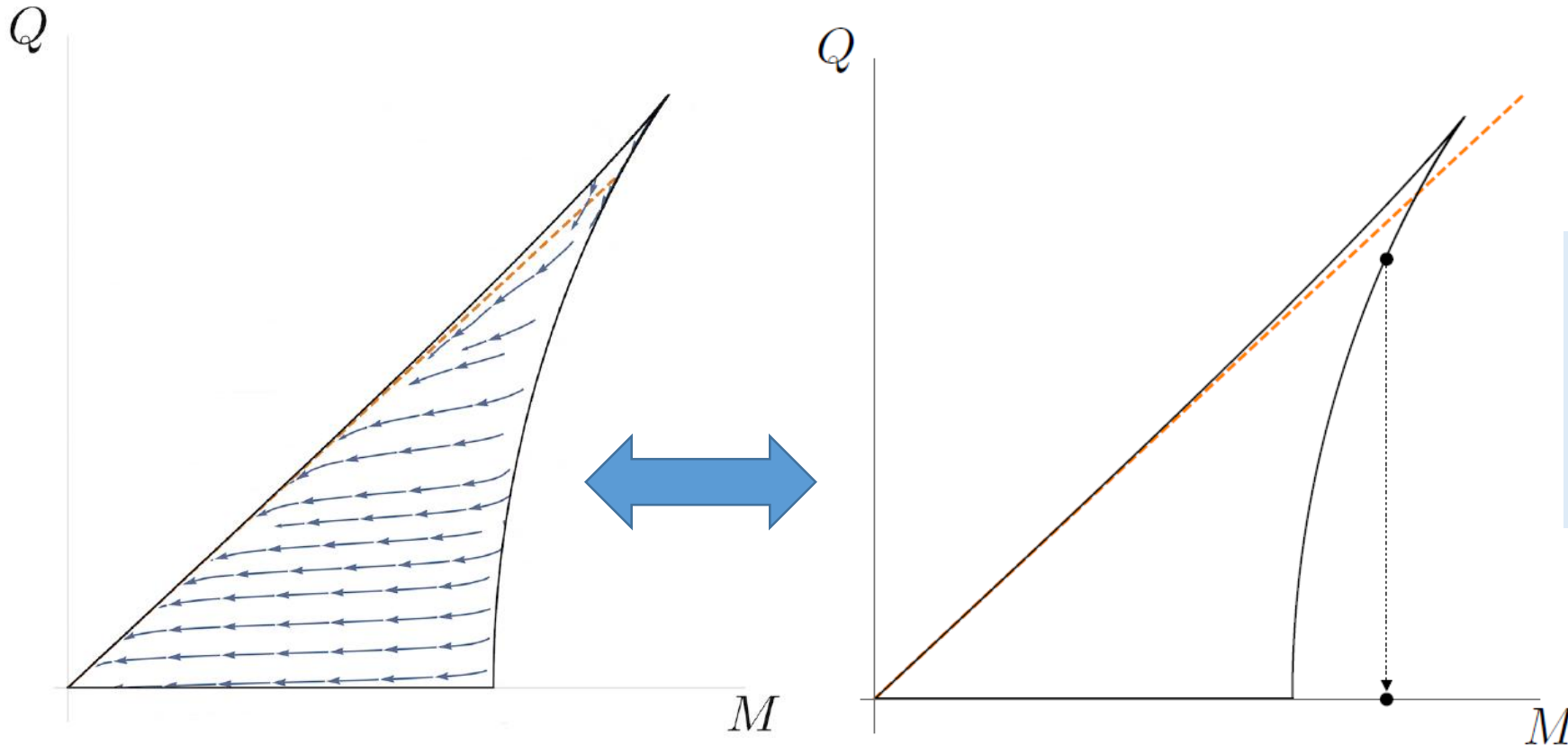
[Montero & Venken & VR 2019 ,
Lüben& Lüst & Ribes Metidieri 2020]



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Details J and T are such that evolution brings you to super-extremal branch unless you obey FL bound.

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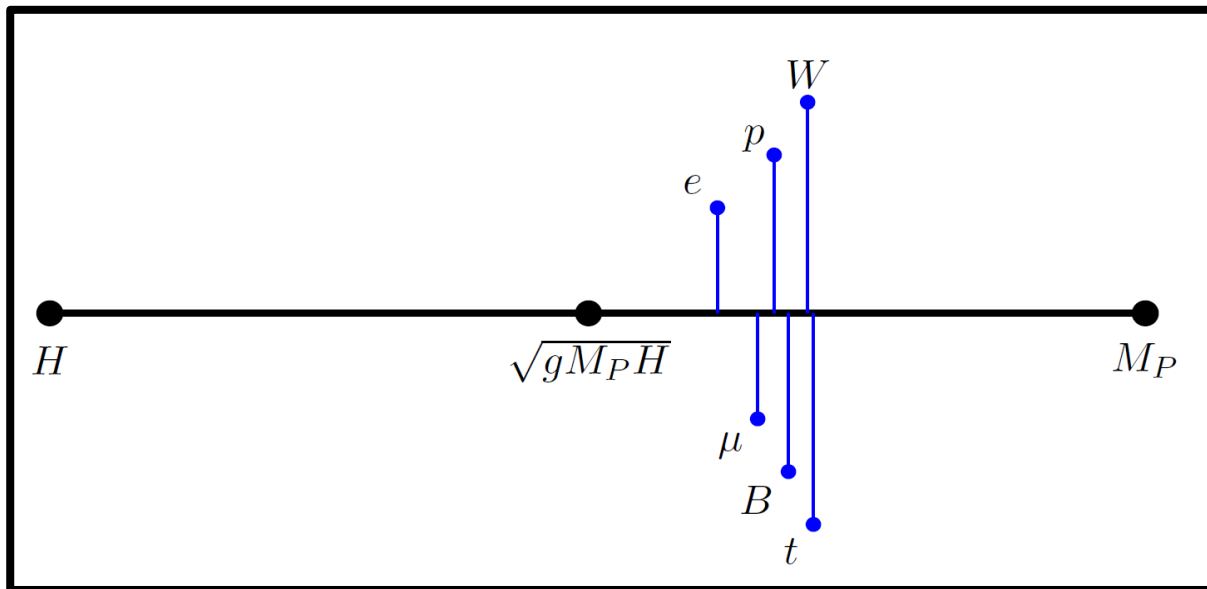
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- All charged fields in the SM obey FL ☺
- Can FL help with explaining hierarchy problems?

→ CC hierarchy (Planck units): $\Lambda \lesssim \frac{m^4}{4\pi\alpha}$ Electron \longrightarrow $\Lambda \lesssim 3 \cdot 10^{-89}$,

→ Electro weak hierarchy: $v^2 \gtrsim \frac{1}{g} M_P H = \frac{V^{1/2}}{g}$ (W-bosons, g=SU2 coupling)



Logarithmic scale

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FL predicts that in a de Sitter background non-abelian gauge fields must confine or be spontaneously broken, at a scale above H .

$$m_{\text{Gauge field}} \gtrsim H, \quad \text{or} \quad \Lambda_{\text{Confinement}} \gtrsim H$$

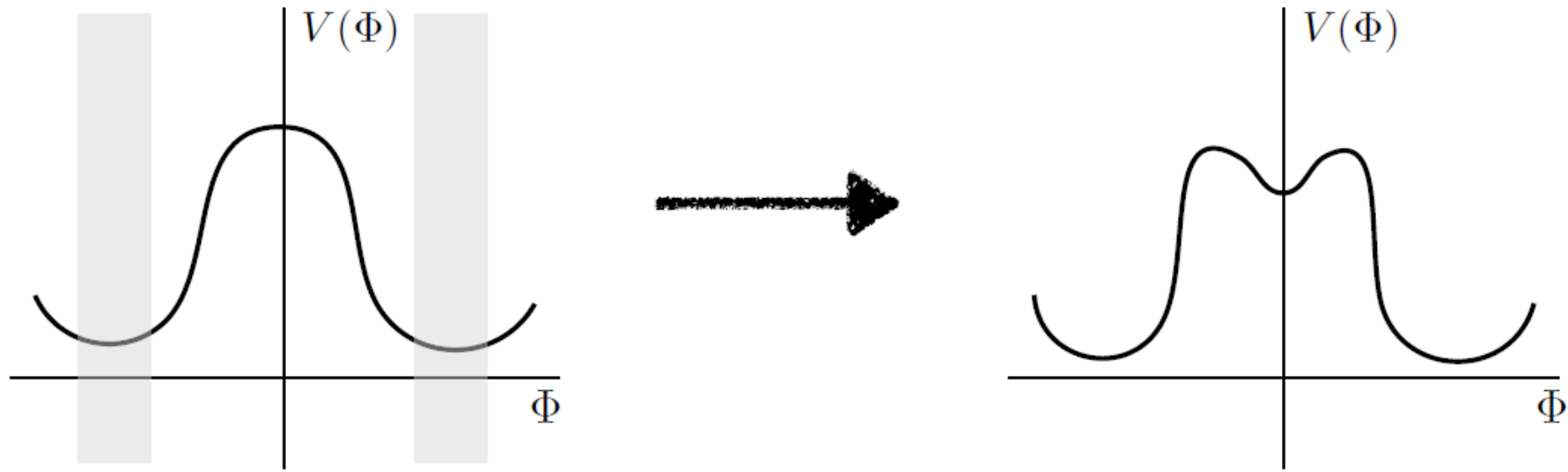


Figure 2. On the left, we show the usual shape of the “Mexican hat” Higgs potential, which arises from equation (4.5). However, only the region shaded in gray has been accessed experimentally. It is conceivable that the region near $\Phi \approx 0$ has a different shape, for instance, that of the “cowboy hat”

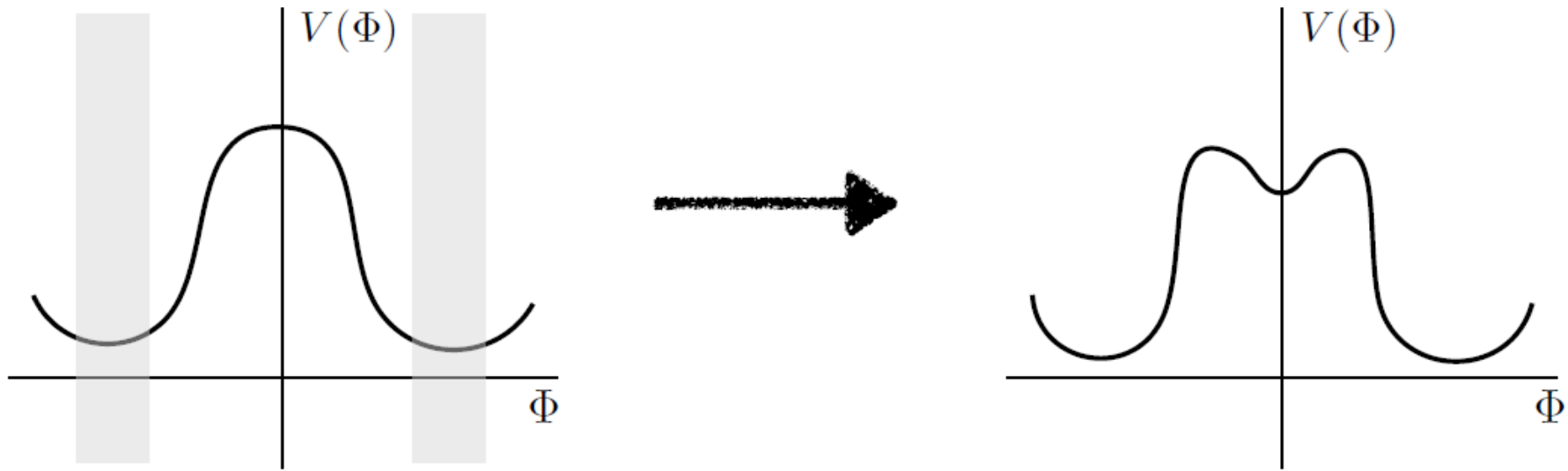


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Neutrino's?

- Suggestive numerology $\sqrt{gM_P H} \sim 10^{-3} eV$,
- If B-L is weakly gauged instead of spontaneously broken at high E, then lightest neutrino cannot be massless.

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STRING THEORY SUMMARIZED:

I JUST HAD AN AWESOME IDEA.
SUPPOSE ALL MATTER AND ENERGY
IS MADE OF TINY, VIBRATING "STRINGS."

OKAY. WHAT WOULD
THAT IMPLY?

I DUNNO.



This cartoon is false. But
patience is a nice thing.

Stay tuned.