# Calorimetry and

## Jet reconstruction

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### Content

#### Introduction

- What is calorimetry
- Interaction of particles with matter
  - Charged particles
  - Neutral particles
  - Hadrons
- Electromagnetic and hadronic Cascades
- Response of Calorimeters
  - Homogeneous
  - Sampling
- Energy and spatial resolution
- Examples

#### New Developments

- Dual Readout
- Particle Flow
- Jet Reconstruction
- Simulation

#### Literature

- Richard Wigmans, Calorimetry, Oxford University Press
  - most pictures taken from this book
- Detector Physics text books



### Introduction

#### Calorimeter measures the energy of

#### Charged and neutral particles

• Only means to measure neutrals!

#### Jets

- Composed of charged and neutral hadrons
- Secondary leptons
- Only means to measure the total energy of a jet!

#### Requirements

- Linear response with the energy
- Good energy resolution
- Spatial resolution
- Particle identification





### **Physics with Calorimeters**

### Energy measurements of different particle types (leptons, hadrons, jets) required by physics

- Standard Model physics
  - W and t-quark mass

#### Higgs search

- Signatures of production and decay
- Couplings

#### No-Higgs models

• Study in detail W and Z-events to understand symmetry breaking

#### New physics

- Often undetectable particles in the final state (e.g. SUSY)
- Requires good measurement of **missing energy**
- Cover full solid angle and measure ALL particles



### LHC: Search for the Higgs





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### LHC: Search for the Higgs (2)

## Distinguish signal and background by signatures during production

- Associated production of Higgs with W and Z
  - Lepton(s) in the final state from  $W \rightarrow lv$  or  $Z \rightarrow l^+l^-$  decay
  - Constraint from W ( $M_T$ ) or Z mass
- Vector-Boson Fusion
  - Additional jets at small angle

#### **Utilize decay signatures**

- Decay of b-quarks: Jets+secondary vertex
- Decay to photons: isolated hiegh energetic photons
- Decay to W or Z: leptons in the final state





### **Higgs: Requirements for Calorimetry**

#### Hermeticity

- Calorimeter should cover (nearly) the full solid angle
- Typical coverage up to  $\eta = 5$  (0,8° to the beam axis)

#### Good electron identification

- Utilize the difference in the **shower shape** between electron/hadron
- Requires high longitudinale/laterale granularity

#### Good energy resolution for photons/electrons

- Very good Sampling-Calorimeter or
- Homogeneous calorimeter

#### **Good resolution for missing transverse momentum**

- Vectorial energy sum (granularity)
- Good jet energy resolution
- Good hadronic calorimeter
- Essential for new physics signatures like SUSY !



### **Standard Model Physics**





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W transverse mass (GeV)

### **Different Calorimeters**

### Nuclear Physics

#### Detectors for Gamma-spectroscopy





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### **High Energy Physics**



Very different materials, read-out, sizes







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### **Energy Measurement**

#### Energy measurement of particles

- Absorption of a particle in a block of material
- Measure the energy loss
  - so-called *deposited energy*
  - Only charged particles produce a direct electron and measureable signal
  - Signal consists of
    - Charge from ionization
    - Light from scintillation or Cerenkov effect
- Measureable signal depends substantially
  - Material choice
  - Type of detector
  - Energy spectrum of secondary particles
  - Type of particle
- Measured signal is proportional to the energy of the particle





### **Passage of Particles through Matter**





### **Electromagetic Interactions in Matter**

### Energy Loss

### Continuous energy loss in the medium due to

• Excitation

$$e^{-} + atom \rightarrow e^{-} + atom^{*} \rightarrow e^{-} + atom + \gamma$$

Ionization

$$e^{-} + atom \rightarrow e^{-} + atom^{+} + e^{-}$$

### Happens for all charged particles

- Example
  - Argon gas

$$\frac{dE}{dx} \approx -2 \frac{MeV}{g/cm^2} \qquad \rho = 1.8 \cdot 10^{-3} \frac{g}{cm^3}$$
$$\Rightarrow \frac{dE}{dx} = -3600 \frac{eV}{cm}$$
need W = 26eV per e<sup>-</sup> - ion pair  $\Rightarrow ~ 140 e^{-}$  - ion pairs/cm



### **Average Energy Loss (Ionization)**

#### Heavy charged particles

Bethe-Bloch formula

$$-\frac{dE}{dx} = 4\pi N_A \cdot r_e \cdot m_e c^2 z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \ln\left(\frac{2m_e c^2 \beta^2 \gamma^2}{I}\right) - \beta^2 - \frac{\delta}{2} \right]$$

 $N_A$ : Avogadros number; Z, A: charge and atmic mass

 $r_e$ : classical electron radius;  $I = Z^{0.9} \cdot 16eV$ : ionization potential





### Average Energy Loss (2)

#### For electrons is the energy transfer different

Different energy loss

$$-\frac{dE}{dx} = 4\pi N_A \cdot r_e \cdot m_e c^2 z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \ln \left( \frac{\gamma m_e c^2 \beta \sqrt{\gamma - 1}}{\sqrt{2}I} \right) - \frac{1}{2} (1 - \beta^2) - \frac{2\gamma - 1}{2\gamma^2} \ln 2 + \frac{1}{16} \left( \frac{\gamma - 1}{\gamma} \right)^2 \right]$$

#### Positrons

- slightly different energy loss wrt electrons
- Stopped positrons will annihilate to two photons with 511keV

#### Dependence of the energy loss

- Proportional to z<sup>2</sup> (charge of the particle)
- Proportional to  $1/\beta^2$  for slow particles

#### Minimal energy loss at approx $\beta^{-}\gamma = P/m = 4$

Parametrization

$$\frac{dE}{dx} = 6\frac{Z}{A} - 1.25\frac{MeV}{g/cm^2}$$
 (10% for Z > 4)





### Bremsstrahlung

# High energetic charged particle in the Coulomb field of a nucleus

$$-\frac{dE}{dx} = 4\alpha N_A \left(\frac{1}{4\pi\varepsilon_0}\frac{e^2}{mc^2}\right)^2 z^2 \frac{Z^2}{A} E \cdot \ln\frac{183}{Z^{1/3}}$$

 $N_A$ : Avogadros number; Z, A: charge and atomic mass  $\alpha$ : fine structure constant 1/137

### Energy loss is

- proportional to E and z<sup>2</sup>
- material dependent : Z<sup>2</sup>/A
- proportional to 1/m<sup>2</sup>
  - Only important for light particles (electron)
  - For e<sup>±</sup> dominant at high energies





### **Radiation Length**

#### Bremsstrahlung for electrons

- Parametrization (valid for high energy)
- Radiation length X<sub>0</sub>

$$E = E_0 \cdot e^{-x/X_0}$$

- Depends only on the material (A/Z<sup>2</sup>)
- 1/E energy spectrum of the photons
- Radiation length allows for a material independent description of absorption processes for e<sup>±</sup> and photons

#### **Examples**

- Al X<sub>0</sub>=18.8cm
- Fe X<sub>0</sub>=1.76cm
- Pb X<sub>0</sub>=0.56cm

Parametrization

$$X_0 = \frac{716.4 \cdot A}{Z(Z+1)\ln(287/\sqrt{Z})} \left[\frac{g}{cm^2}\right]$$

 $\left. -\frac{dE}{dx} \right|_{Rrom} = \frac{E}{X_0}$ 



### **Energy Loss vs. Energy**

#### Critical Energy E<sub>c</sub>

#### Equal energy loss due to ionization and Bremsstrahlung





### Range of heavy charged particles





### **Fluctuations of the energy loss**

# Energy loss is varying substantially

- Statistical fluctuation of the energy transfer to the electron
- In thin detectors a Landau distribution represents the energy loss
- In thick layers of material the distribution will converge to a Gaussian due to the central limit theorem





### **Multiple Scattering**

### Coulomb scattering

- Many scatterings with small energy transfer
- Rarely large energy transfers (δ-electrons)
- Asymmetric dE/dx-distribution (Landau-fluctuations)
- Most scatterings happen under small angle (θ<sup>-4</sup> dependence)
- Parametrization of the width of the scattering angle θ as function of the thickness x

$$\phi_{rms} \approx \frac{13.6}{\beta cp} \sqrt{\frac{x}{X_0}}$$

### Charged particle track will deviate from the straight line



### **Interaction of Photons in Matter**

### Photon interacts with the electric field of the atoms (nucleus) or the electrons in the shell

#### Pair-production in matter

- Threshold: 2 x electron mass = 1 MeV
- The intensity of a photon beam is reduced to 1/e within  $9/7 X_0$

#### Compton Scattering

- Scattering off a bound electron in the shell
- Electron is liberated
- Cross section is proportional to Z and m<sub>e</sub>/E (for E above the electron mass)
- Maximal energy of electron







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### **Interaction of Photons in Matter (2)**

#### Photoeffect

- Photon is absorbed by an electron in the shell
- Electron is liberated
- Cross section is proportional to Z<sup>5</sup> !!

$$\sigma_{Photo} = 4\pi \cdot r_e^2 \cdot Z^5 \cdot \alpha^4 \cdot \frac{m_e}{E_{\gamma}}$$

#### Rayleigh Scattering

- Scattering off an electron in the shell without energy loss
- Only the direction of the photon is changed

# Different effects have different material dependence





### **Electromagnetic Cascade**

#### Simple Model of an em shower

- An electron entering a block of material will radiate a photon after 1 X<sub>0</sub> due to Bremsstrahlung
  - The electron and photon carry half the energy
- One X<sub>0</sub> later the photon will produce an e<sup>+</sup>e<sup>-</sup> pair, each with ½ of the energy and the electron radiates another photon
- In each step the number of particles doubles and the energy of the particles is halved
- The process stops, when the energy is reduced to the critical energy



### **Attributes of em Showers**

#### Simple model yields the following features

- Only logarithmic dependence of the shower maximum with E<sub>0</sub>
- Number of produced particles is proportional to the energy of the primary particle N=E<sub>0</sub>/E<sub>c</sub>
- Energy spectrum of particles reduces quickly with depth

#### Reality

- Energy of particles is differently distributed
  - Bremsstrahlung creates 1/E spectrum
  - Pair production, compton and photo effect produce wide range of electron (positron) energies
  - Most charged particles (~90%) are electrons
- Material dependence breaks exact X<sub>0</sub> scaling
  - Shower max shifted for high Z
  - Slow decay in high Z materials



### **Attributes of em Showers**

#### Simple model yields the following features



• Slow decay in high Z materials



### **Attributes of em Showers**

#### Simple model yields the following features





#### Containment of em shower

#### Composition of em shower





### **Lateral Shower Shape**

## Angular distribution in scattering (pair production)

- Spread of the particles perpendicular to the direction of the incoming particle
- The energy carried by particles falls exponentially wrt. the shower axis
- The width depends on the shower depth

#### Parameter: Molière Radius

$$\rho_{M} = m_{e}c^{2}\sqrt{4\pi/\alpha}\frac{X_{0}}{E_{c}} = 21.2MeV\frac{X_{0}}{E_{c}}$$

- 90% energy is deposited in a cylinder with radius ρ<sub>M</sub> around the shower axis
- Molière radius has no real physical meaning!



Examples Al –  $\rho_0$ =4.7cm Fe –  $\rho_0$ =1.8cm Pb –  $\rho_0$ =1.6cm



### **Lateral Shower Shape**



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### Myons

### Nearly no Bremsstrahlung (1/40000 of e<sup>-</sup>)

- Energy loss of μ mainly due to ionization
- High energetic μ pass through thick layers of material
- Myons with high energies are close to minimal ionizing (mip)
- Example: 2m Pb
  - Minimal energy loss

$$-\frac{dE}{dx} = 1.13 \frac{MeV}{g/cm^2} \cdot \rho_{Pb} \Longrightarrow -dE \approx 13 \cdot 200 MeV = 2.6 GeV$$

### At very high energies Bremsstrahlung get important

E<sub>c</sub> is as high as 200GeV!

#### Myon energy NOT measureable in calorimeters with limited size

Need for µ spectrometer



### Myons





- Charged hadrons loose energy continuously due to ionization/excitation of atoms
- Inelastic Interactions (spallation)
  - Hadron interacts with a nucleon within the nucleus
  - Momentum transfer leads to subsequent scatterings off other nucleons
    - Intranuclear Cascade
  - Nucleons might leave the nucleus
    - slow Protons stopped quickly
  - Secondary particles are produced
    - mainly pions, rarely kaons
  - Electromagnetic component from neutral pion decays
  - Residual nucleus will very likely evaporate nucleons and emit photons







Residual nucleus will very likely evaporate nucleons and emit photons



- Charged hadrons loose energy continuously due to ionization/excitation of atoms
- Inelastic Interactions (spallation)





#### Residual nucleus will very likely evaporate nucleons and emit photons





Residual nucleus will very likely evapo nucleons and emit photons


# Hadronic Interactions (2)

#### Secondary particles

- Energy is required to generate secondaries
  - Approx. 1.3 GeV (0.7GeV) for a single pion in Pb (Fe)
- Composition of particles depends on the type of the primary particle and the material
- Large fluctuations of number of secondaries and particle types
- Extreme case is the charge exchange reaction

$$\pi^+ n \rightarrow \pi^0 p$$

- Nearly no energy into nucleons and no charged hadrons
- The neutral pion decays to two photons

#### Lost energy

- Spallation with the emission of nucleons leads to lighter nuclei
  - Binding energy is lost
- Neutrons and decay products (myons & neutrinos) might escape the volume of the calorimeter
  - Pion and Kaon decays



# **Hadronic Interaction Length**

#### Mean free Path Length

Distance a proton travels on average without having a hadronic interaction

$$\lambda_{\text{int}} = N_A \cdot \rho \frac{A}{\sigma_{\text{inel}}} \quad \text{with} \, \sigma_{\text{inel}} \propto A^{2/3}$$
$$\Rightarrow \lambda_{\text{int}} \propto A^{1/3}$$

- Parametrization for Protons
  - Larger for pions!

$$\lambda_{\rm int} = 20 \cdot A^{0.4} + 32 \left[ \frac{g}{cm^2} \right]$$

#### Examples

- Be- $\lambda_{int}$ = 42.10cm (X<sub>0</sub>= 35.3cm)
- AI  $\lambda_{int}$  = 39.70cm (X<sub>0</sub>=18.8cm)
- Fe  $-\lambda_{int}$  = 16.77cm (X<sub>0</sub>=1.76cm)
- Pb  $\lambda_{int}$  = 17.59cm (X<sub>0</sub>=0.56cm)

#### For small A is the mean free path length nearly the same for hadrons and electrons



# **Interaction of Neutrons**

#### Inelastic hadronic interactions

- Same as for charged hadrons for high energies
- Strong energy and material dependence for energies in the MeV range

#### Elastic scattering (1eV < E < 1MeV)</pre>

- Energy loss due to elastic scattering depends heavily on the material
  - Average per collision
    - Target: H 50% , Fe 3.4% , Pb 1%
- Hydrogen rich materials very good for slowing neutron down (thermalization)
- Mean free path length in high A materials can be huge

#### Low energy neutrons (E < 1eV)</p>

- Capture
  - High cross section for very low energies (thermal)
  - Very high cross section for some materials (e.g. Cd, B)
  - Example Hydrogen

$$n + p \rightarrow D + \gamma(2.2 MeV)$$







## **Hadronic Cascades**

# The absorption of a hadron will start with an inelastic interaction (spallation)

- The further development depends crucially on this first interaction
  - Number of produced neutral pions (electromagnetic component f<sub>em</sub>)
  - Number of produced charged pions
  - Energy going into neutrons
  - Number of slow protons
- The multiplicities depend on the target nucleus as well as the projectile
  - Cascade development different for pion and proton!
    - Baryon conservation reduces the pion production for protons

# Subsequent collisions of secondary high energetic hadrons lead to a cascade or shower of particles

Center of mass energy is decreasing rapidly within the cascade



## **Hadronic Cascades**

# The absorption of a hadron will start with an inelastic interaction (spallation)





# **Hadronic Shower Composition**

#### Hadronic Part: Example Pb and Fe

Lead Iron Energy deposit (loss) for the 19% 21% Ionization by pions non-electromagnetic Ionization by protons 53% 37% component Total ionization 56% 74% Pions • Equal number of  $\pi^+$ ,  $\pi^-$ ,  $\pi^0$ Nuclear binding energy loss 32% 16% Target recoil 2% 5% Nucleons Total invisible energy 34% 21% Binding energy is smaller in Pb ٠ More neutrons in Pb due to • Kinetic energy evaporation neutrons 10% 5% Coulomb barrier for protons Number of charged pions 0.771.4 Number of protons 8 3.5 5 Number of cascade neutrons 5.4 Particles 5 31.5 Number of evaporation neutrons per GeV Total number of neutrons 36.9 10 10.5/1Neutrons/protons 1.3/1



# Hadronic Shower Composition (2)

#### Electromagnetic component

#### Production of $\pi^0$

- Energy dependent (log increase)
- Material dependent
- Subject to large fluctuations
- $π^0$  decay to photons generates electromagentic subshower (scales with X<sub>0</sub> and NOT  $λ_{int}$ )
  - For high Z materials these are very different (Fe by a factor 10)!

### Resolution of em component better than hadronic

Dominates resolution at very high energies



# **Hadronic Shower Composition (2)**



# **Hadronic Shower Shape**

# Shower Shape can be studied by means of radio nuclide analysis

Detect radioactive isotopes produced in different depth of the material and distance from particle impact

#### Uranium well suited

- Fast neutrons induce fission (1.5MeV threshold) of <sup>238</sup>U creates <sup>99</sup>Mo
- High energetic photons:  $^{238}U(\gamma,n)$   $^{237}U$
- Slow neutrons are captured  $^{238}\text{U+n} \rightarrow ^{239}\text{U} \rightarrow ^{239}\text{Np}$

#### Longitudinal shower development

- Each individual shower will look very different
  - Fluctuation of the different shower components
- Average shape similar to em-shower
  - Scales with hadronic interaction length  $\lambda_{\text{int}}$



# **Hadronic Shower Shape**

### Shower Shape can be studied by means of radio





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# **Hadronic Shower Shape**

# Shower Shape can be studied by means of radio nuclide analysis





# **Lateral Shower Shape**

#### Particle composition depends on distance from shower axis

- Fast particles (neutrons, γ) found close to the axis
  - <sup>237</sup>U samples the em component
  - <sup>99</sup>Mo samples fast neutrons
- Slow (thermalized) neutrons travel far off axis
  - <sup>239</sup>Np samples thermal neutrons

#### Result from Uranium slab block

Exponential lateral shape, with a core of high energetic particles





# Containment

#### Longitudinal containment

- Particles leaving the calorimeter (leakage) are lost for energy measurement
  - Large fluctuation of the lost particles event by event
  - Deteriorated energy resolution
- Each event has a different composition, which leads to a very different requirement for containment
- Energy dependence adds to the requirement for the depth





# Containment

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## **Summary of Showers**

#### Electromagnetic

- Bremsstrahlung and Pair-production produces multitude of secondary particles
  - Electrons, positrons and photons down to very small energy
- Scales with X<sub>0</sub>
  - Small X<sub>0</sub> for high Z materials
  - Requires roughly 25-30 X<sub>0</sub> for full containment (Pb ~ 17cm)

#### Hadronic

- Electromagnetic sub-showers originating from neutral pion decays
- Hadrons
  - Charges pions, charged and neutral kaons
  - Slow protons from inelastic interactions with nuclei
  - Fast and slow neutrons
- Energy lost due to binding energy and escaping particles
- Large fluctuations of different components
- Scales with  $\lambda_{int}$ 
  - Small  $\lambda_{\text{int}}$  for high A material
  - Requires 8-9  $\lambda_{int}$  for containment (Fe ~150cm)



## **Response of Calorimeters**

#### Assumptions

- Absorb the particle in a dense medium (compact calorimeter)
- Infinite absorber size (no leakage)
- Ideal materials

#### How to measure the energy deposition in the absorber

- Absorber itself provides a signal (e.g. light or charge) which is proportional to the deposited energy
  - Liquid noble gas (LAr, LKr), dense crystals (Nal, Pb-glas, PbWO<sub>4</sub>)

#### **Homogeneous calorimeter**

- "Sample" the deposited energy by interleaving absorber and an "active" medium
  - Only a fraction of the energy is measured  $\rightarrow$  reduced response and resolution
  - High Z and A materials as absorber
  - Standard particle detector as active medium (e.g. scintillator, semi conductor, gas ...)

#### **Sampling calorimeter**



## **Response of Calorimeters**

CERN Labo 27 - E CMS: PbWO<sub>4</sub> calorimeter

ım (compact calorimeter)

#### **ition in the absorber** Ight or charge) which is

LHCb: Fe/scintillator calorimeter

on

#### "active" medium

- Only a fraction of the energ
- High Z and A materials as al
- Standard particle detector a gas ...)

#### **Sampling calorimeter**



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# **Homogeneous Calorimeter Crystals**

### PbWO<sub>4</sub> crystal

- High density ρ=8.3 g/cm3
- Small radiation length X<sub>0</sub>=8.9mm
- Small Molièreradius R<sub>m</sub>=2.2cm
- Fast signal: 80% of the light in 25ns
- Radiation hard
- Excellent energy resolution

## Disadvantages

- Small light yield: ca. 80  $\gamma$ /MeV (NaI : 40000  $\gamma$ /MeV)
- Temperature dependent yield (-1.9%/°C @ 18°C)

#### expensive



## **Homogeneous Calorimeter Crystals**





# Signal of a MIP

#### Energy deposition by a minimal ionizing particle (MIP)

- Energy loss given by minimum of Bethe-Bloch Formula
- Can easily be calculated for different material combinations

Example

• 20 layers of 5cm Fe+ 1cm scintillator

$$dE_{Fe} = 1.451 \frac{MeV}{g/cm^2} \cdot 7.8 \frac{g}{cm^3} \cdot 5cm \cdot 20 = 1131.8MeV$$
$$dE_{sci} = 1.936 \frac{MeV}{g/cm^2} \cdot 1.03 \frac{g}{cm^3} \cdot 1cm \cdot 20 = 39.9MeV$$
total energy loss  $dE = 1171.7MeV$ 

In this case only 39.9MeV are actually measured in the scintillator
→ visible energy



# Signal of a MIP (2)

# MIP signal is commonly used as a reference for all other particles

- X/mip: signal of a particle X with energy equal the dE/dx of a MIP
- e/mip,  $\gamma$ /mip, n/mip, p/mip,  $\pi$ /mip
- Ratios are often energy dependent!

### Measure the MIP signal

- Myons provide best estimate for a MIP
  - Needs correction (energy dependent)

# The response of a calorimeter can be estimated from the known X/mip ratios



## **Homogeneous Calorimeter**

- Practically only used in high energy physics for electromagnetic calorimeters
- Response
  - All the energy is deposited AND measured in the active volume
  - Intrinsically linear response
    - Reality: local ionization density leads to saturation
  - Readout usually not 100% efficient
  - Calibration with known energy required
    - Electrons with known energy

e/mip=1

- All energy measured for mip and electron
- Response identical!





### **Homogeneous Calorimeter**

#### Practically only used in high energy physics for electromagnetic calorimeters



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# **Response of homogeneous Calorimeters**

## Response to hadrons (assume full containment)

#### ∎ e/π >1

- Energy loss in hadronic showers (e.g. binding energy) reduces the visible energy
- Fraction of neutral pions ( $f_{em}$ ) increases with energy  $\rightarrow e/\pi$  decreases with E

#### Intrinsic pure hadronic response

- e/h (electron/hadronic) > 1
  - Pure hadronic consists of pions, kaons, neutrons, recoil nuclei
  - Response (nearly) energy independent
  - Lost energy leads to smaller response of hadrons

■ Range 1.5 < e/h < 2.5

## Calorimeters with e/h≠1 are called "non-compensating"



## **Determination of e/h-ratio**

## **Only e/\pi can be measured**

Pion response depends on e/h

$$\begin{aligned} \pi &= f_{em} \cdot e + (1 - f_{em}) \cdot h \\ \frac{\pi}{e} &= f_{em} + (1 - f_{em}) \cdot \frac{h}{e} \\ \Rightarrow &\frac{e}{\pi} = \frac{e / h}{1 - f_{em} (1 - e / h)} \end{aligned}$$

- f<sub>em</sub> depends logarithmically on the energy
  - $e/\pi$  changes with energy and approaches 1 for very high energies

## ■ Pion response for e/h≠1 is NOT linear!



## **Determination of e/h-ratio**





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# **Sampling Calorimeter**

#### Absorber material (high Z) interleaved with active medium

#### Sampling fraction

- Fraction of energy deposited in active medium
  - Calculates for a MIP
  - Example again: 20 layers of 5cm Fe+ 1cm scintillator  $f_{samp} = \frac{39.9}{11318 + 39.9} = 3.4\%$

### Calibration

- Signal (ADC counts) to energy scaling
- MIP signal has to be scaled by 1/f<sub>samp</sub> to get correct energy
- In addition scale with X/mip
  - Might depend on the energy itself!





# **Sampling Calorimeter**





#### e/mip and γ /mip

■ For different Z of absorber and active layer with Z<sub>abs</sub> > Z<sub>act</sub>

- Most shower particles (e<sup>±</sup>, γ) are produced in the high Z absorber with low energy (Bremsstrahlung, photo effect, Compton)
- Range of particles is smaller than thickness of absorber plates
- Particles do not reach the active layer
- e/mip < 1 and  $\gamma/mip < 1$
- Depends on difference in Z
- Depends on shower depth (particles get softer)

For light absorbers (Al) and heavy active media

• e/mip > 1

#### Response depends on thickness of sampling layers



#### **e/mip** and $\gamma$ /mip



#### Response depends on thickness of sampling layers





#### Response depends on thickness of sampling layers







# Hadrons

Low energy hadrons (below 1GeV)

Mainly ionization loss (nearly MIP like)

#### High energy hadrons

For very high energies  $e/\pi=1$  (as for homogeneous case)

Transition region up to 5GeV



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# e/h of Sampling Calorimeters

#### Intrinsic e/h is a constant!

Describes the response of non-electromagnetic part of the shower

#### ■ ZEUS calorimeter (HERA experiment) achieved e/h=1 → "compensated" calorimeter

#### Most calorimeters are non-compensating

Wide range of e/h values

■ e/h≠1: energy response is not linear

 $\frac{\pi(E_1)}{\pi(E_2)} = \frac{f_{em}(E_1) + [1 - f_{em}(E_1)] \cdot e/h}{f_{em}(E_2) + [1 - f_{em}(E_2)] \cdot e/h} \neq 1$ 

#### Calculation of e/h requires the response of different hadronic shower components

Ionizing particles (pions, slow protons...), neutrons



# e/h of Sampling Calorimeters




### Pure hadronic response

All response components expressed wrt MIP
e/h calculation

 $\frac{e}{h} = \frac{e / mip}{f_{ion} \cdot ion / mip + f_n \cdot n / mip}$  $f_{ion} + f_n + f_{inv} = 1$ 

f<sub>ion</sub> contains fast charged hadrons as well as slow protons

- Range of slow protons limited
- Might not reach active material
- Saturation effects in active medium reduces response
- Material, energy and plate thickness dependence

#### Neutron response

Depends substantially on material and energy



### Pure hadronic response

# All response components expressed wrt MIP e/h calculation



Depends substantially on material and energy



### **Response of a hadrons**

#### Pure hadronic response + em response

$$\frac{\pi}{e} = f_{em} + (1 - f_{em}) \frac{f_{ion} \cdot ion / mip + f_n \cdot n / mip}{e / mip}$$

 $\blacksquare f_{em}$  is energy dependent  $\rightarrow$  response is non-linear



### **Response of Jets**

### Jet composition

Energy of a jet distributed over different particle types

- Baryons, mesons, neutrals
- f<sub>em</sub> depends on the composition and particle multiplicity

### Electromagnetic fraction for jets

- Initial photons from  $\pi^0$  (from jet fragmentation)
- Intrinsic em fraction f<sub>em</sub> from individual hadrons
- Depends substantially on the jet composition

#### Response

- ∎ e/jet > 1
- Resolution is usually worse than for single hadron
- Response is energy dependent



### Compensation

## Linear energy response only for e/h=1 Intrinsically compensating calorimeters

- Requires right choice of materials, sampling and readout
- Two possibilities
  - Reduce electron response (e/mip)
  - Recuperate f<sub>inv</sub> (lost energy)

### e/mip reduction

- Increased absorber (high Z) thickness reduces electron signal
  - Caused by range of low energetic shower particles in the absorber
  - Sampling fraction is reduced
- e/h=1 NOT achievable for all materials!
- Energy resolution gets worse



### Compensation







## **Compensation (2)**

#### Recuperate f<sub>inv</sub>

- Neutron multiplicity correlated with the invisible energy
- Increase f<sub>n</sub>
  - Use absorber with high neutron yield (Pb, U)
- Increase n/mip
  - Signal from neutrons comes late due to the required thermalization, capture and  $\gamma$  emission (~200nsec)
  - n/mip can be tuned by changing the integration time of the readout
    - ZEUS U/scintillator calorimeter from e/pi=1.12 (50nsec) down to 1.04 (600nsec)
- Best: do both

#### Optimally applied in the ZEUS calorimeter

- DU plates (3.3mm) cladded in stainless steel and scintillator (2.6mm) readout
  - Scintillator provides hydrogen for the effective thermalization of the neutrons
- 200nsec integration time
- Calorimeter with the best performance for hadrons up to date



### **Compensation (2)**





## **Compensation (2)**





### **Software Compensation**

#### High granularity of a calorimeter allows to locate em subshowers

- em shower very localized with high energy density
  - Shower maximum within  $10X_0$  and contained in  $1R_m$
- Weighting of local em energy can correct e/mip to achieve e/π=1

#### Problem

- Weighting is energy dependent
- Weighting might depend on the location
- Complicated multi dimensional problem!
  - Leads to complicated weighting functions

#### Method pioneered by CDHS experiment at CERN (1981)

- Improved in the 1990s by H1 at HERA
- Further optimized by ATLAS at the LHC



### **Energy Resolution of Calorimeters**

#### Intrinsic fluctuations

Signal in the active medium

- photo statistics, charge fluctuations
- Saturation effects, recombination
- Shower composition (hadrons)
- $e/h \neq 1$  in conjunction with the fluctuation of  $f_{em}$  (hadrons)

#### Sampling calorimeters

Fluctuation of the visible signal (sampling fluctuations)

### Instrumental effects

- Inhomogeneities (e.g. variation of plate thickness)
- Incorrect calibrations of different channels (intercalibration)
   Electronic noise



### **Resolution of Calorimeters**

- A calorimeter signal S is composed of multiple individual processes N
  - Photo electrons, electron-ion pairs ...
- Fluctuation of N can be described by Poisson statistics (stochastic term)
  - Relative resolution of the signal  $\frac{\sigma_s}{S} = \frac{\sigma_E}{F} = \frac{\sqrt{N}}{N} = \frac{1}{\sqrt{N}}$

Signal 
$$\frac{\sigma_s}{S} = \frac{\sigma_E}{E} = \frac{\sigma_V}{N}$$

assume linearity

$$E \rightarrow 2E \Longrightarrow N \rightarrow 2N \Longrightarrow \frac{\sigma_E}{E} = \frac{1}{\sqrt{2N}}$$

Relative resolution  $\frac{\sigma_E}{E} = \frac{A}{\sqrt{E}}$ 

Resolution improves with energy

- Spectrometers always get worse with increasing momentum!
- Constant A gives the purely statistical fluctuations



### **More Fluctuations**

#### Instrumental effects

Non-uniformities of the absorber/active layer

• Scales with energy ( $\sigma_{uni} = C \cdot E$ )

#### Electronic noise

- Depends on the number of considered electronic channels
- For constant number of channels it's a constant contribution (σ<sub>Noise</sub> =B)

### Adding the contributions in quadrature

$$\sigma_{samp}^{2} + \sigma_{noise}^{2} + \sigma_{uni}^{2} \Longrightarrow \frac{\sigma_{E}}{E} = \sqrt{\frac{A^{2}}{E} + \frac{B^{2}}{E^{2}} + C^{2}} = \frac{A}{\sqrt{E}} \oplus \frac{B}{E} \oplus C$$



### **Resolution and Sampling Fraction**

#### Signal depends on low energy particles reaching the active material

Higher signal leads to smaller fluctuations

#### How to increase the signal

- Add more active layers with thickness d (increased) sampling frequency)
- Increase the thickness d of the active layers (increased sampling fraction f<sub>samp</sub>)

Resolution depends on d/f<br/>samp<br/>Empirical formula $\frac{\sigma_E}{E} = 2.7\% \frac{\sqrt{d/f_{samp}}}{\sqrt{E}} \Rightarrow A = 2.7\% \sqrt{d/f_{samp}}$ (Wigmans) Example ZEUS  $f_{samp} = \frac{dE(sci)}{dE(sci) + dE(U)} = 7\%$  d(sci) = 2.6mm $\Rightarrow A = 16.5\% \sqrt{GeV}$   $A_{true} = 18\% \sqrt{GeV}$ 



### **Resolution and Sampling Fraction**



### **Hadronic Calorimeters**

## Complexity of hadronic showers makes it difficult to estimate the resolution

Pure sampling fluctuations of hadronic part (ZEUS)

 $\frac{\sigma_E}{E} = \frac{11.5\%\sqrt{\Delta E}}{\sqrt{E}}$  where  $\Delta E$  is the energy lost by a MIP in one sampling

Resolution often dominated by other effect!

#### Effects on the resolution (non-compensating)

- Fluctuations of the binding energy E<sub>B</sub>
  - $\frac{\sigma_E}{E_B} = \frac{15\%}{\sqrt{E_B}}$  for high Z materials

Fluctuations of the em fraction f<sub>em</sub>

- Substantial effect for pion induced shower
- Reduced effect for protons due to baryon number conservation (reduced pion production)





### **Hadronic Calorimeters**

#### Complexity of hadronic showers makes it





300

100

0

200

Binding energy loss (MeV)

400

### **Hadronic Calorimeters**





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### **Spatial Resolution**

Spatial resolution based on energy sharing of neighboring cells

Calculate energy weighted average requires correction

$$\overline{x} = \frac{\sum_{i} x_i \cdot E_i}{\sum_{i} E_i}$$

- Cells size ( $\Delta x$ ) smaller than characteristic width of shower
  - 1R<sub>m</sub> for em-shower
  - $1\lambda_{int}$  for hadronic shower
- **Resolution scales with 1/\sqrt{E}** 
  - Wigmans parameterization for em-shower (square cells )

$$\sigma_{x,y} \approx \frac{17.8\% \cdot \Delta x[mm]}{\sqrt{E[GeV]}} \Longrightarrow \sigma_{x,y} = \frac{17.8\% \cdot 30mm}{\sqrt{10GeV}} = 1.7mm$$

### Angular resolution possible with longitudinal segmentation



### **Spatial Resolution**

## Spatial resolution based on energy sharing of neighborin





### **Spatial Resolution**

Spatial resolution based on energy sharing of neighboring cells  $\overline{x} = \frac{\sum_{i} x_i \cdot E_i}{\sum E_i}$ 

- Calculate energy weighted average requires correction
- $\blacksquare$  Cells size ( $\Delta x$ ) smaller than characteristic width of shower
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### Angular resolution possible with longitudinal segmentation



### **Example: homogeneous Calorimeter**





### **Example: homogeneous Calorimeter**



photo electron statistics

- Leakage
- Cracks between crystals





Beam Energy (GeV)

### **Example: em Sampling Calorimeter**





Beam Energy (GeV)

### **Example: em Sampling Calorimeter**

#### **ATLAS Pb/LAr em calorimeter**

- Complex geometry (accordion structure)
- 1.53mmPb (cladded with stainless)
- 2.1mm LAr
- Average impact angle 45° (simplified)
- Expected resolution

$$\frac{\sigma}{E} = 2.7\% \frac{\sqrt{d/f_{samp}}}{\sqrt{E}} = 2.7\% \frac{\sqrt{2.1mm/0.16}}{\sqrt{E}}$$
$$\Rightarrow A = 9.8\%$$

Measured

$$\frac{\sigma}{E} = \frac{9.4\%}{\sqrt{E}} \oplus 0.1\%$$

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### **Example: hadronic sampling Calorimeter**

### **ZEUS U/scintillator**

- Towers of DU (3.3mm) and scintillator plates (2.6mm)
- Intrinsically compensating e/h=1
- Best resolution obtained for hadrons up to now





### **Example: hadronic sampling Calorimeter**



### **Overview**

#### **Comparison of single particle resolutions**

Experiment		absorber	active	resolution	type
CMS	em	PbWO <sub>4</sub>	Scint.	2.8%/√E	homogeneous
CMS	had.	Fe	Scint.	77%/√E	sampling
ATLAS	em	Pb	LAr	10%/√E	sampling
ATLAS	had.	Cu	LAr	66%/√E	SW compensation 46%
NA48	em	LKr	LKr	3.5%/√E	homogeneous
BaBar	em	Csl	Csl	2.3%/E <sup>1/4</sup>	homogeneous



### **Energy Resolution of Jets**

#### Electromagnetic fraction

- Neutral pions as primary particles
- em-fraction from hadronic interactions

#### Hadrons

- Mixture of pions, kaons, nucleons
- Multiplicity usually higher than for single particle with same energy as the whole jet
  - More interactions and less fluctuations
  - Would expect better resolution

#### Fluctuation of particle composition spoils resolution

- In most cases dominant and not easy to predict
- Depends strongly on the studied physics!

Material in front of calorimeter deteriorates the em resolution
 No simple rule of thumb



### Calibration

### Read-out calibration done electronically

### Signal measured in charge or photo electrons and NOT energy

Need calibration constant or function

#### Relatively simple for single particles

#### Testbeam

- Electrons/pions/protons with known energy provide reference signal
- Transfer of calibration to the actual experiment not always easy (e.g. changes in electronics)

#### In-situ calibration

- Utilize momentum measurement in comparison with energy in calorimeter
- Reconstruct the mass of known particles







### Calibration



• Reconstruct the mass of known particles



### Calibration

#### Read-out calibration done electronically



- Utilize momentum measurement in comparison with energy in calorimeter
- Reconstruct the mass of known particles



## Calibration (2)

#### Jet calibration

#### No universal calibration exists

- Depends on physics process (multiplicity and composition)
  - Need different calibration for different event types
- Depends on jet definition (algorithm)

#### In-situ calibration

- Example for Tevatron/LHC: Jets+γ events
  - Photon calibration known
  - Jets have to balance momentum in transverse plane
- Hadronic decays of Z and W into 2 jets

#### Calibration is usually dependent on $\eta$






#### Initially parton fragmentate to hadrons

- Multiple hadrons (Jet) enter the detectors
- Reconstruction of parton 4 vectors requires to find the hadrons belonging to the jet
- Jet clustering (find groups of particles)



# Commonly used algorithms Cone kT clustering, anti-kT







#### Initially parton fragmentate to hadrons



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# **Example: ATLAS Detector**





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# **Jet Finding in ATLAS**

#### Calorimeter towers, cells and dead material





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# Clustering

#### Signal in calorimeter

- In a cell, tower or cluster
- Cluster
  - Group of cells around a seed cell
  - Seed cell with E >  $\sigma_{noise} \oplus \sigma_{pileup}$
  - Scan neighboring cells for energy above noise
  - Add cells together





# Clustering

#### Signal in calorimeter





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# **Clustering (2)**

Might have to split clusters, if local maxima found





# **Clustering (3)**





# Jets and Jet Energy

## Found clusters used as input to Jet algorithm

#### Clusters are combined to a Jet

- Criterion for combination very different
  - Distance (cone), energy weighted distance ...
- Iteractive process (stop condition depends on jet algorithm)

# Shape and number of jets depend on algorithm Measured energy

- Cell energy
  - Apply energy calibration
  - Weighting: em or hadronic energy

#### Cluster energy

- Corrections
  - Out of cluster energy
  - Dead material in front
  - Linear response



### Jets and Jet Energy



S.D. Ellis, J. Huston, K. Hatakeyama, P. Loch, M. Toennesmann, Prog.Part.Nucl.Phys.60:484-551,2008





2009-12-14, 04:30 CET, Run 142308, Event 482137 http://atlas.web.cern.ch/Atlas/public/EVTDISPLAY/events.html

#### **Topo Clusters as Input to Jets**

#### Jet reconstruction and calibration can be divided in 4 steps

- 1. calorimeter tower/cluster reconstruction
- 2. jet making
- jet calibration from calorimeter to particle scale
- jet calibration from particle scale to the parton scale



S. Menke, MPP München

# **Jet Energy Scale**

#### Jet energy should reflect the "true" energy

- "true" no really defined!
- Compare with parton energy

#### Uncertainty of energy scale is important

Top mass measurement

$$\frac{\Delta m_{top}}{m_{top}} < 1 GeV \Longrightarrow \frac{\Delta E_{Jet}}{E_{Jet}} < 1\%$$

#### Scale depends on multiple parameters

- Real signal composition (em/had)
- Pile-up events
- Noise
- Jet algorithm





# Conclusions

### Calorimeters cover a wide range of application

- Medicine PET (511keV)
- Nuclear physics (10keV range)
- HEP (TeV range)

### **Calorimetry is a main ingredient of HEP detectors**

- Measurement of neutral and charged particles
- Measurement of jet energies
- Measurement of missing energy
- Measurement of the luminosity (small angle detectors)

# High resolution calorimeters will be a central part of future experiments

Precise energy measurement required to measure properties of new particles (Higgs?)



# **Topics not covered in the lecture**



# **New Concepts for hadron Calorimeters**

# Dual Readout (DREAM)

#### Measure the em-shower fraction separately

- Even low energetic electron/positron (1MeV) are fast (0.94c)
- Slow protons (1MeV) are really slow (0.05c)

Exploit Cerenkov detector to determine  ${\rm f}_{\rm em}$  in each event

Atomic excitation more likely done by hadrons

Scintillation light more likely to come from hadrons

Requires material with scintillation and Cerenkov signal

- Special fibers, doped Pb-glas
- Sampling fraction not important

Results from testbeam measurements very promising



# New Concepts for hadron Calorimeters



# **New Concepts for hadron Calorimeters**





# **Particle Flow for Jets**





# **Particle Flow for Jets**

#### Combine different detectors

#### Method based on

- Momentum measurement of charegd particles (~65% E<sub>iet</sub>)
- Photons measured in em-calorimeter (~25% E<sub>iet</sub>)
- Neutral hadrons measured in hadronic calorimeter (~10% E<sub>iet</sub>)
- All fractions energy dependent!

#### Jet energy resolution

$$\sigma^2 = \sigma_{track}^2 + \sigma_{h_nutral}^2 + \sigma_{photon}^2 + \sigma_{mix}^2 = (0.14)^2 \cdot E_{Jet} + \sigma_{mix}^2 \approx (0.3)^2 \cdot E_{Jet}$$

- Dominant is the mix-up term  $\sigma_{mix}!$ 

#### Requirements

- High granularity lateral/longitudinal
- ➡ Hadronic resolution ~40%/VE
- Elektromagnetic resolution ~10%/VE



# **Particle Flow Jet Resolution**

# **Resolution scales no longer with 1/\sqrt{E}**





# **CALICE Collaboration**

### CALorimeter for Linear Collider for Electrons

- Development of highly granular calorimeters with exceptional energy resolution
- Different read-out technologies are under investigation
  - Silicon for em- part
  - Silicon and scintillating tiles (SiPM readout) for hadronic part





#### ALICE zero degree calorimeter

- Extreme high energy
- Radiation hard
- Quartz fiber/tungsten and copper
- e/h=2
- Resolution 10% at 1TeV

$$\frac{\sigma_E}{E} = \frac{234\%}{\sqrt{E}}$$

# And more

Luminosity calorimeters
Ice at the south pole
Athmosphere



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# And more

Luminosity calorimeters
Ice at the south pole
Athmosphere



# Simulation

#### Electromagnetic Showers

- QED provides very precise decription of all processes
- Need detailed description of geometry and materials
- Simulation describes the measured calorimeter response well, but need a lot of CPU time
- Programs
  - EGS (THE reference)
  - GEANT 4 (em-Package)





# **Simulation of Hadrons**

#### Hadronic Models

- Much more complicated due to complexity of hadronic interactions (nuclear physics), required measured crosssections
- Simulation of neutrons tricky
  - Requires precise description of material composition (elements)
- Comparison with data usually only reasonable

#### Programs

- GEANT 4
- FLUKA
- HETC (ORNL/LANL)
- Programs for special applications (shielding ...)

DØ-Detektor



