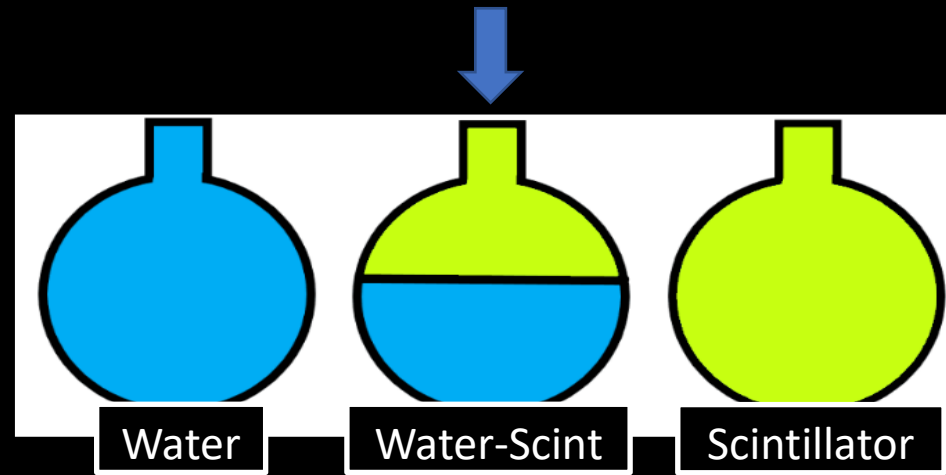
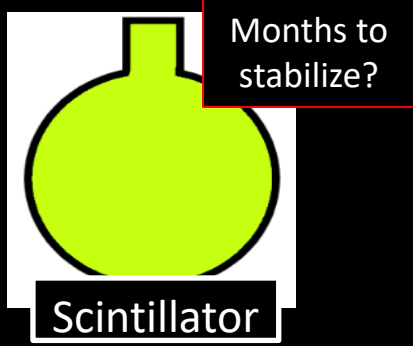
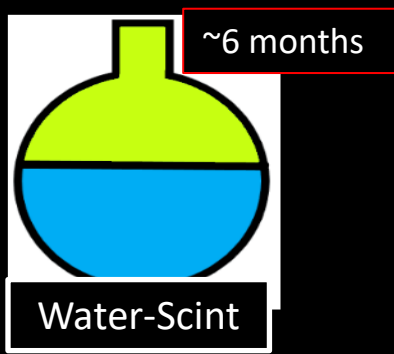
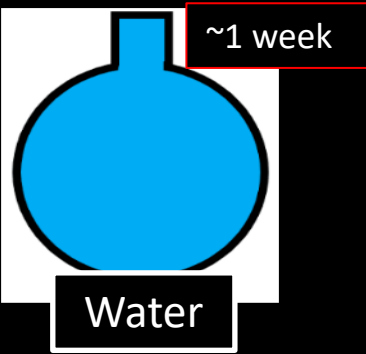
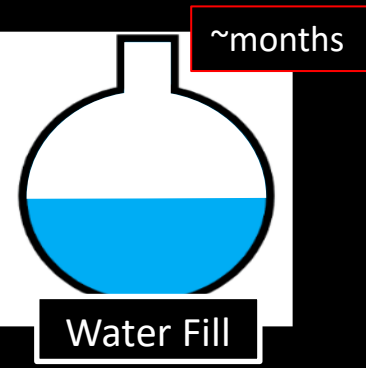


Physics in the Water and Filling Periods



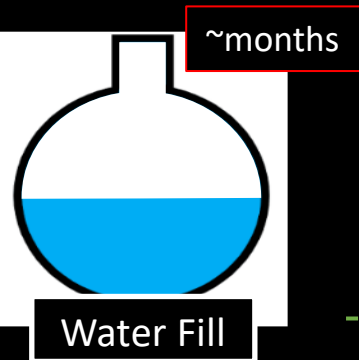
Baona Wu, Shishen Xian, Yuxin Xian, Akira Takenaka and Iwan Morton-Blake
on behalf of the SJTU/TDLI JUNO Group



There will be extended time with a detector taking data.

Commissioning will take close to a year
(assuming no interruptions)

What do we want to do with these data?



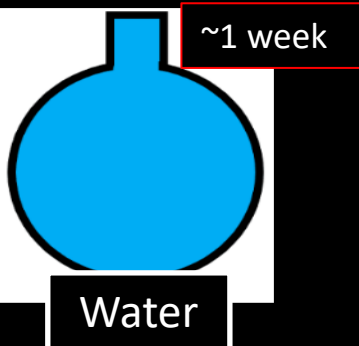
Physics in each phase

Water Filling

- Any Physics?

Needs / Tasks

- Calibrate Large PMTs
- Measure PMT DN rates, Dark noise trigger rates

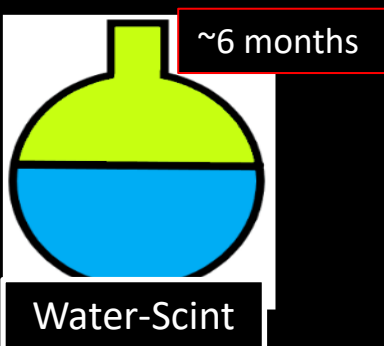
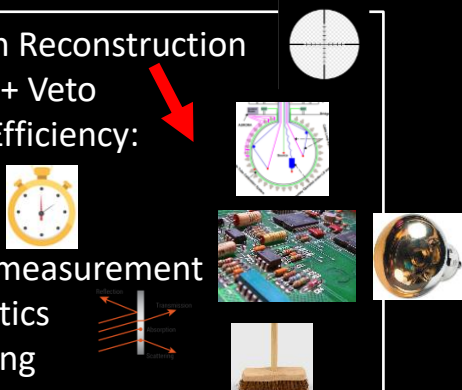


Full water

- Measure IBDs in Water
- Measure basic radioactivity



- Position + Direction Reconstruction
- Muon Tag + Recon + Veto
- Trigger Threshold Efficiency:
 - Deploy AmC
- PMT timing calib
- Dark noise trigger measurement
- Calibrate Water optics
- Data quality/cleaning

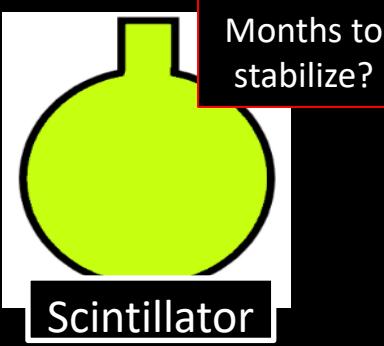
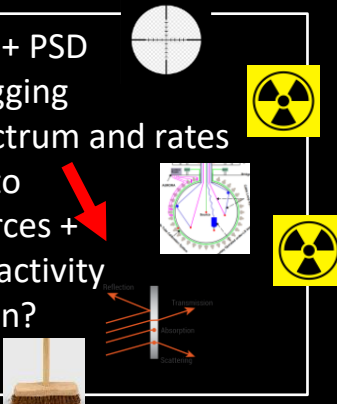


Filling LS

- Measure IBDs in LS
 - IBD backgrounds
- Measure U/Th conc : BiPo212/214 (in early 50/100t)



- Position + Energy recon + PSD
- BiPo 212(Th)/214(U) tagging
- Background singles spectrum and rates
- Muon Tag + Recon + Veto
- Calibration: Deploy sources + Naturally occurring radioactivity
- Start LS optics calibration?
- Data quality/cleaning



Early Full Fill

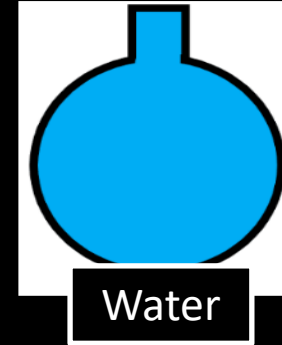
- JUNO physics analyses start

- Individual background measurements
- Precise calibration across energies
- Finely tuned reconstruction
- Systematics

Contents

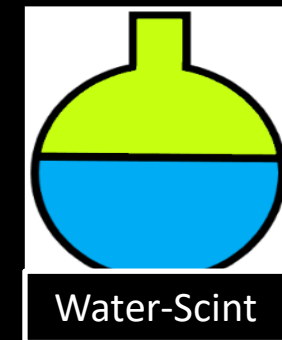
1) IBDs in water

- Progress
- Important needs/next

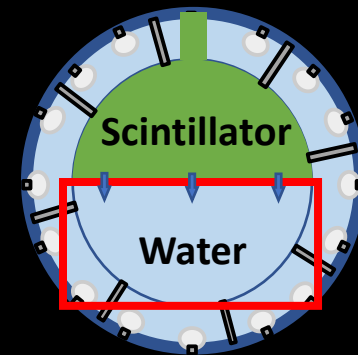


2) IBDs and Backgrounds during filling

- outline on physics + Backgrounds
- Needs/ahead



Interest in IBD Detection in Water



“Colloquium: Neutrino Detectors as Tools for Nuclear Security” [arxiv:1908.07113](https://arxiv.org/abs/1908.07113)

Some example experiments:

-**THEIA** – Type: *Water-based LS* [arxiv:1911.03501](https://arxiv.org/abs/1911.03501).

- Reactor, geonu, IBDs from CCSN
- ~ 20 IBDs per kT-year (@ SURF)

-**Super Kamiokande** – Type: *H₂O-Gd* [arxiv:2006.01155](https://arxiv.org/abs/2006.01155)

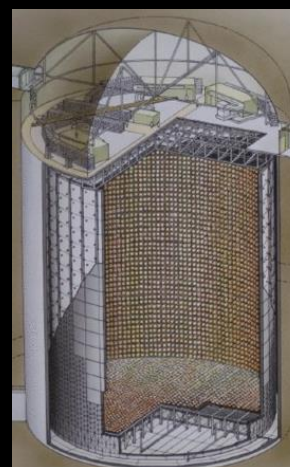
- Reactor, Supernova IBDs

-**SNO+** – Type: *Pure H₂O phase*

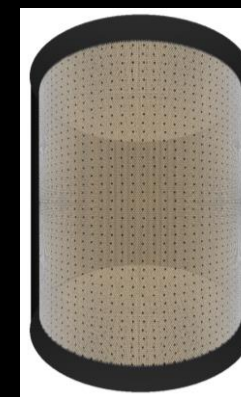
- 3 Reactors ~240/350km baseline
 - Measured 3-4 IBDs vs ~1 BG events in 190 days
- [PRL 130, 091801 \(2023\)](https://arxiv.org/abs/2309.091801)

**Current “best” (and only)
in pure water**

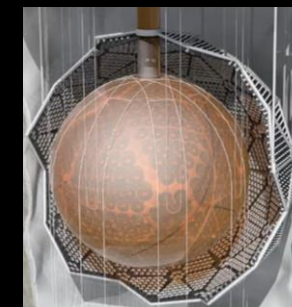
Super-K (50kT)



THEIA (25-100kT)

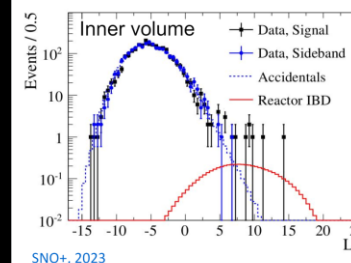


SNO+ (1kT)



Water-based far-field monitoring

Water-based detectors are scalable to very large sizes for far-field detection.



First antineutrinos have been seen in a pure water Cherenkov detector by SNO+ from reactors > 240 km away (composite reactor signal).

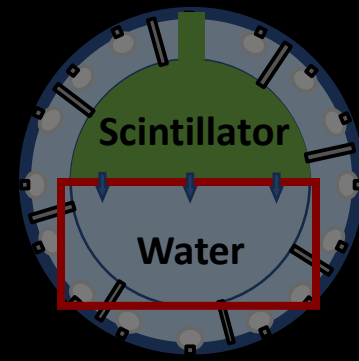
For far-field application we need more advanced technology to observe a single reactor in a complex reactor landscape:

- reactor on/off cycle and power
- reactor distance
- reactor direction

“Reactor Antineutrinos and Non-Proliferation”
Liz Kneale

Neutrino 2024

Interest in IBD Detection in Water



“Colloquium: M

Some example e

-**THEIA** – Type: V

-Reactor, geo

-~ 20 IBDs p

-**Super Kamioka**

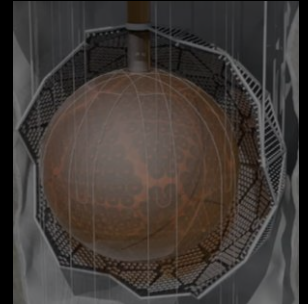
-Reactor, Supernova IBDs

For JUNO:

- How many IBDs can we see in ~10 days pure water?
- How many in ~6 months filling?
- Can we measure θ_{12} ?
- Great test of detector, PMTs, trigger etc.

0kT)

SNO+ (1kT)



-**SNO+** – Type: *Pure H₂O phase*

- 3 Reactors ~240/350km baseline

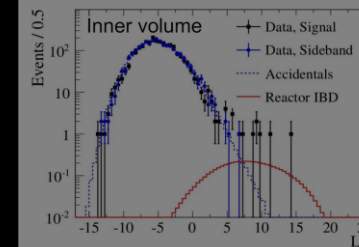
- Measured 3-4 IBDs vs ~1 BG events in 190 days

[PRL 130, 091801 \(2023\)](#)

**Current “best” (and only)
in pure water**

Water-based far-field monitoring

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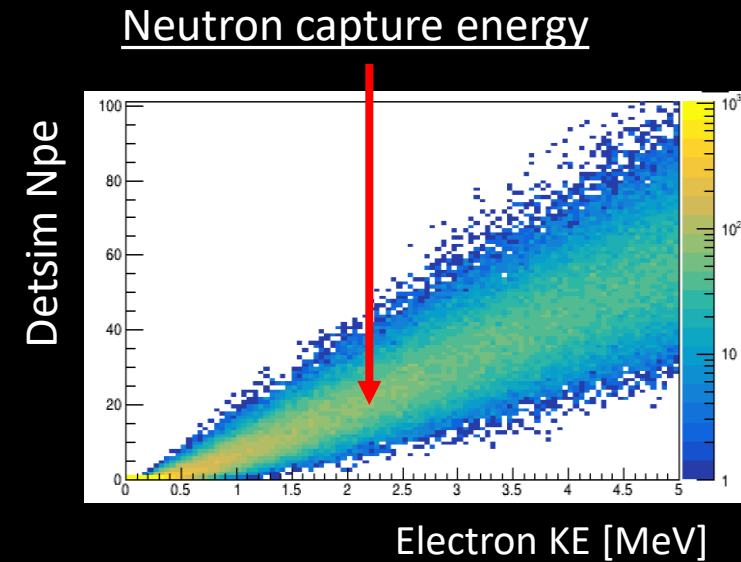
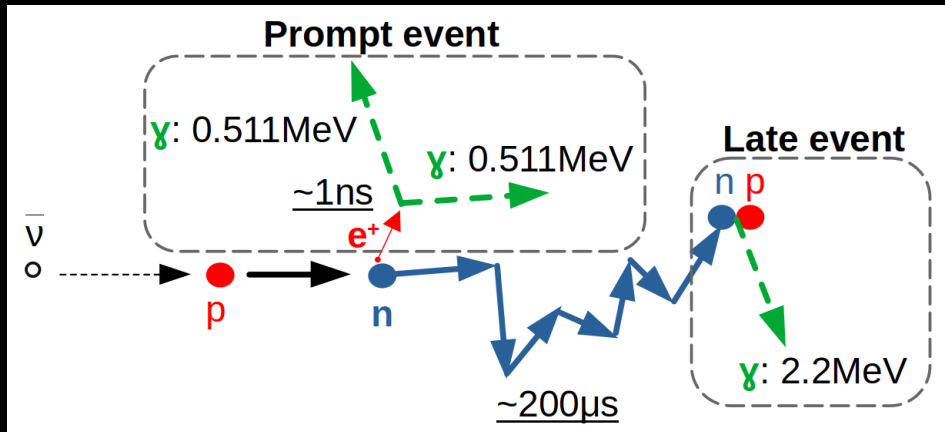
SNO+, 2023

First antineutrinos have been seen in a pure water Cherenkov detector by SNO+ from reactors > 240 km away (composite reactor signal).

For far-field application we need more advanced technology to observe a single reactor in a complex reactor landscape:

- reactor on/off cycle and power
- reactor distance
- reactor direction

Big Challenges in Water



Low Cherenkov Light Yield

- Only 10-30 npe from 2.2 MeV neutron capture

High dark noise rates in JUNO PMTs

- DN rates $\sim 30\text{kHz} * 17,612\text{ PMTs} \rightarrow \sim 400\text{ DN hits per } 100\text{ns window!}$

Primary issues:

- Low signal efficiency \rightarrow Triggering on positron and the neutron is **difficult**
- Poor position + energy reconstruction
- High DN rates \rightarrow Additional **significant** background (dark noise triggers mimic neutrons)

Lowering the trigger threshold

Have had attempts with simple trigger methods in pure water:

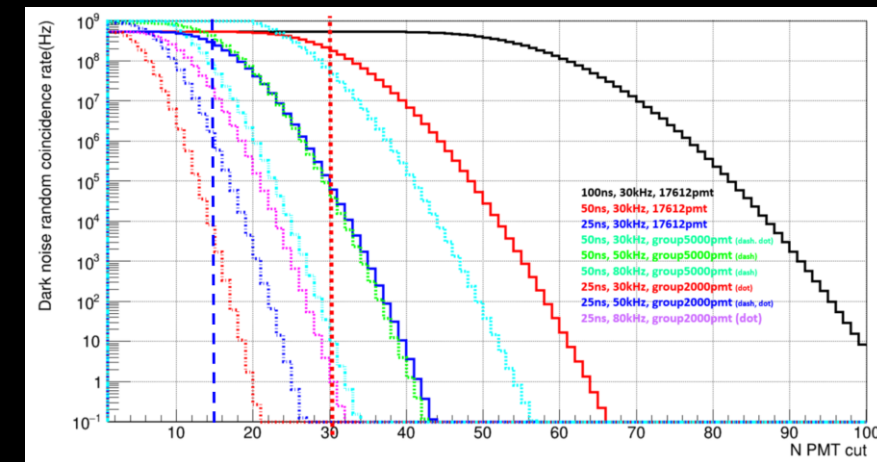
→ E.g. #PMT hits per small time window

- Simulation studies in DocDB [#10257](#)
- Similar attempts made with 32ns window,
 - 2.2MeV gamma efficiency in water in AV
 - Trigger rate due to DN

Threshold	DN trigger rate	Gamma trigger eff(Inside Acrylic Vessel)
27	$29,966 \pm 847\text{Hz}$	$10.51 \pm 0.23\%$
28	$14,502 \pm 369\text{Hz}$	$7.65 \pm 0.20\%$
29	$6,790 \pm 152\text{Hz}$	$5.31 \pm 0.16\%$
30	$2,839 \pm 67\text{Hz}$	$3.79 \pm 0.14\%$

Shishen

If #PMTs > X in 32ns → Trigger!

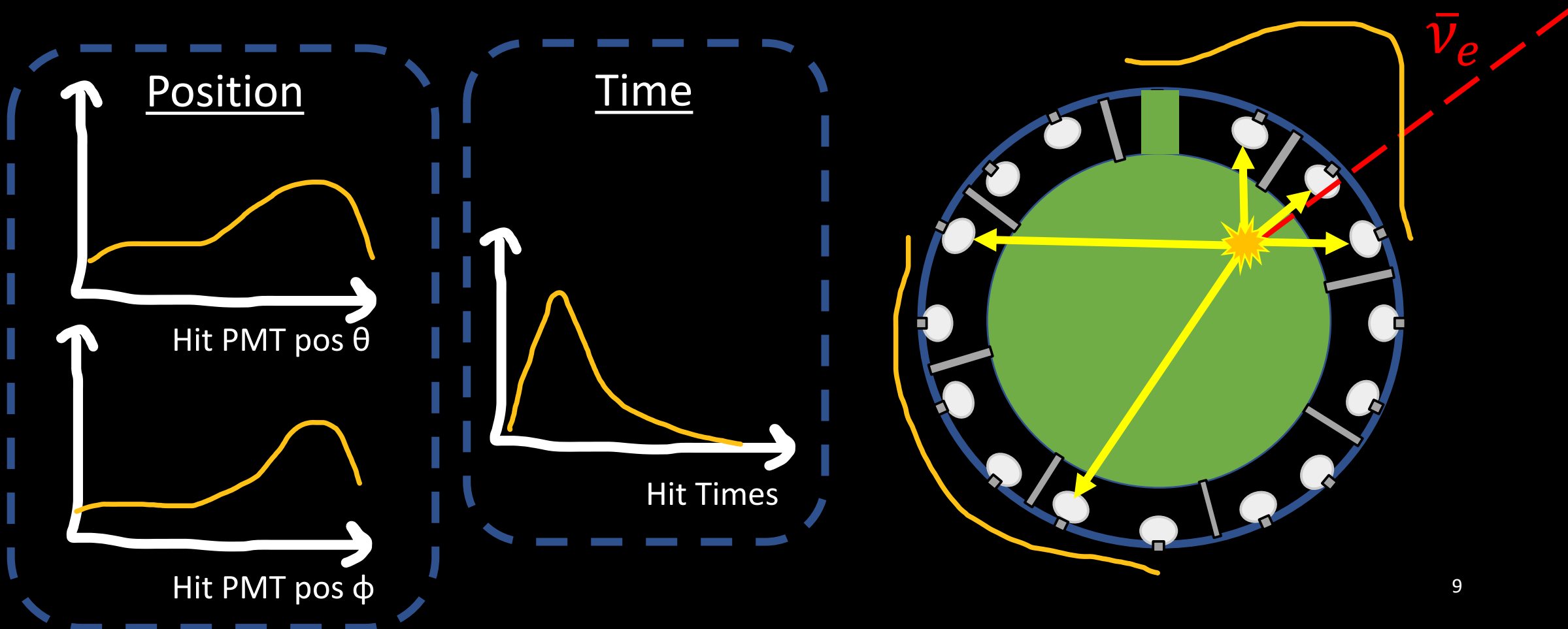


Still,
Efficiency too low &
DN rates too high!

The Multi-Messenger Trigger

The Multi-Messenger trigger is designed to reach $\sim 20\text{keV}$ energies in LS

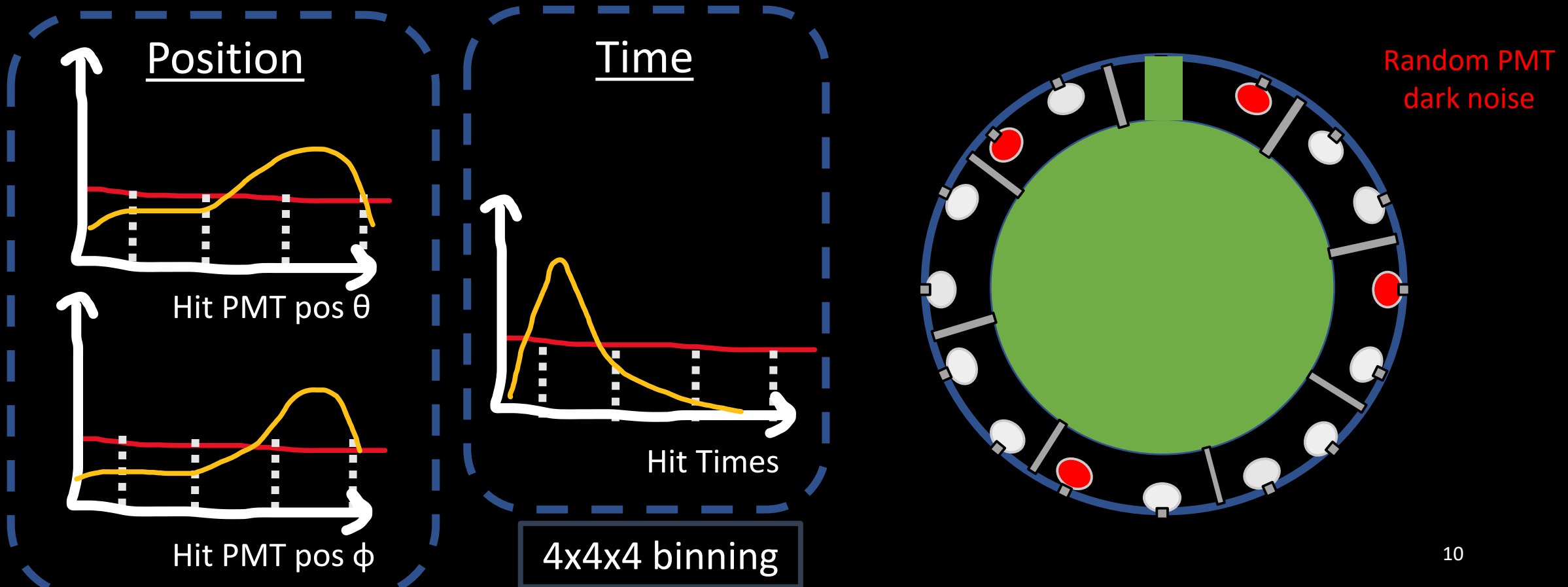
FPGA-run likelihood algorithm used to measure clustering in PMT space and time



The Multi-Messenger Trigger

The Multi-Messenger trigger is designed to reach $\sim 20\text{keV}$ energies in LS

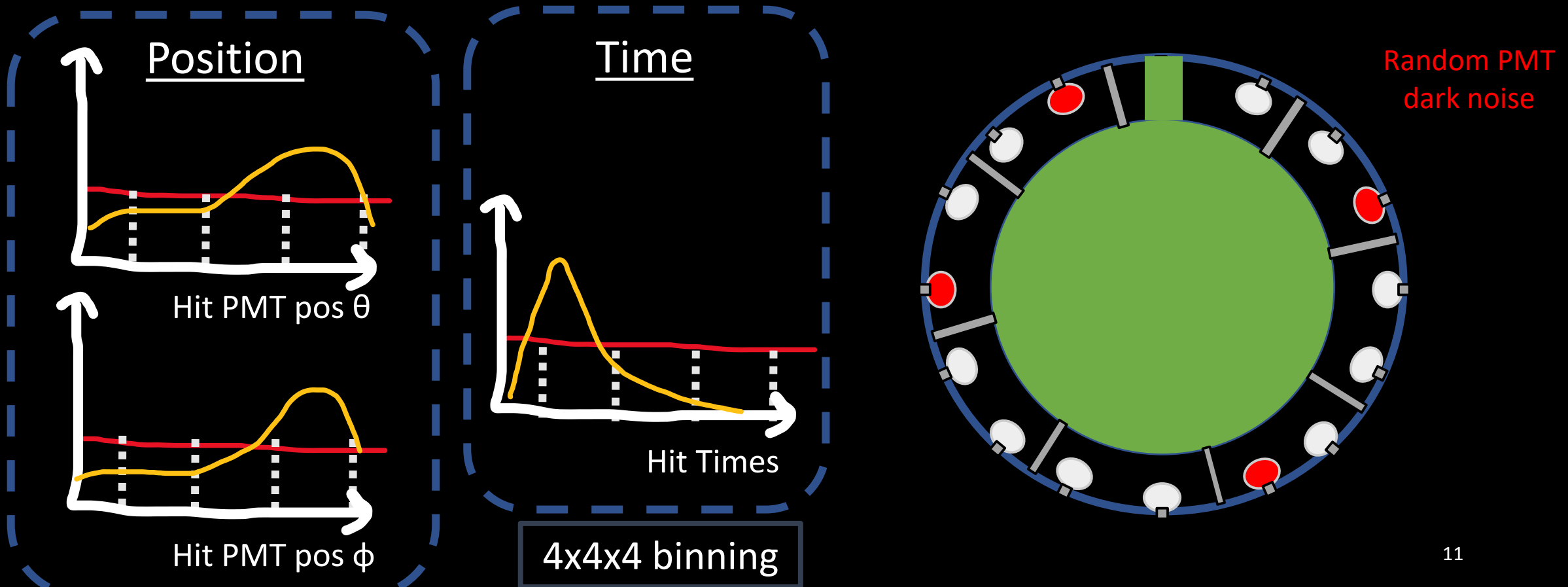
FPGA-run likelihood algorithm used to measure clustering in PMT space and time



The Multi-Messenger Trigger

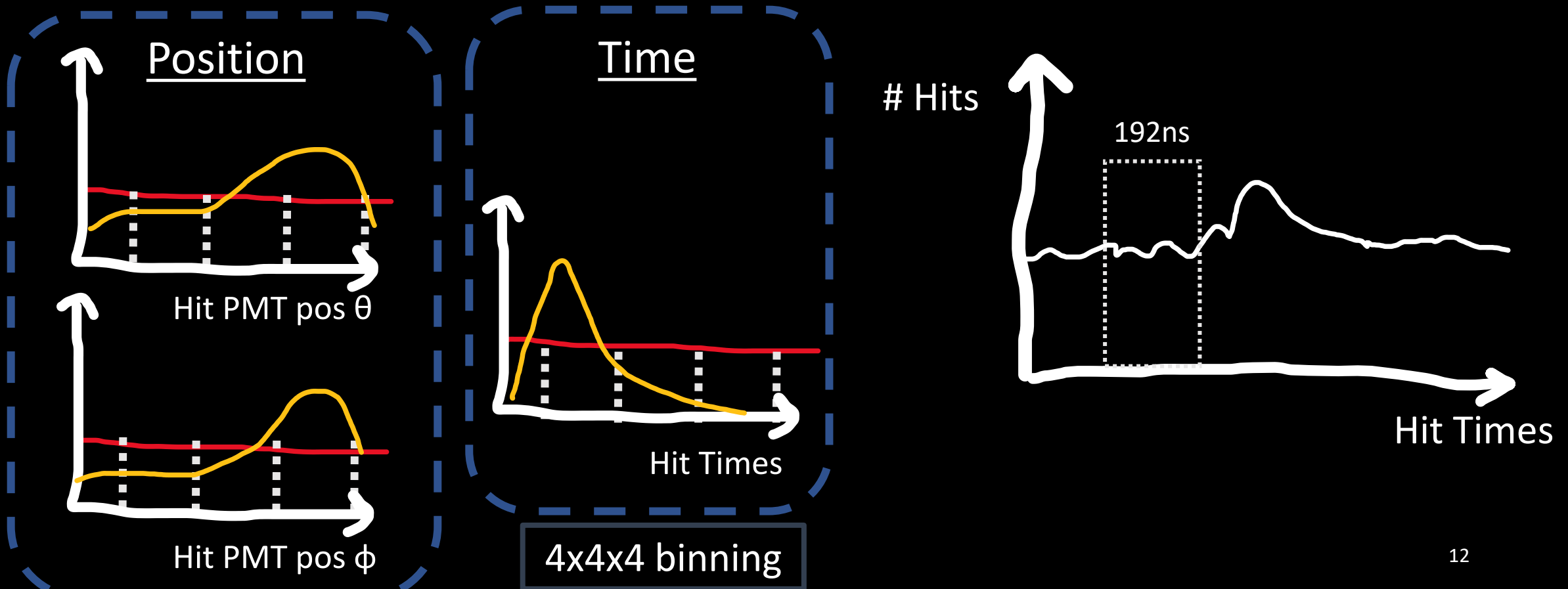
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FPGA-run likelihood algorithm used to measure clustering in PMT space and time



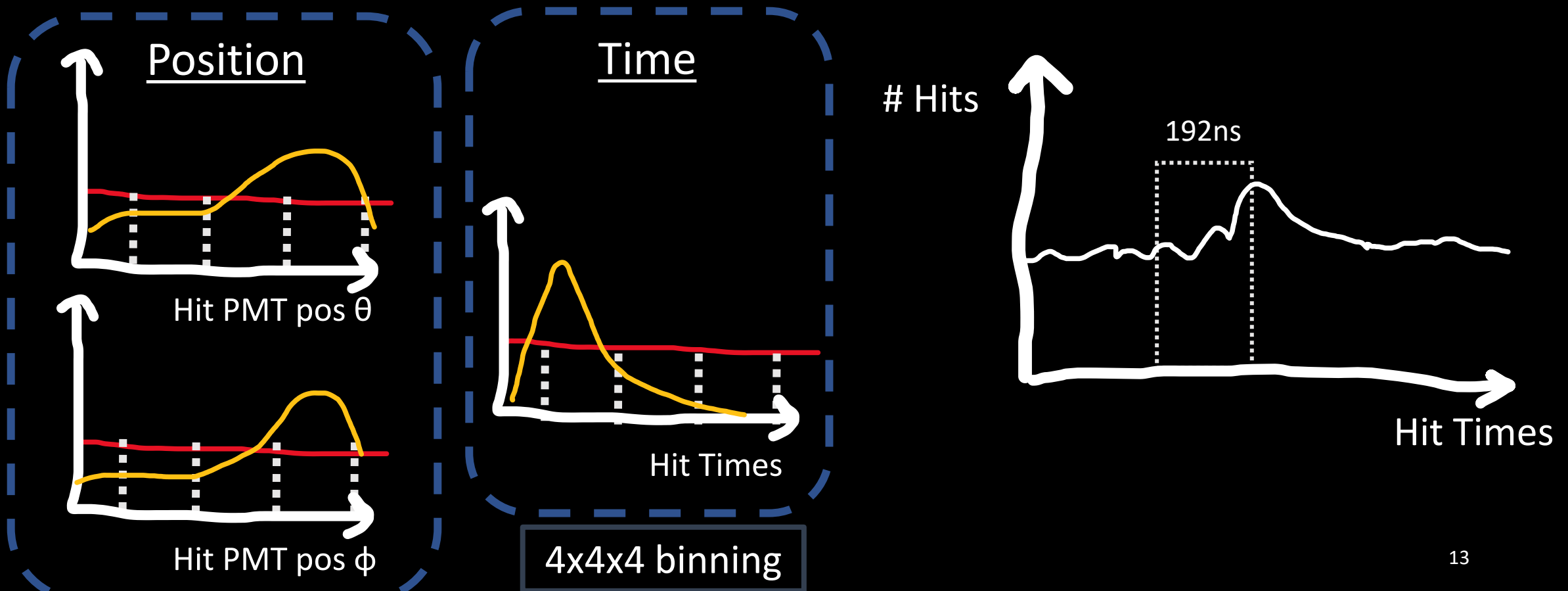
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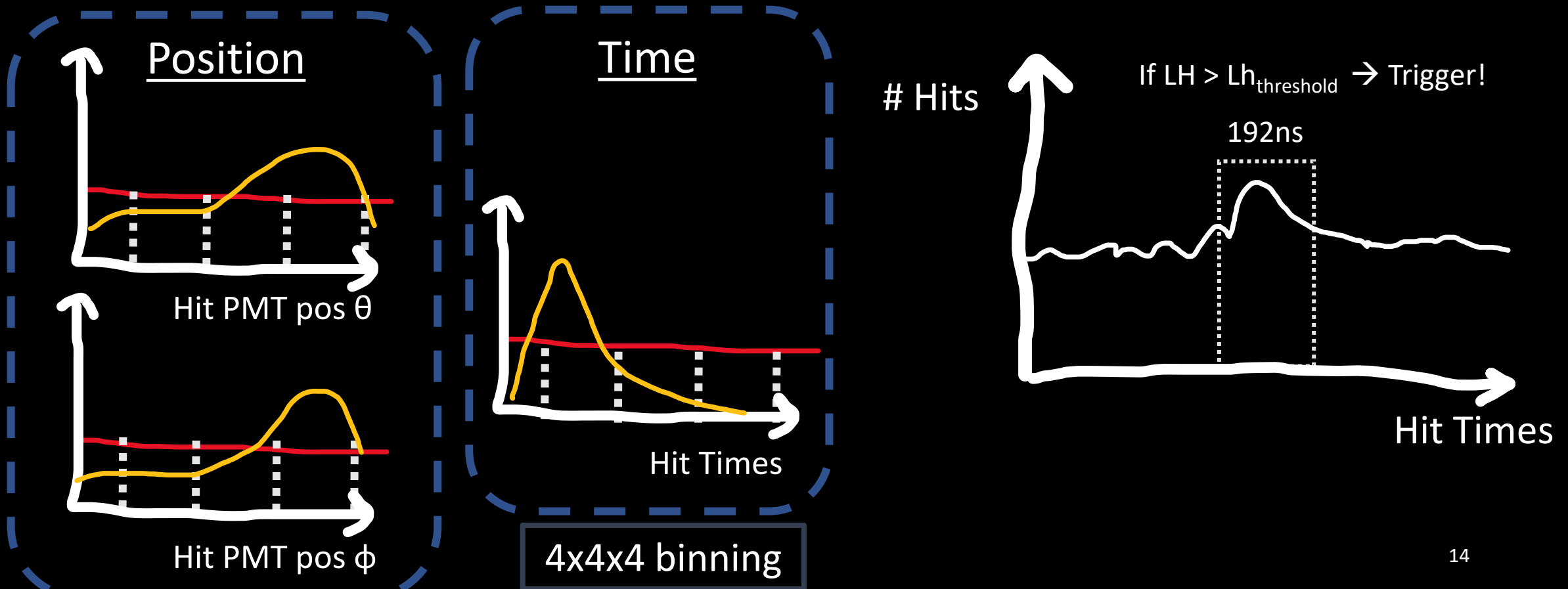
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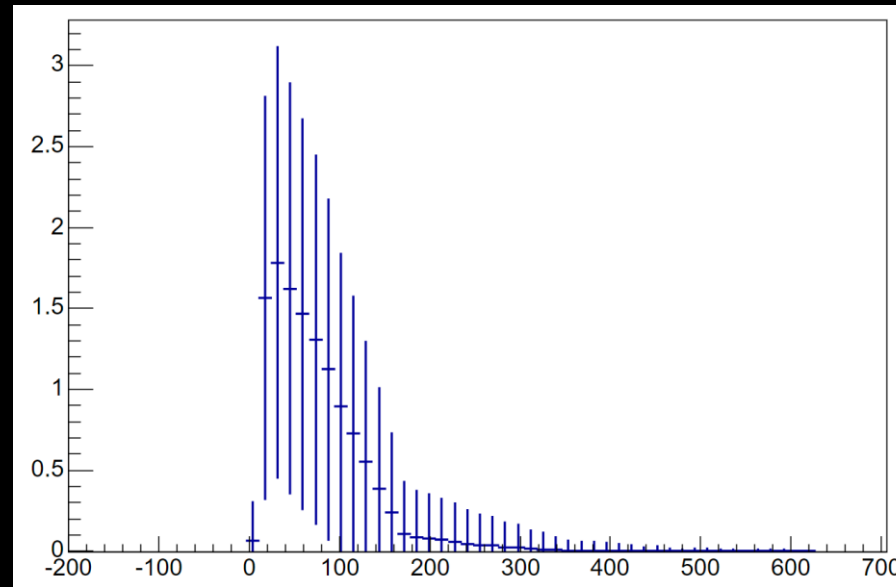


Lowering the trigger threshold further

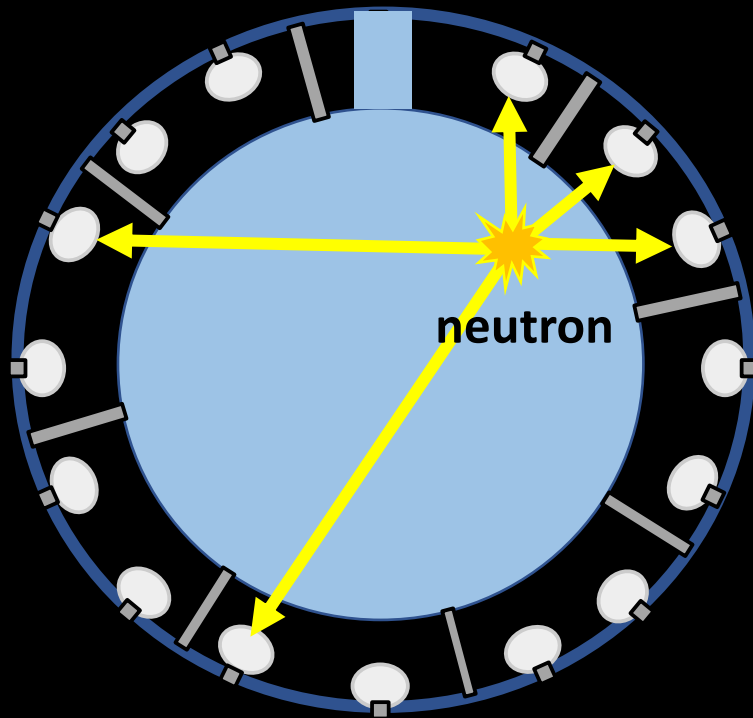
Cherenkov light is less isotropic (PMTs more localised in space), faster emission

→ May need minor modification to use MM trigger in the water phase.

PMT hit times of 2.2MeV gammas
distributed in water within the acrylic vessel



Majority of signal hits
coming in $\sim 100\text{ns}$!
(Standard MM trigger is
196ns divided into 4 bins)



PMT hit times (detsim) [ns]

Lowering the trigger threshold further

Tested many configurations of the MM trigger algorithm, measuring

→ 2.2MeV gamma trigger efficiency

→ Rate of triggers due to DN only

[Baona, Shishen, Yuxin](#)

MM trigger is limited by the LH calculation speed!

(Standard MM running on FPGA takes ~60ns per calculation)

Simple hits/window method:

~10kHz DN → ~7 % efficiency

Standard MM

4x4x4 bins, 192ns window:

~10kHz DN → ~10% efficiency

Optimized MM

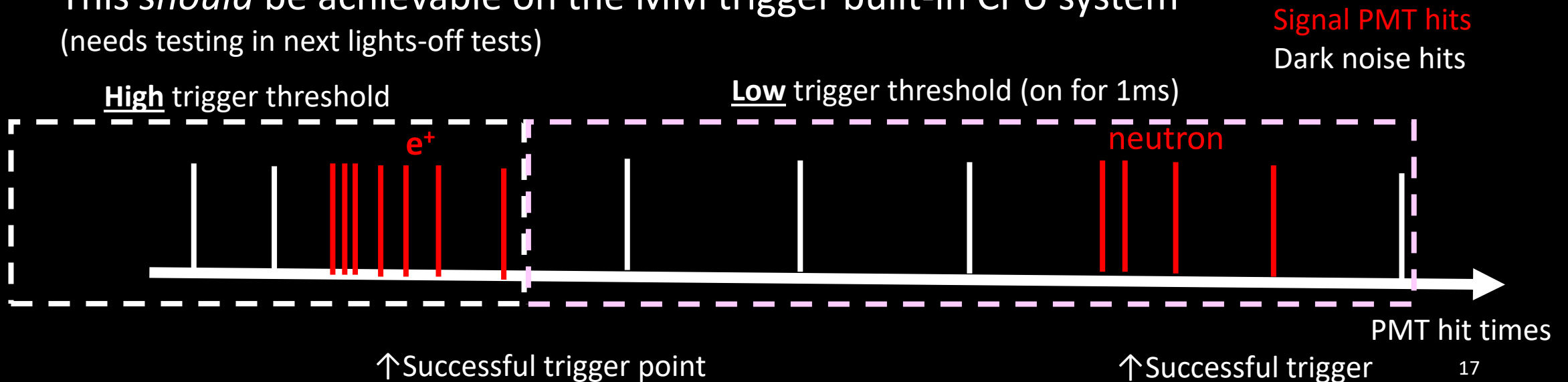
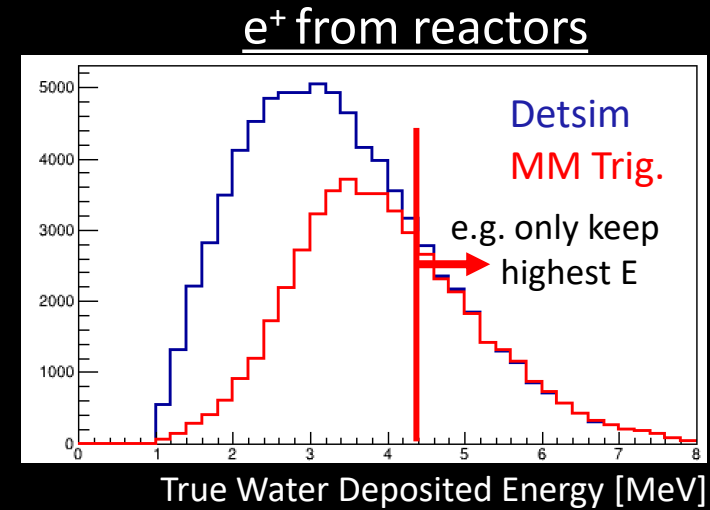
4x4x1 80ns window:

~10kHz DN → 16% efficiency

Improved the neutron efficiency, can we decrease the DN rate with the trigger?

Lowering the trigger threshold further (x2)

- Idea from [Akira](#): coincident triggering
 - If willing to sacrifice some signal:
 - Trigger on higher energy e^+ , then can have 2 trigger thresholds.
 - E.g. high trigger threshold for e^+ , if triggered, apply a lower threshold for $\sim 1\text{ms}$ (i.e. the neutron capture time window)
 - This *should* be achievable on the MM trigger built-in CPU system (needs testing in next lights-off tests)



What trigger thresholds do we choose?

- Optimising the two threshold values, we must balance:
 - IBD signal efficiency
 - False IBD pair rate due to DN triggers

The Plan

- MM trigger only uses low-level PMT info to remove Dark noise triggers
- Safer to accept more dark noise triggers → Save the most potential signal events
- Following triggering, try to separate them offline using full PMT T,Q info

NEED:

- Offline strong DN reduction using PMT T,Q info (e.g. run on OEC?)
- What event rates can DAQ/OEC handle?

What trigger thresholds do we choose?

- Optimising the two threshold values, we must balance:
 - IBD signal efficiency
 - False IBD pair rate due to DN triggers

For demonstration purposes:

We don't have good offline DN removal currently

What if we just chose a severe trigger threshold on the MM trigger.

Assuming an e^+ LH cut of 60, and a neutron LH cut of 53:

Signal trigger Rate = (IBD Rate in full AV)(e^+ efficiency)*(neutron efficiency)*

$$(60/\text{day}) * (28.1\%) * (2.2\%) = \underline{\sim 0.4 \text{ IBDs per day within 17.7m}}$$

False IBD pair rate from DN = (Trig Rate @ Lh_{high})(Trig Rate @ Lh_{low})*(ΔT)*

$$(2.5e-3\text{Hz}) * (1.21\text{Hz}) * (1\text{ms}) * (1\text{day}) = \underline{\sim 0.26 \text{ false IBDs per day}}$$

Severe MM trigger cuts:

i.e. in 10days

~4 signal events ~3 BG events

~on par with SNO+ 190 day
result!

(Likely big improvement with
DN reduction + reconstruction)

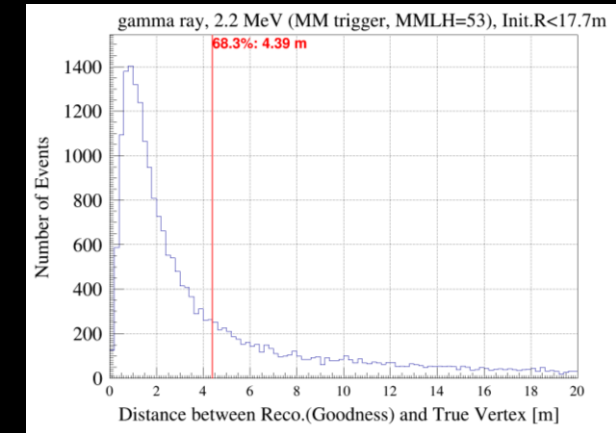
Reconstruction in water (in progress)

- Good progress in water position reconstruction work by [Baona](#) DocDB #
 - Resolution improved from $\sim 10\text{m}$ \rightarrow $\sim 2.5\text{m}$ (for 2.2MeV gammas)
- Apply ΔR cut between e^+ and neutron
 - Random DN pairs expected further apart
- E.g. a $\Delta R < 9\text{m}$ reduces DN BG by $\sim 80\%$

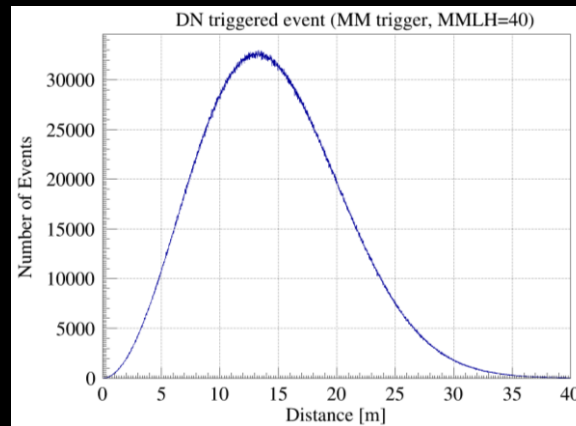
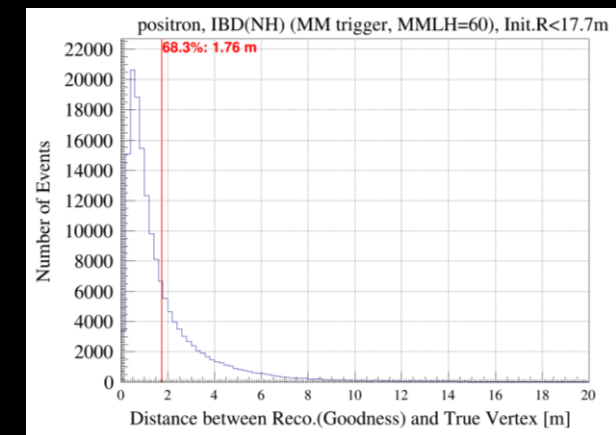
Severe MM trigger cut, 10 days:
4 IBDs, 3 BGs \rightarrow 4 IBDs, <1 BGs

NEED:
 \rightarrow Faster water reconstruction
 \rightarrow Improved resolution will increase signal efficiency and reduce BGs

Neutron capture position resolution ($<17.7\text{m}$)



Reactor e^+ position resolution ($<17.7\text{m}$)



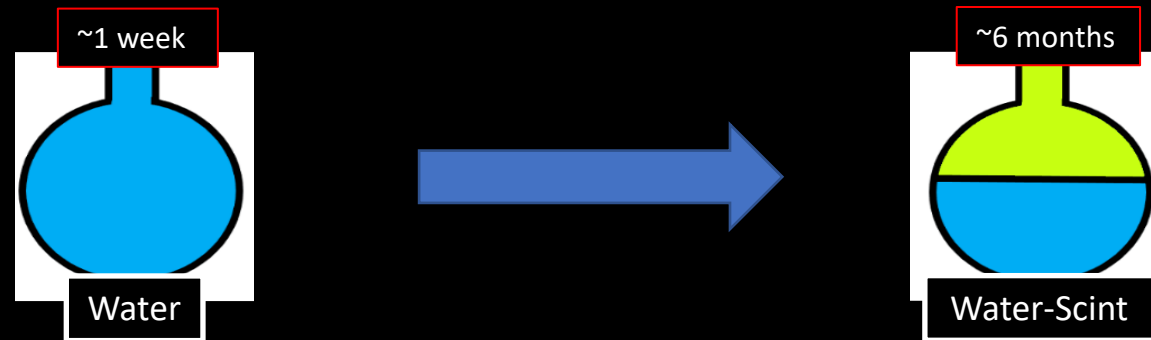
Reconstructed distance between random DN pairs

Onwards/Needs

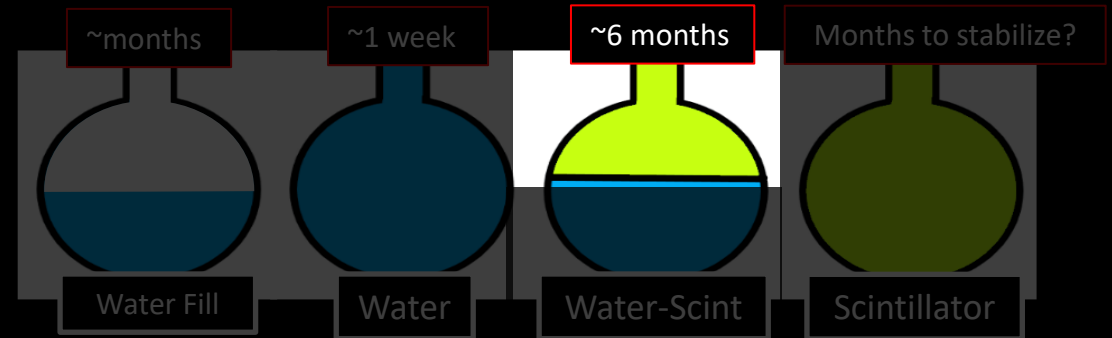
Seemingly (with work) a nice result is possible, however there are some **vital** needs moving forward:

- **Offline DN trigger removal** – need much stronger methods, must retain more signal efficiency
 - Plan to start one of our new students on an ML method
- **Radioactivity** – Water BGs possible can be 10x higher than LS
- **External water events** + associated higher BGs – e.g. PMT glass!
- **Impact of LS** – moving LS-H₂O interface, extra low energy backgrounds from LS?
- **Muon recon + vetoing** – e.g. Akira+Yankai WP muon recon during filling veto strategies.
- **Exact calibration strategy** – what uncertainty levels can we reach in trigger efficiency.
- **Reconstruction** (water and LS-mixed) always need improvement - will improve signal + reduce all BGs
- **Electronics** - what event rates can we realistically handle? (MM, DAQ, OEC)
- Hardware testing on MM trigger – stress tests + coincidence triggering

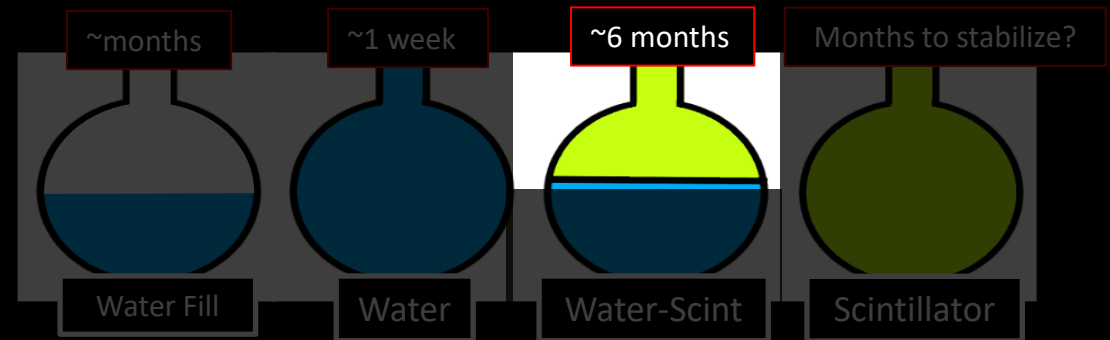
Water → Liquid Scintillator



IBDs in LS during filling



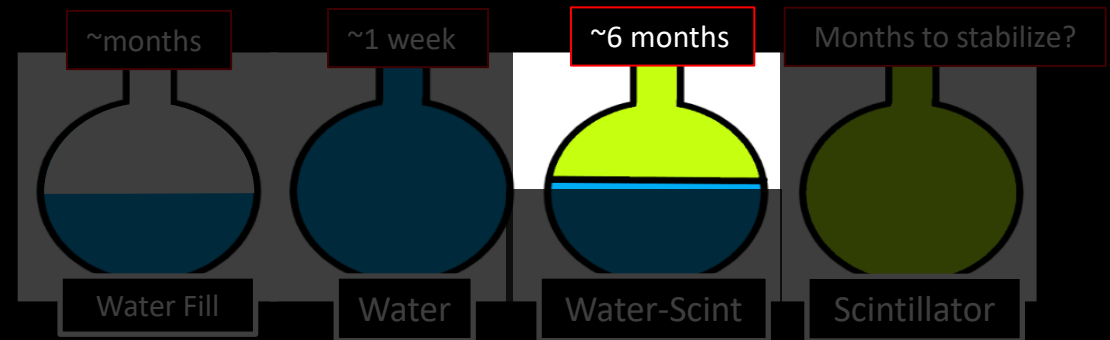
IBDs in LS during filling



Hope to measure IBDs in the ~6months of filling (equiv. to ~3 months of full fill)

- Can we make “good” oscillation parameter measurements?
- Great stress test of detector, IBD extraction, BG determinations and calibration

IBDs in LS during filling



Contents:

- 1) Basic reconstruction during LS filling
- 2) Basic calibration with BiPo214
- 3) Application to IBDs during LS filling

Reconstruction in LS during Filling

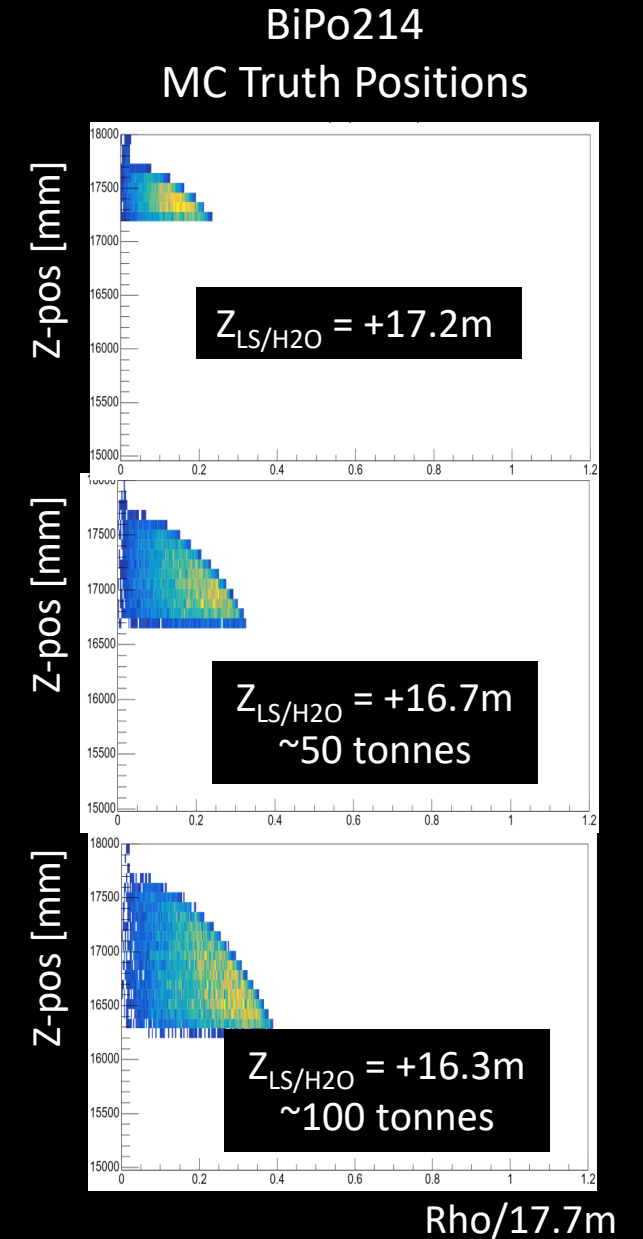
Reconstruction in LS during Fill

During fill, hope to

- Measure various backgrounds
- Tag IBD events

Issue: slowly moving LS-H₂O interface height

- Most full fill reconstruction algorithms cannot be used directly during the filling phase



Recon in early Fill

Simple time-weighted charge centre position fitter used in this study

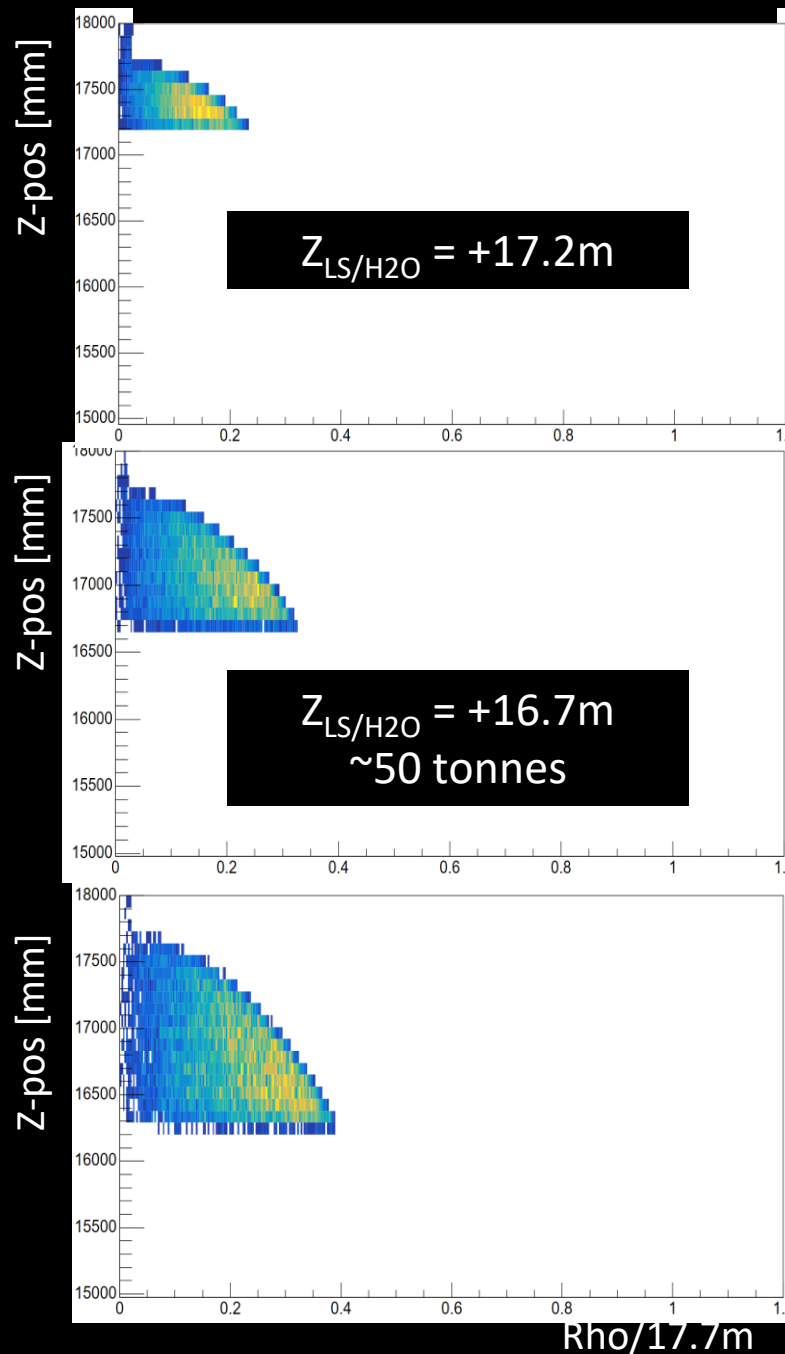
[\(DocDB #10210\)](#)

Tagging BiPo214 pairs during LS filling using IMB QCtr method

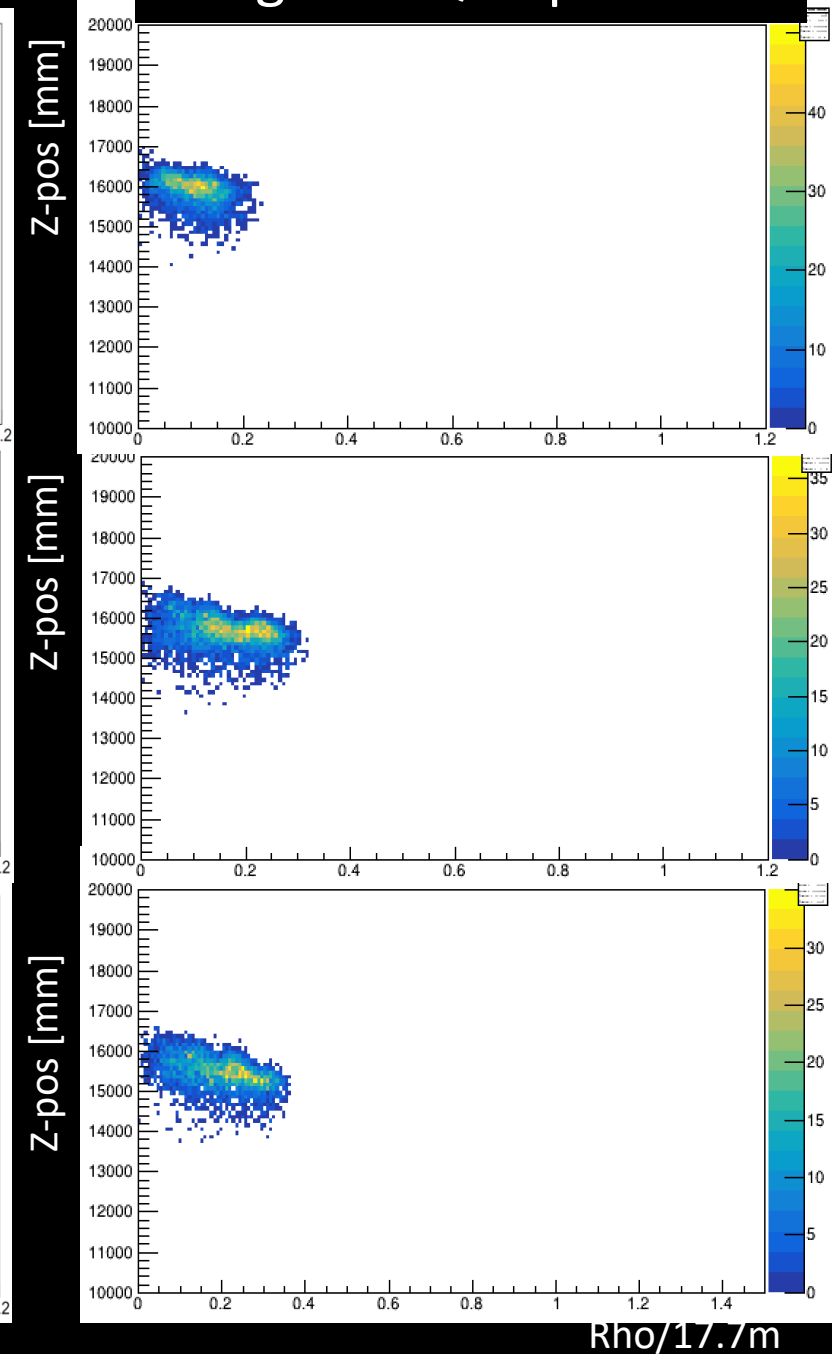


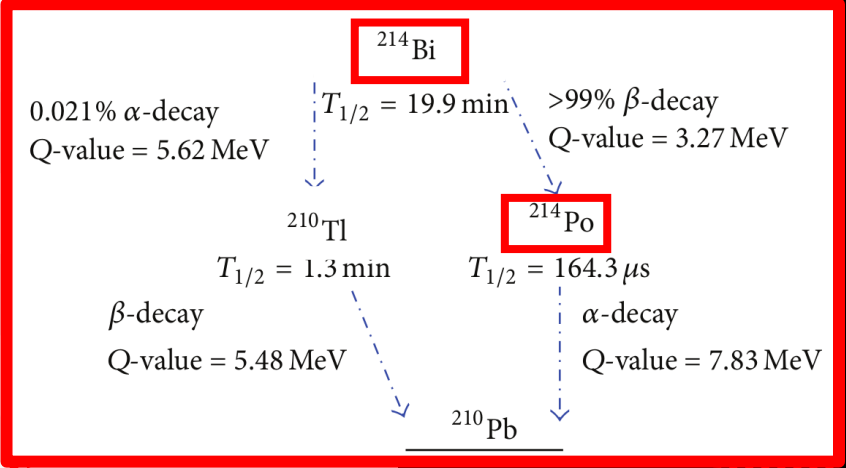
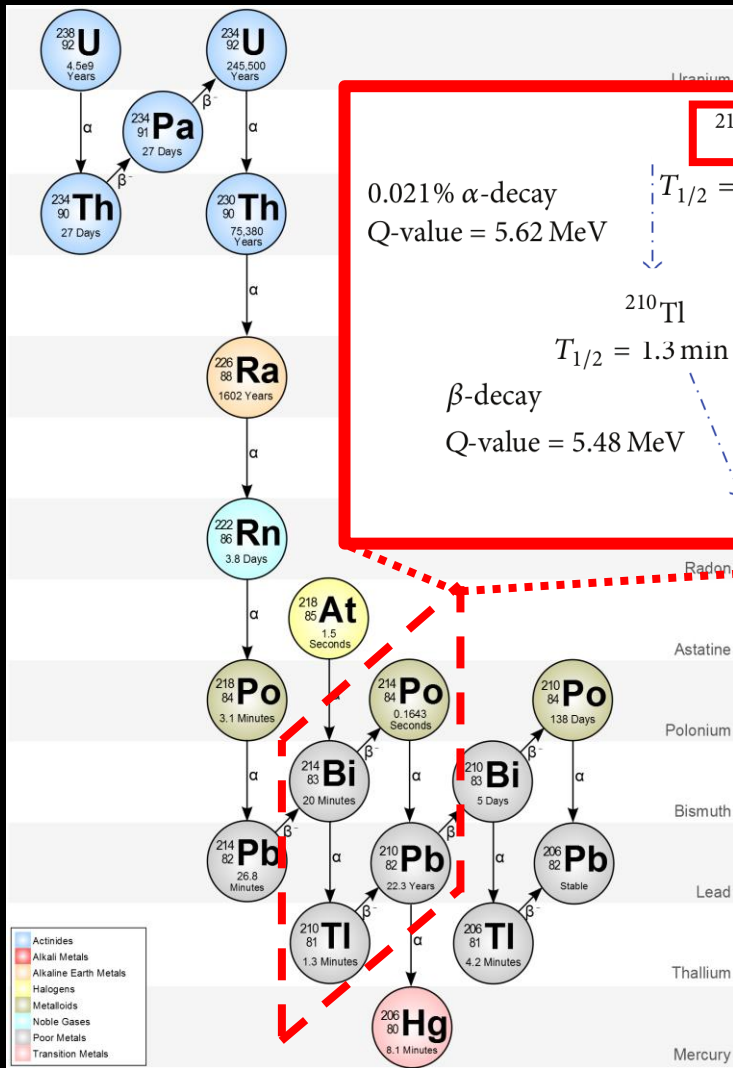
- True pos != Recon pos
- But, closer to real distribution around the detector
- Reduced clustering of events

MC Truth Positions



Weighted Qctr positions





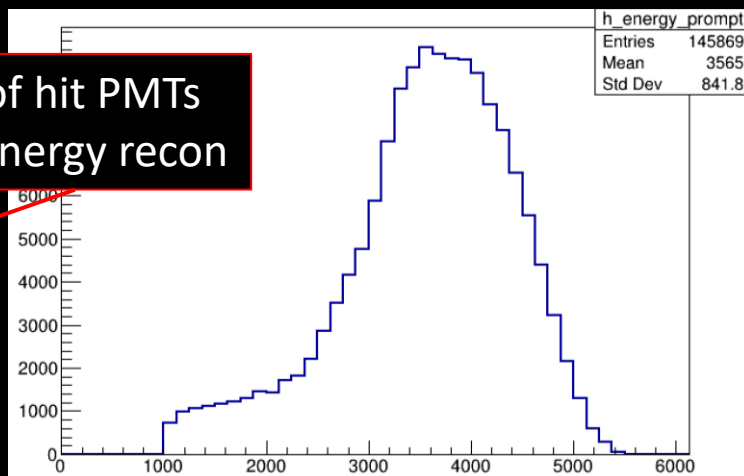
Basic Calibration during Filling with BiPo214

BiPo214 Tagging with Simple Reconstruction

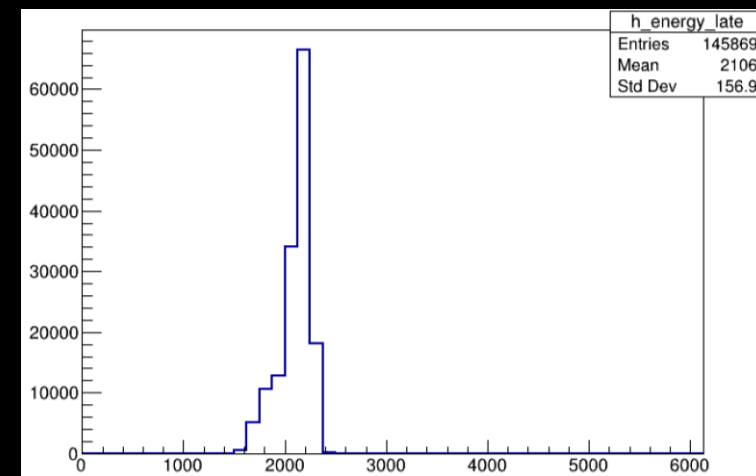
Example BiPo214 cuts

Prompt	Late
No radius cut	
$E > 1000$ PMT hits	$1500 < E < 2500$ hits
$\Delta r < 2$ m	
$1200\text{ns} < \Delta t < 2\text{ms}$	

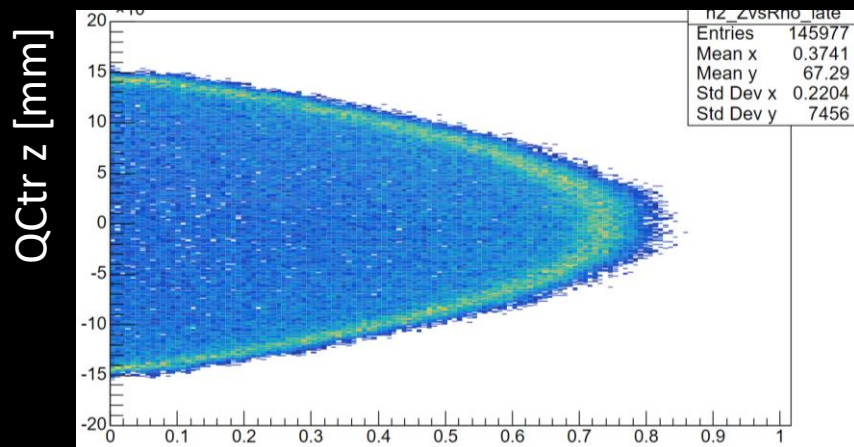
of hit PMTs
as energy recon



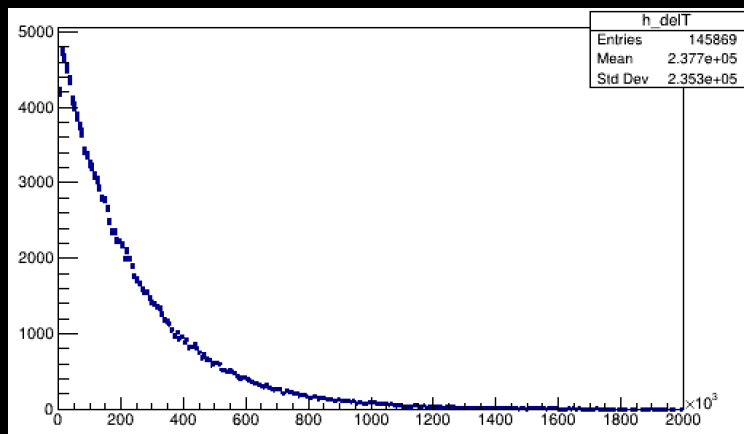
Prompt (Bi214) nhits



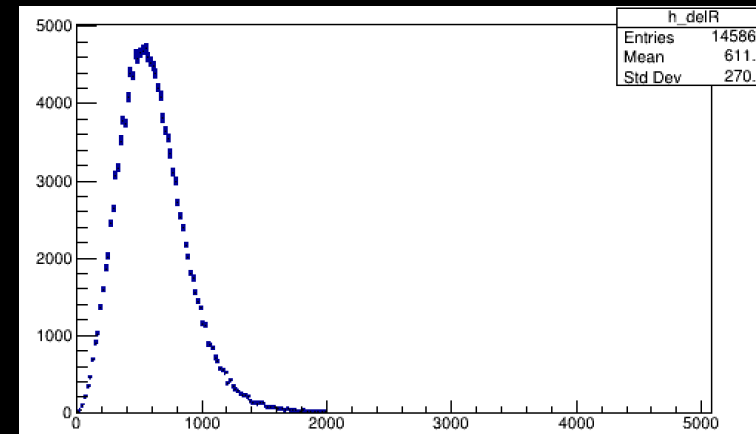
Late (Po214) nhits



$x^2 + y^2 / 17.7^2$
(QCtr)



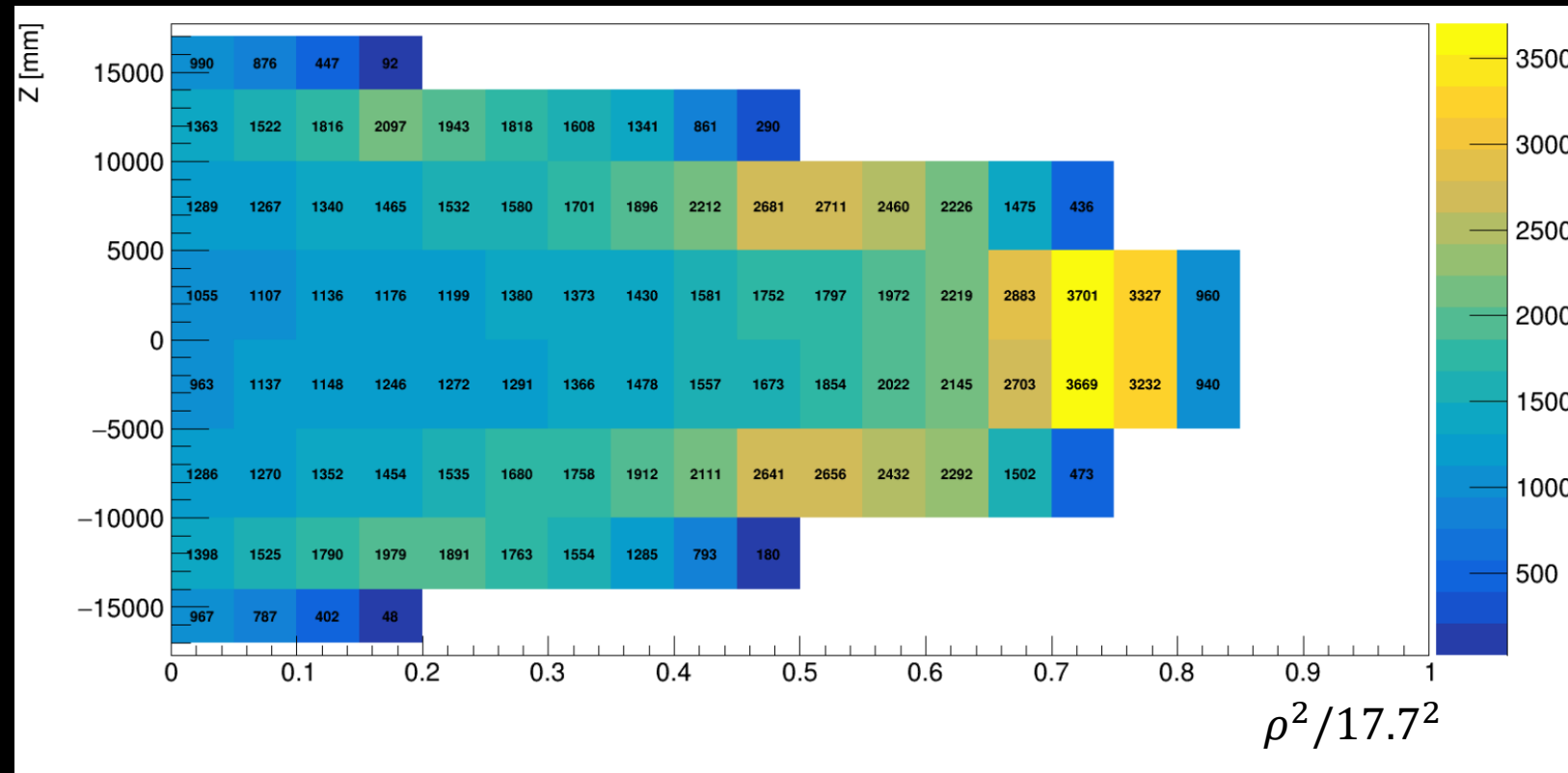
delT [ns]



delR (QCtr)₃₀ [mm]

Basic Calibration during Fill

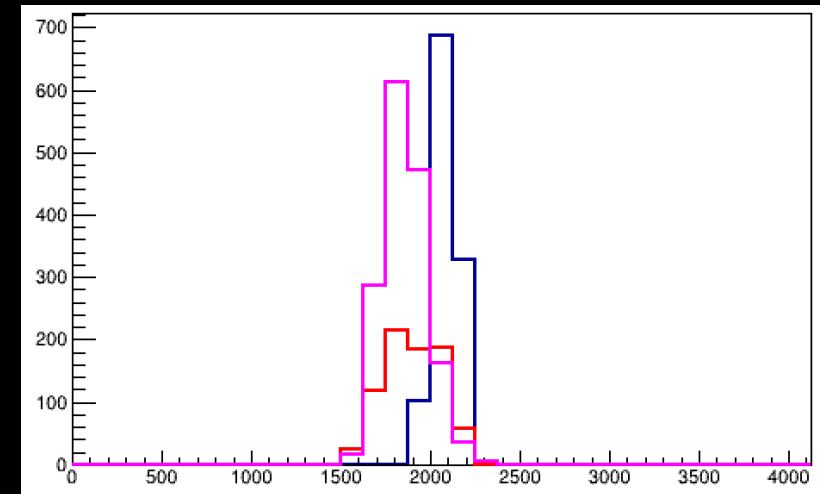
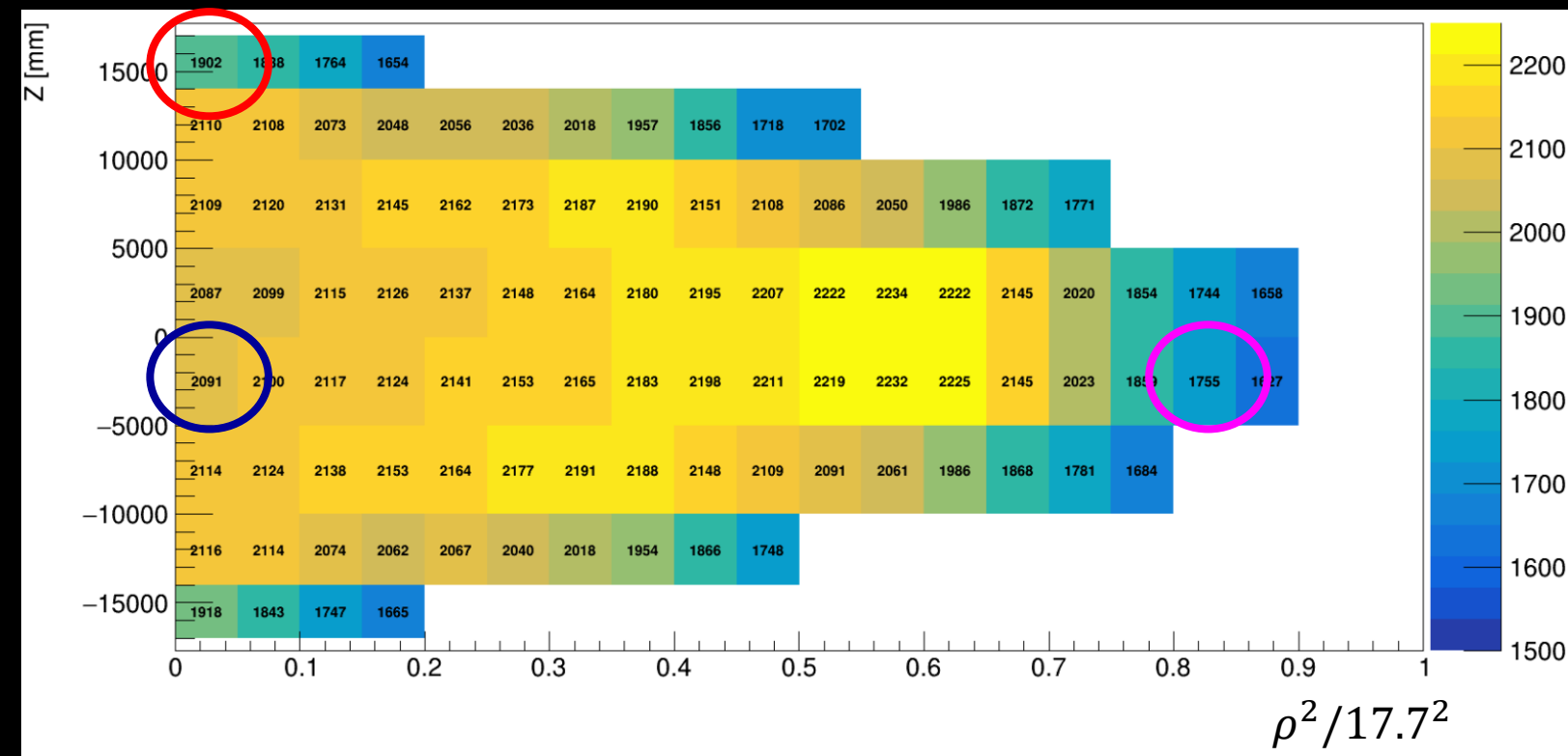
Number of tagged BiPo214 in each bin over ~1week



Assuming 10^{-15} gU/gLS,
Likely will have much
more due to Rn ingress
during fill

Basic Calibration during Fill

Detector non-uniformity:
Po214 Number of PMT hits vs position

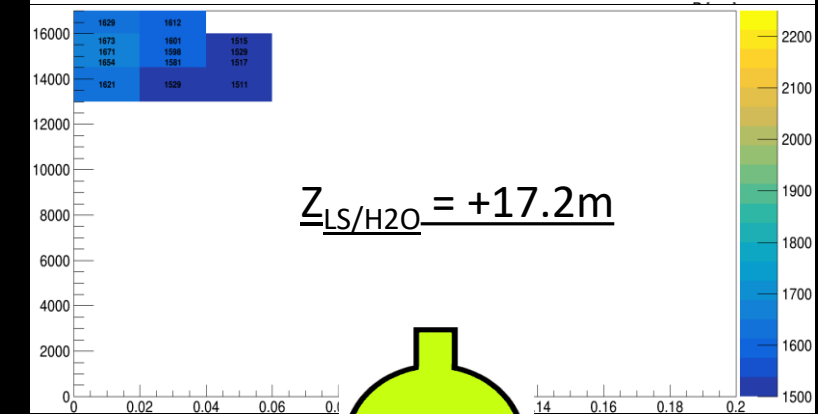
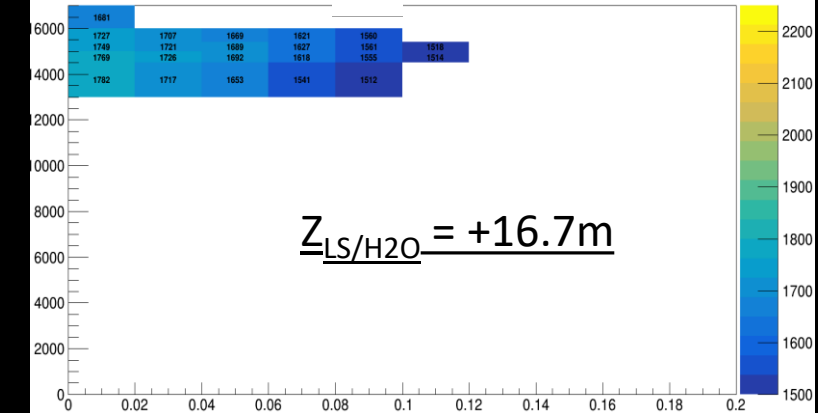
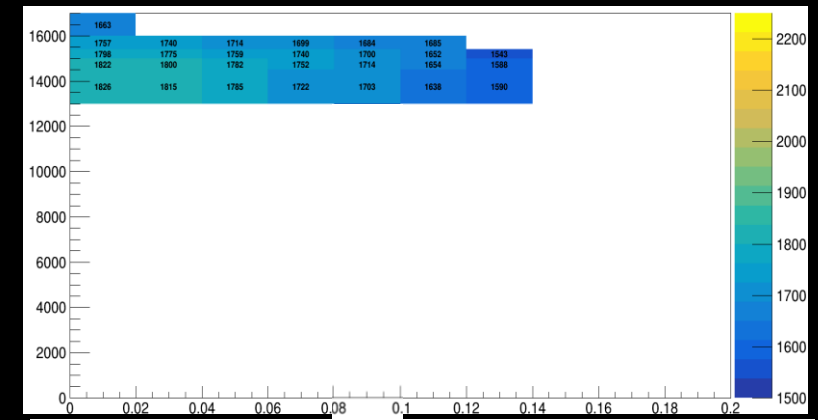
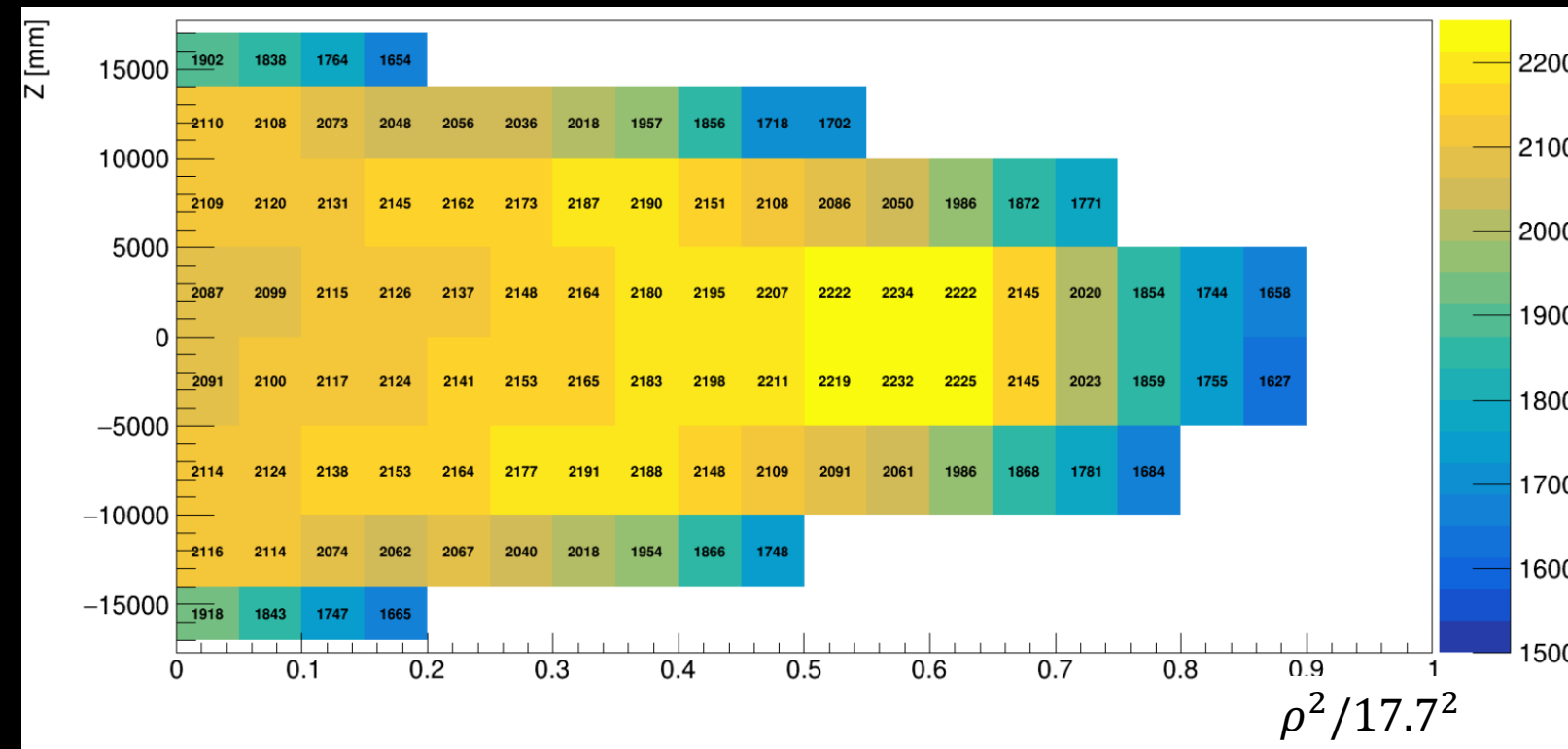


Po Number of PMT hits
(Measure of light collection)

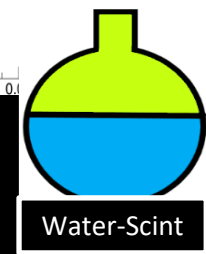
Can use tagged BiPo214 events
to map out light collection
around the detector

Basic Calibration during Fill

Detector non-uniformity:
Po214 Number of PMT hits vs position



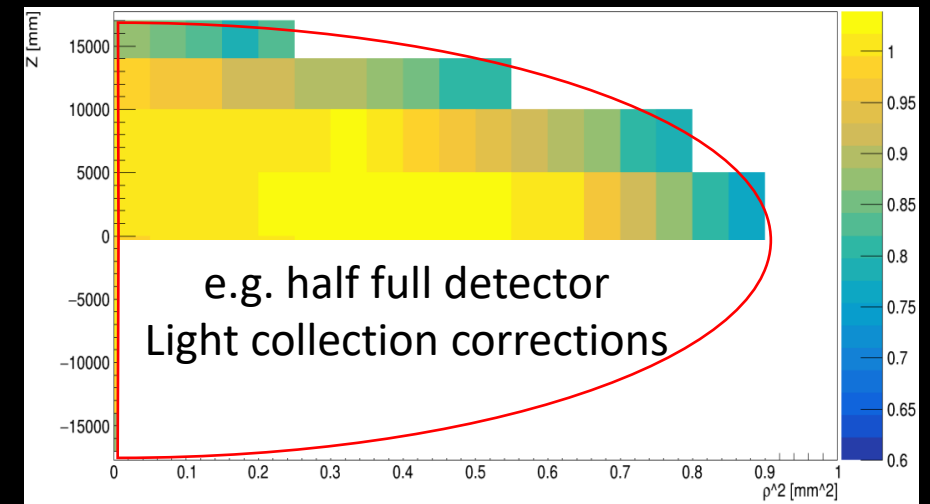
Average light yield seems to depend on interface level



Basic Calibration during Fill

- Produce ~weekly updates of detector conditions:
 - Use BiPo214 + neutron followers
 - Light collection vs pos
 - Position resolution vs pos
 - Time residual shapes?
- E.g. Analyser uses the light collection around the detector in the Xth week of 2025
 - Energy reconstruction ~ Apply nhit corrections vs reconstructed position

Po214 light collection
(relative to centre) vs QCtr position

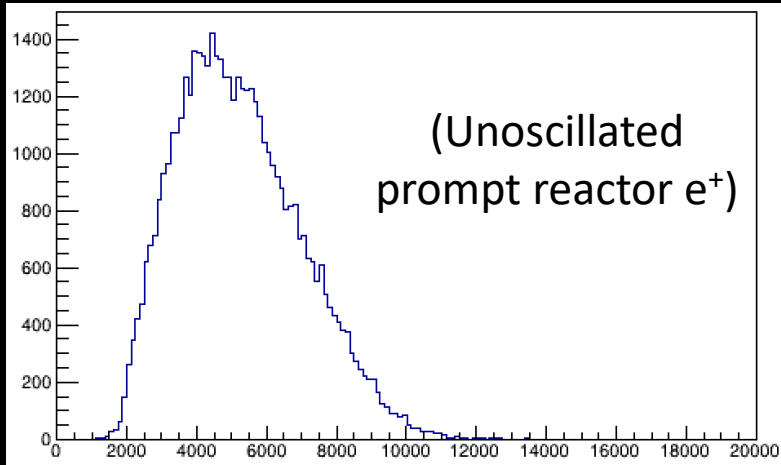


NEED:

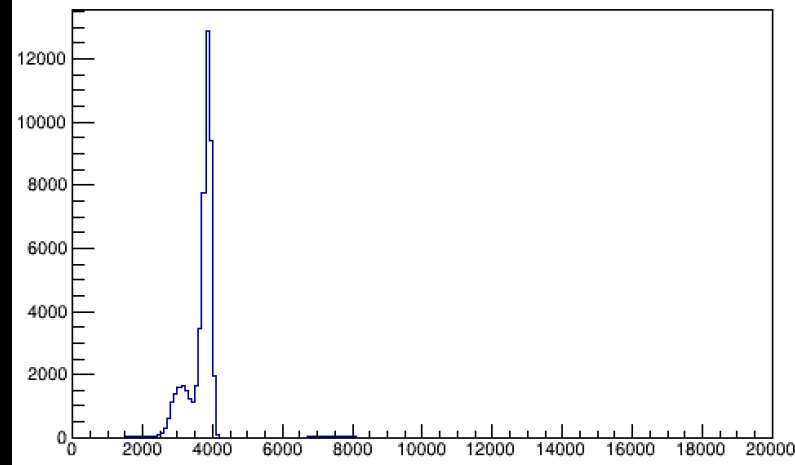
- P.e. separation, uncertainties understood
- Improved, simple position reconstruction

Applying Simple Calibration to Reactor IBDs

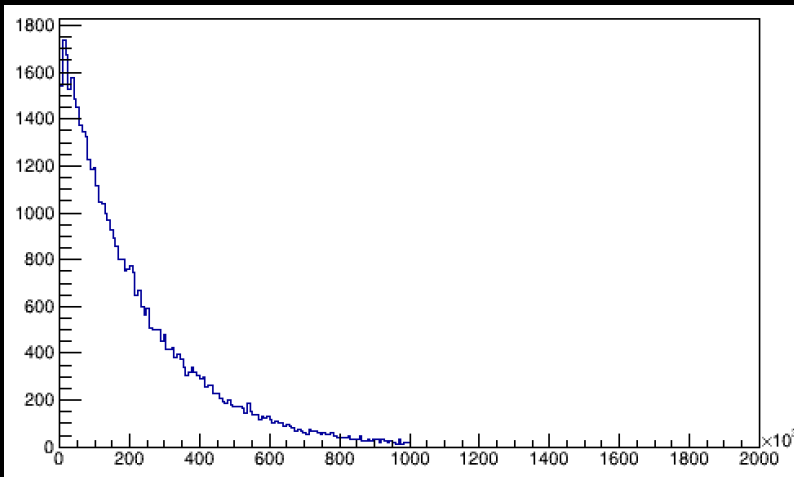
Tagging IBDs with Simple Reconstruction



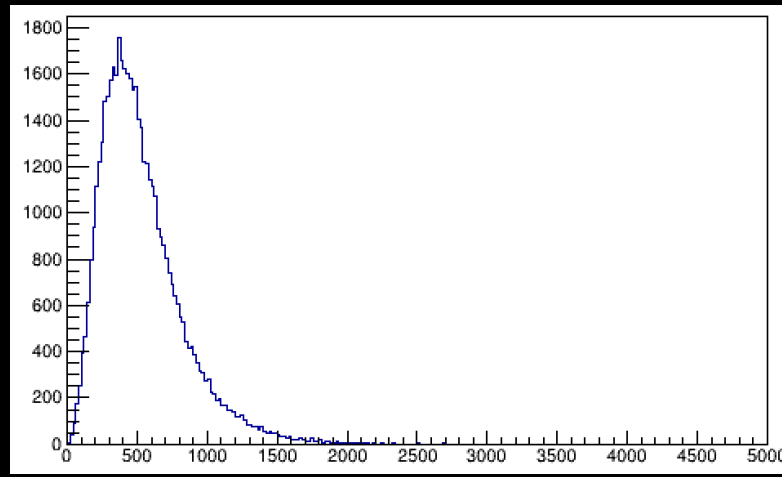
Prompt (e⁺) npe



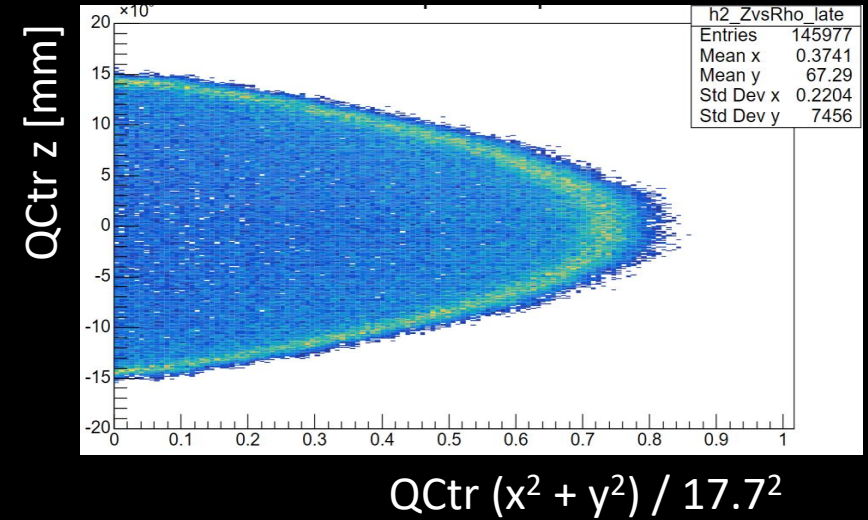
Late (neutron) npe



delT [ns]



delR QCtr [mm]

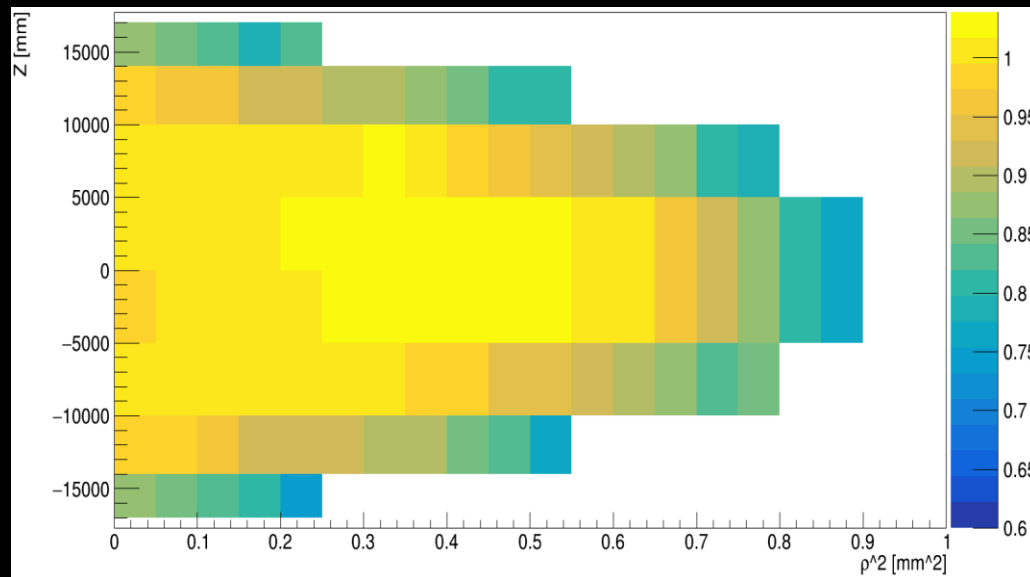


Simple calibration example

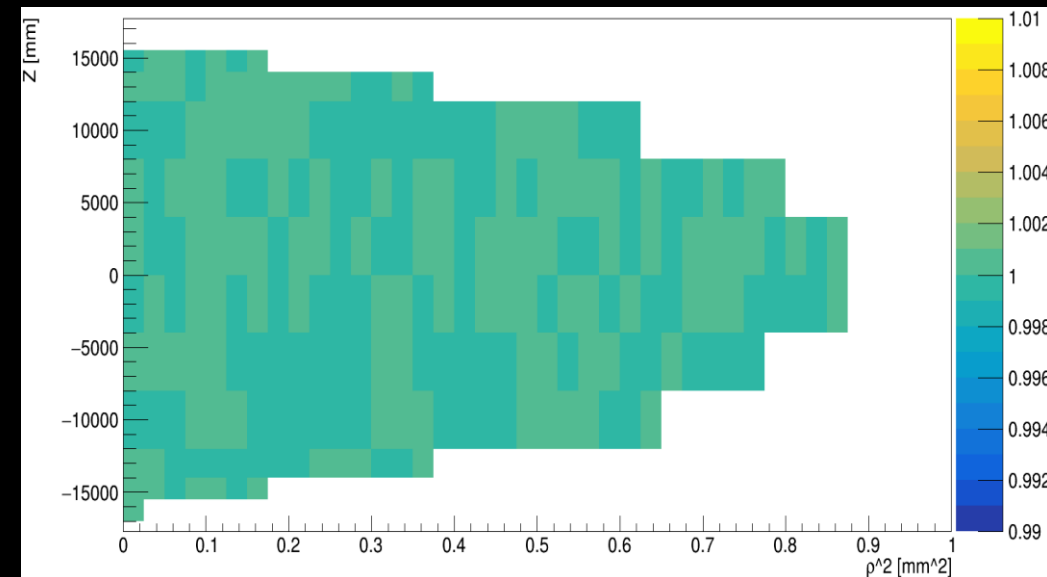
- Use tagged BiPo214 to correct #PMT hits vs position

Po214 Mean n.p.e. (relative to centre) vs position

Before Correction



After Correction



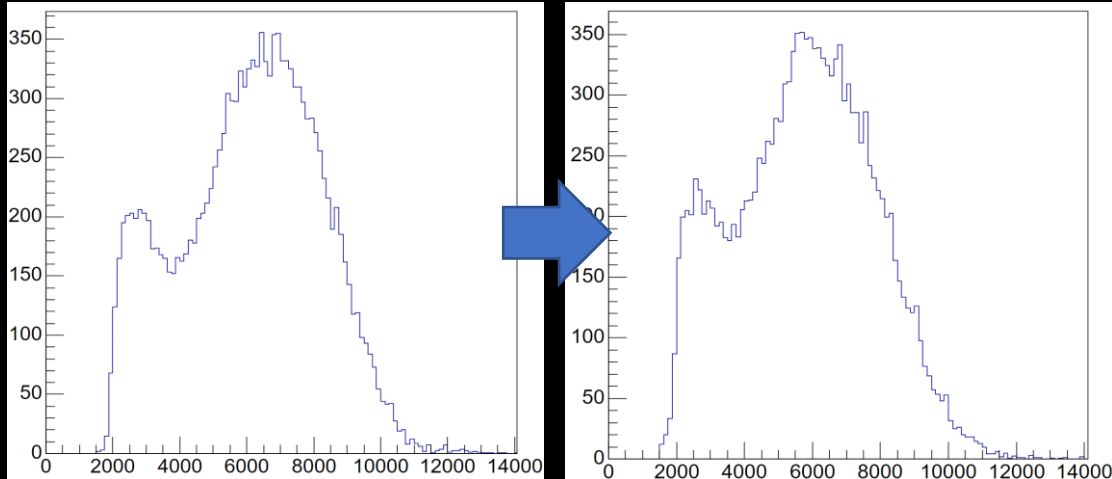
Simple calibration example

- Use tagged BiPo214 to correct #PMT hits vs position
- Apply corrections to tagged IBD events

Can afford a narrower neutron cut \rightarrow reduced backgrounds



IBD – Prompt e^+ (oscillated)



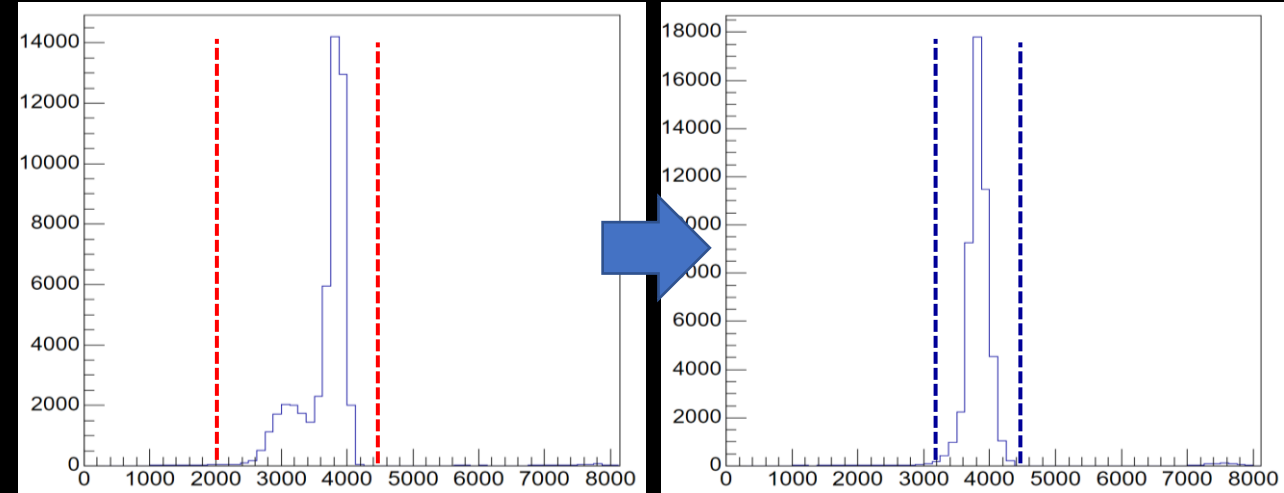
Total npe

Total npe

Before Correction

After Correction

IBD – Late neutron



Total npe

Total npe

Before Correction

After Correction

IBDs in LS → Simple Example Case #1

Case 1:

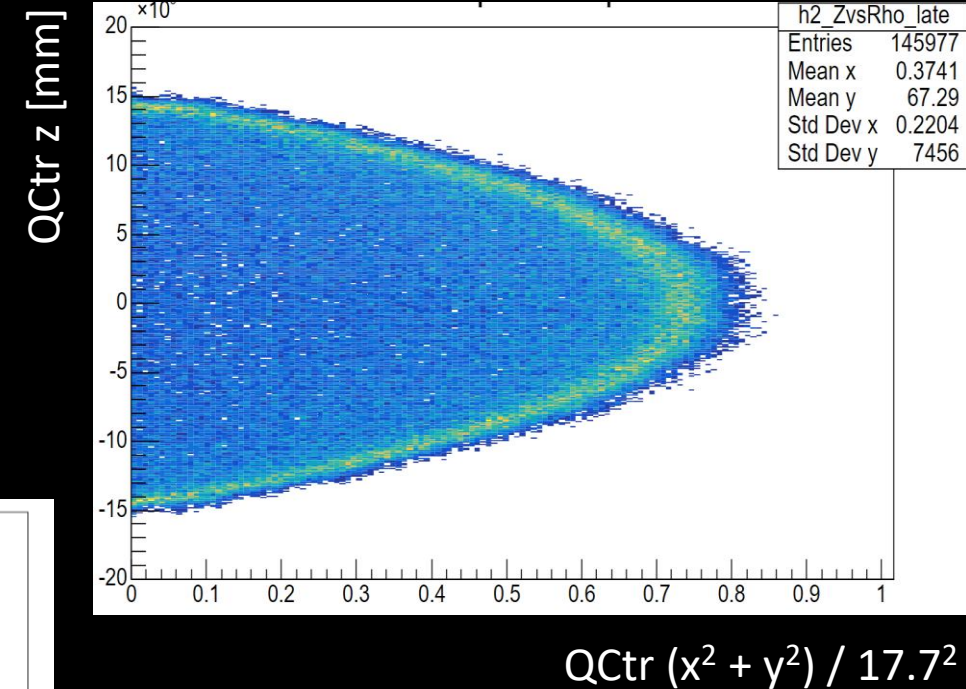
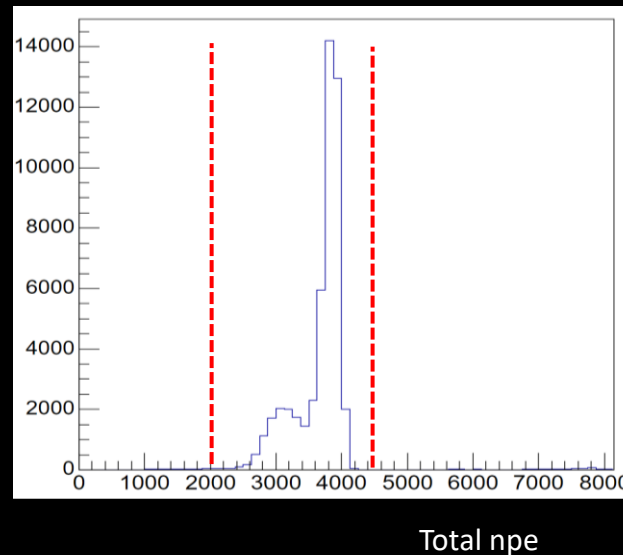
- Don't trust position recon accuracy for a FV cut, use it for delR only
- Don't use non-uniformity corrections

Example IBD cuts

Prompt	Late
$R_{Qctr} < --$	
$E > 1500$ PMT hits	$2000 < E < 4500$ hits
$\Delta r < 1.5$ m	
$1200\text{ns} < \Delta t < 1\text{ms}$	

Cut signal efficiency: ~95%
 BG: Accidentals = 22 /day
 (no muon veto)

No energy correction



IBDs in LS → Simple Example Case #2

Case 2:

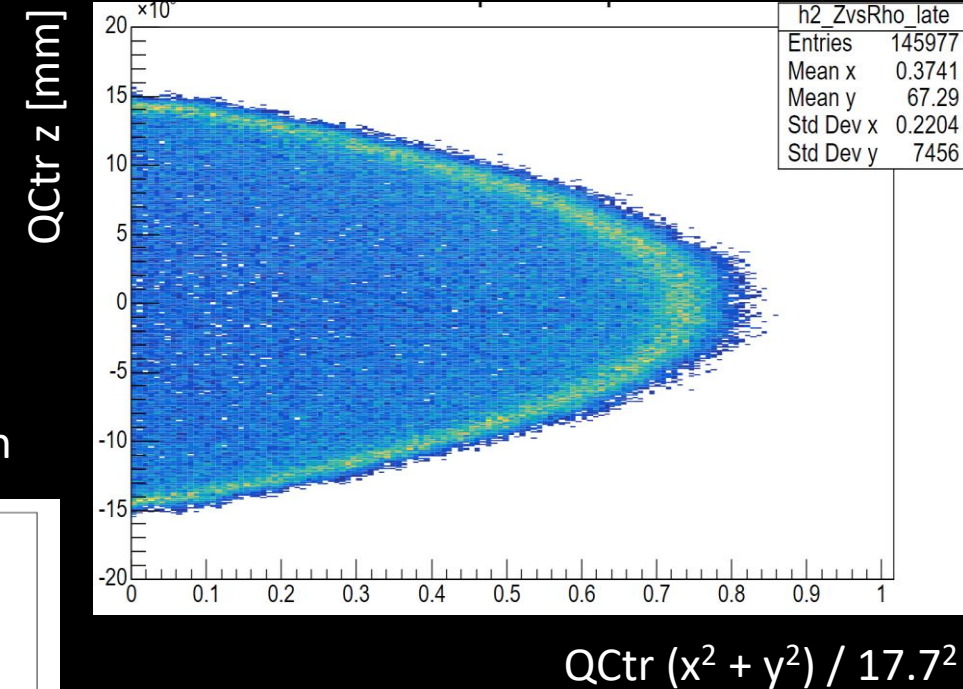
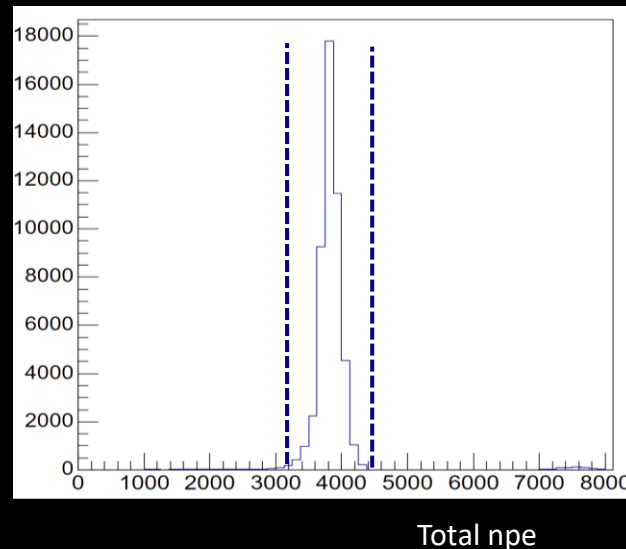
- Don't trust position recon accuracy for a FV cut, use it for delR only
- Apply non-uniformity corrections (BiPo214)

Example IBD cuts

Prompt	Late
$R_{Qctr} < --$	
$E > 1500$ PMT hits	$3300 < E < 4300$ hits
$\Delta r < 1.5$ m	
$1200\text{ns} < \Delta t < 1\text{ms}$	

Cut signal efficiency: ~95%
 BG: Accidentals = 16.7 /day
 (no muon veto)

Applying energy correction



IBDs in LS → Simple Example Case #3

Case 3:

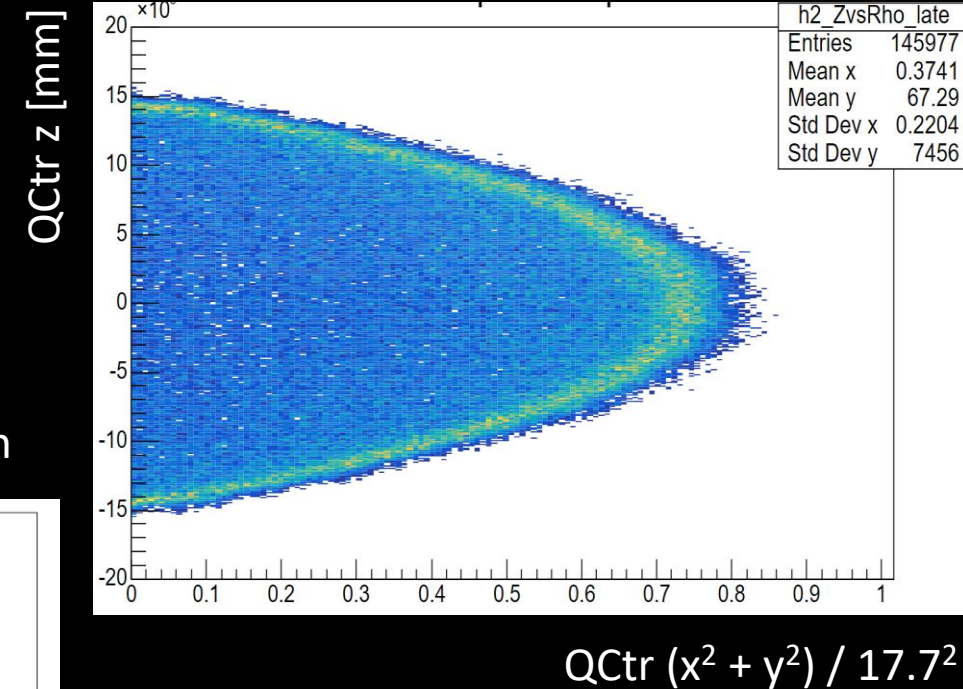
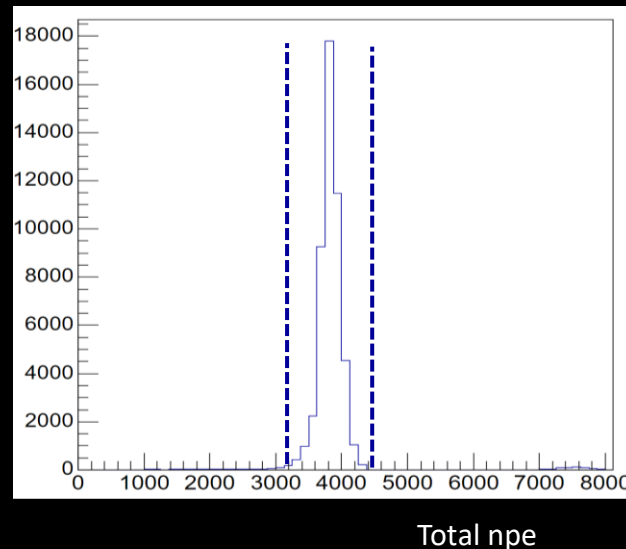
- Apply a FV cut
(NEED: calibration sources near the AV)
- Apply non-uniformity corrections (BiPo214)

Example IBD cuts

Prompt	Late
$R_{Qctr} < 15.7m$	
$E > 1500$ PMT hits	$3300 < E < 4300$ hits
$\Delta r < 1.5$ m	
$1200ns < \Delta t < 1ms$	

Cut signal efficiency: ~77%
BG: Accidentals = ~ 0.5 /day
(no muon veto)

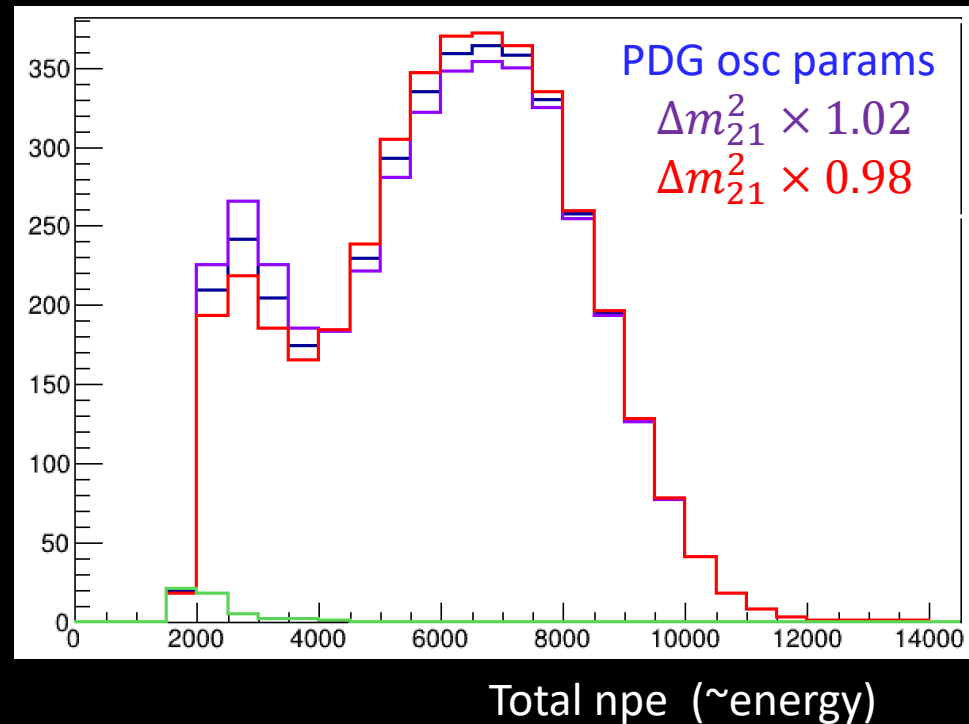
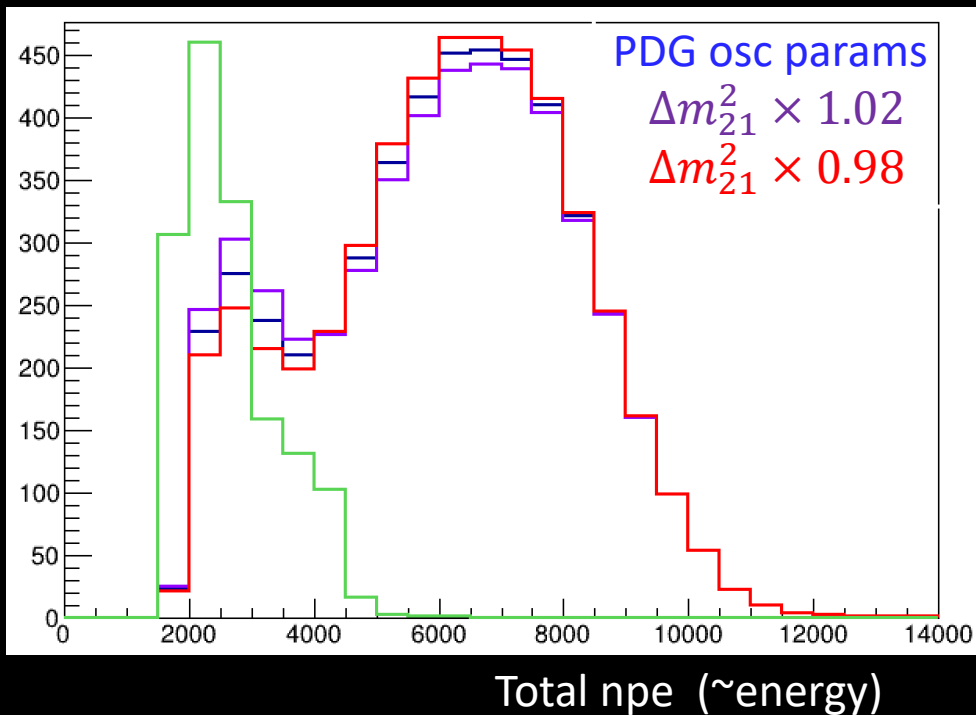
Applying energy correction



Oscillation parameter extraction

- No FV cut (high accidentals, lower uncert)
- Non uniformity correction applied

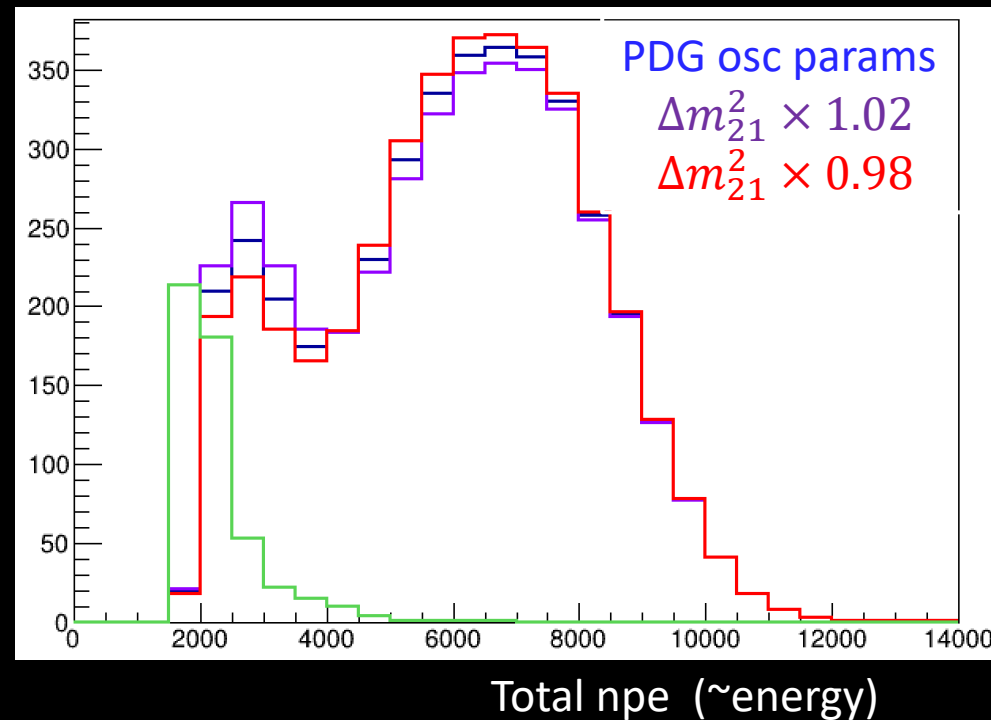
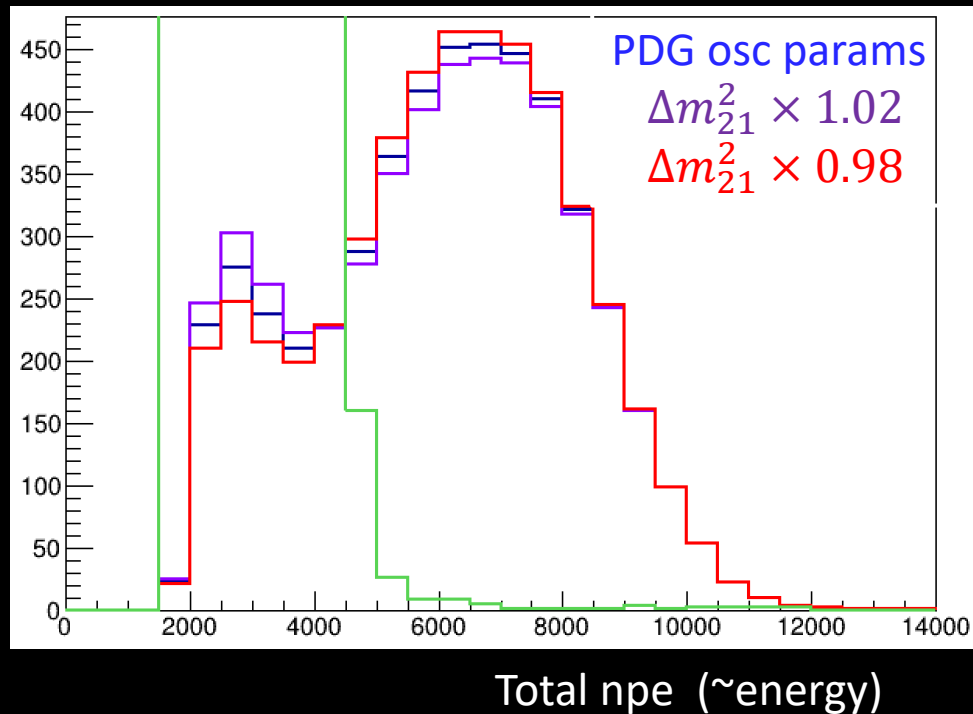
- FV cut of 15.7m (lower accidentals, needs calibration near edge for uncertainty)
- Non uniformity correction applied



Oscillation parameter extraction

- No FV cut (high accidentals, lower uncert)
- Non uniformity correction applied

- FV cut of 15.7m (lower accidentals, needs calibration near edge for uncertainty)
- Non uniformity correction applied



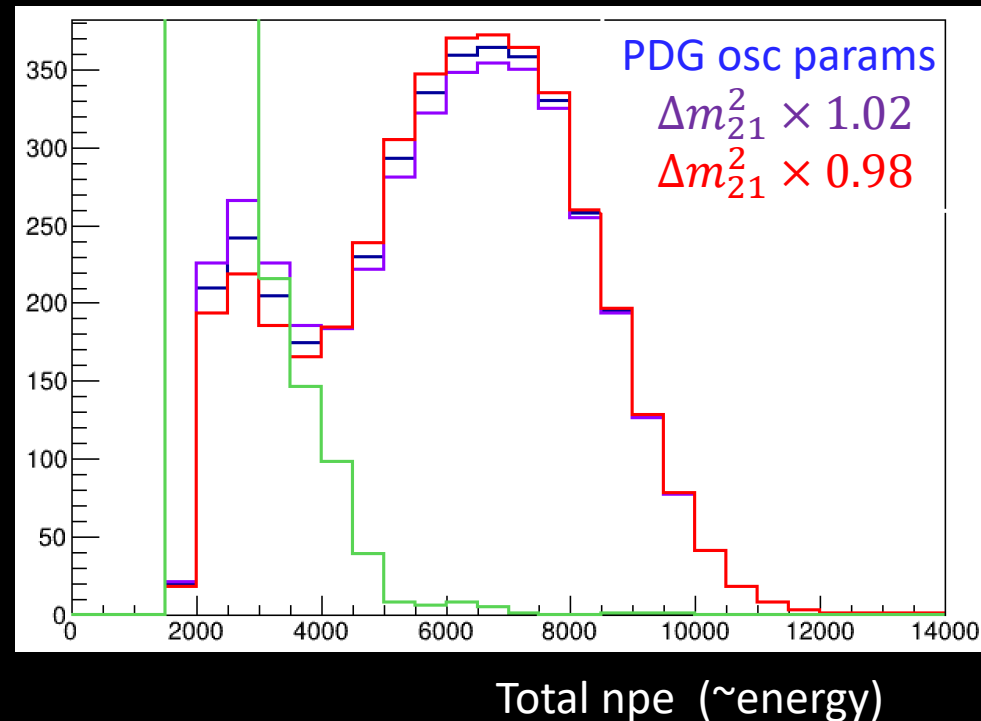
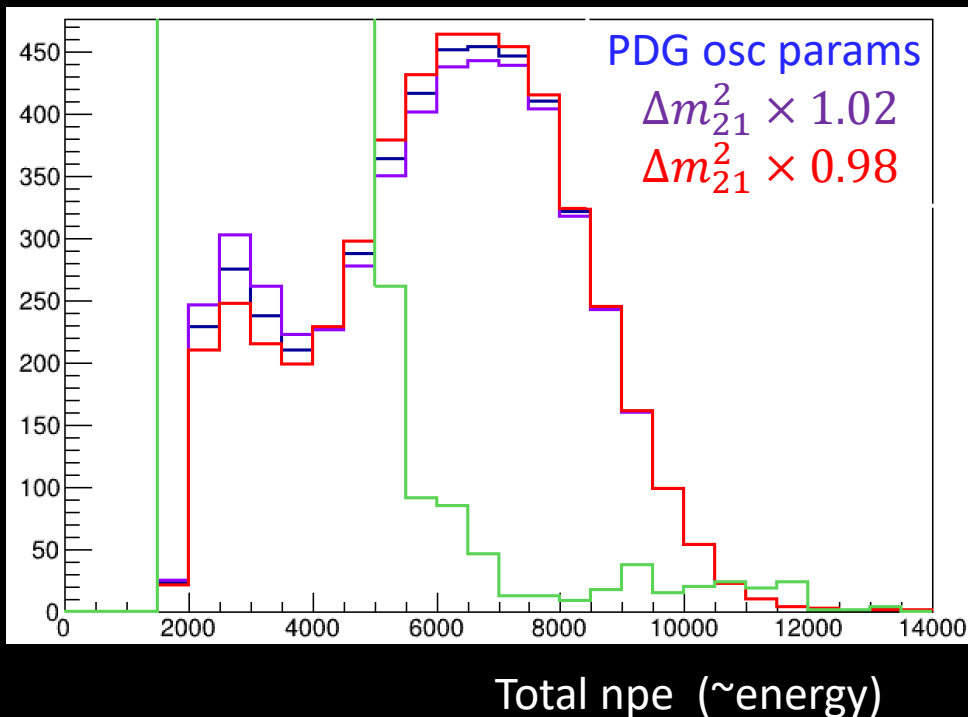
e.g.
x10 Acc

(Although external
backgrounds should
be well known!)

Oscillation parameter extraction

- No FV cut (high accidentals, lower uncert)
- Non uniformity correction applied

- FV cut of 15.7m (lower accidentals, needs calibration near edge for uncertainty)
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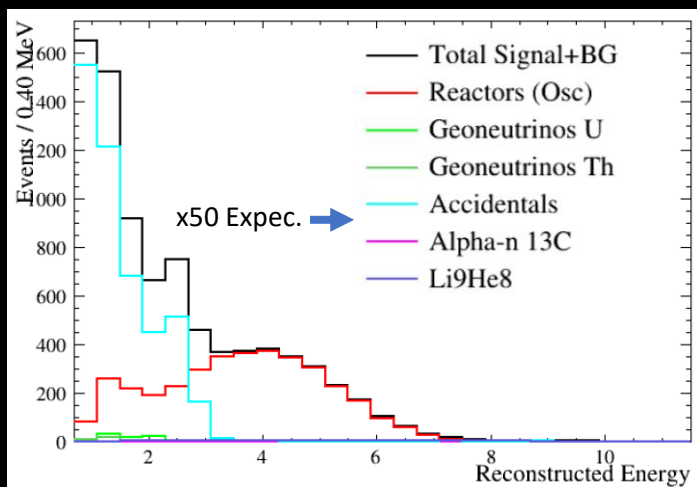


e.g.
x100 Acc

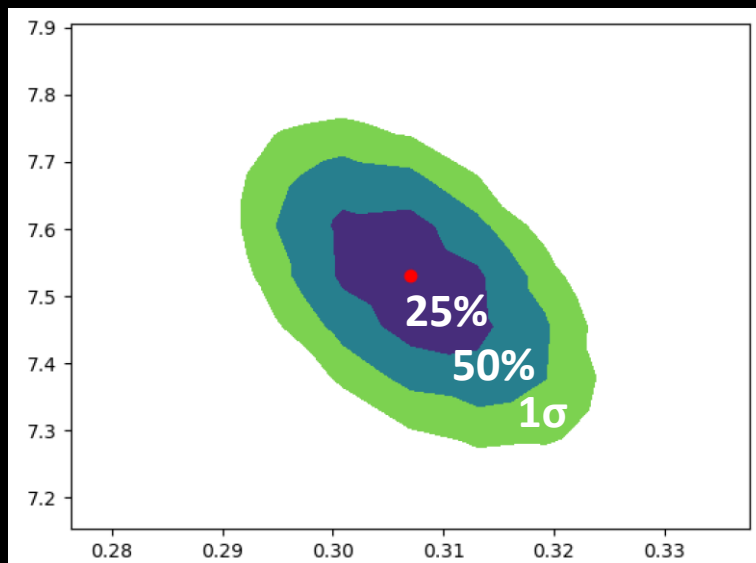
(Although external backgrounds should be well known!)

(Previous sensitivity study from [DocDB #11328](#))

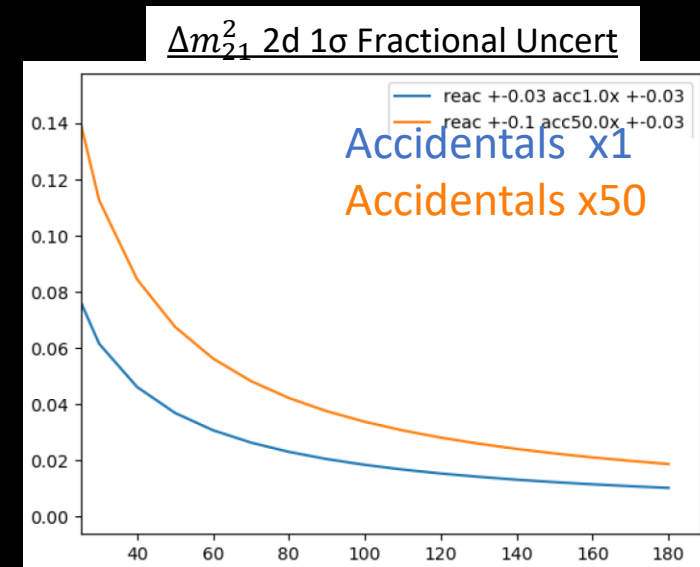
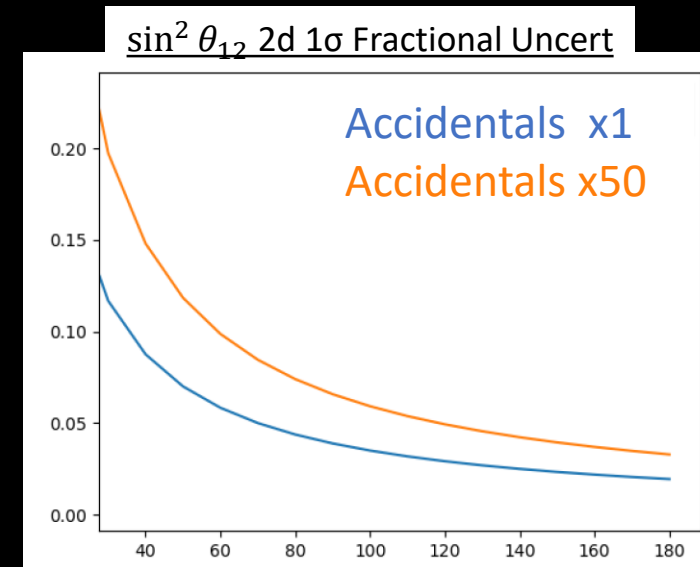
Sensitivity to Δm_{21}^2 and $\sin^2 \theta_{12}$



Profile likelihood
(assuming pdg values of Δm_{32}^2 and θ_{13})



Current global PDG:	Global Oscillation Parameters 2021	
	Δm_{21}^2	$7.53^{+0.18}_{-0.18} \times 10^{-5} \text{eV}^2$
	$\sin^2(\theta_{12})$	$0.307^{+0.013}_{-0.013}$



Days into fill

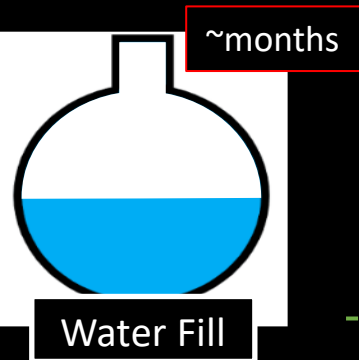
IBDs in LS during Mixed Phase Conclusion

Water:

- IBD measurement in water looks feasible, could be world-leading in ~1 week and would be an impressive demonstration of detector understanding.

Liquid Scintillator:

- Even with simple reconstruction, can run a respectable neutrino oscillation measurement campaign.
- Not aiming for ground-breaking measurements is still a fantastic test of all the tools needed in future analyses.

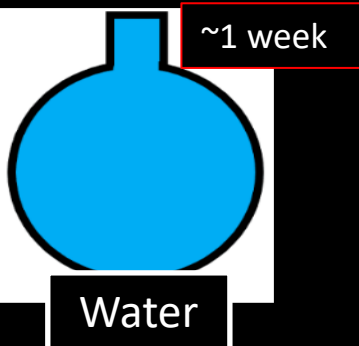


Physics in each phase

Water Filling

- Any Physics?

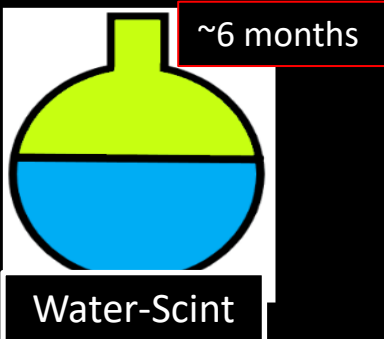
Water Fill





Full water

- Measure IBDs in Water 
- Measure basic radioactivity 

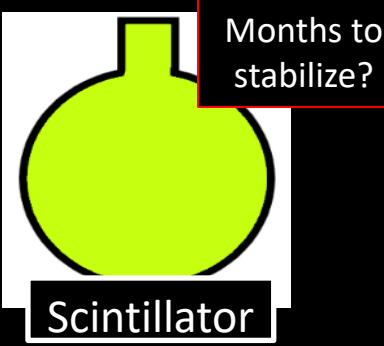
Water



Filling LS

- Measure IBDs in LS 
 - IBD backgrounds
- Measure U/Th conc :  BiPo212/214 (in early 50/100t)

Water-Scint





Scintillator


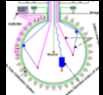



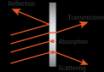

Early Full Fill




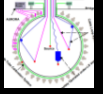
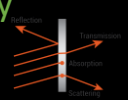

- JUNO physics analyses start

Needs / Tasks

- Plan in place
- Needs work, people assigned
- Urgent

- Calibrate Large PMTs 
- Measure PMT DN rates, Dark noise trigger rates 

- Position + Direction Reconstruction 
- Muon Tag + Recon + Veto
- Trigger Threshold Efficiency: 
- Deploy AmC 
- PMT timing calib 
- Dark noise trigger measurement 
- Calibrate Water optics 
- Data quality/cleaning 

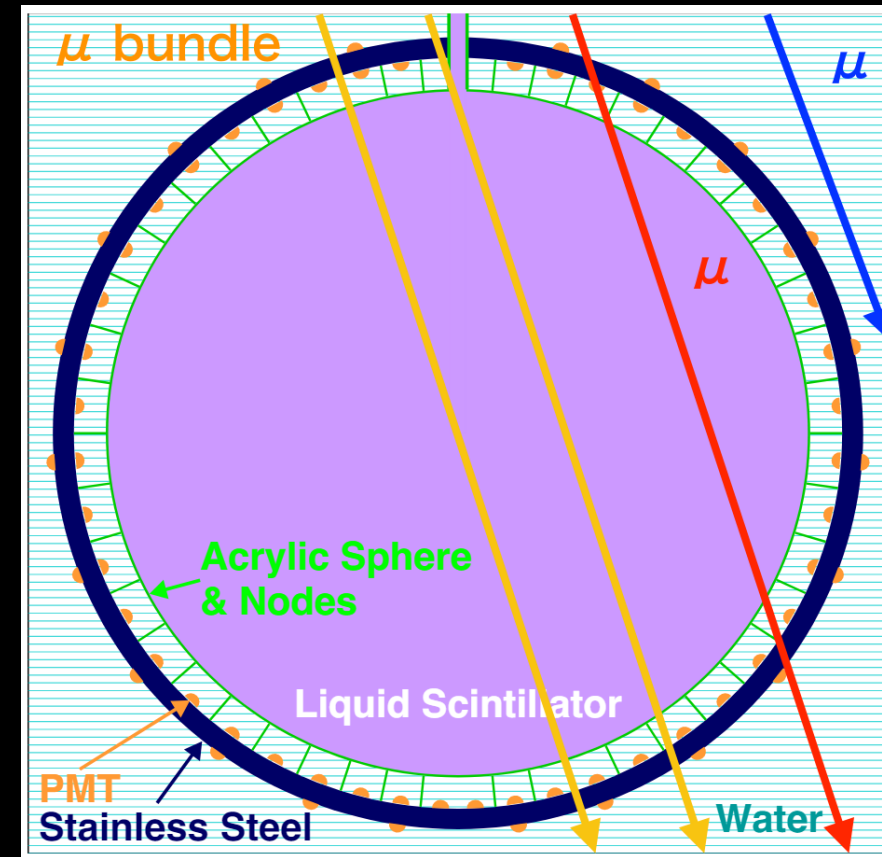
- Position + Energy recon + PSD 
- BiPo 212(Th)/214(U) tagging 
- Background singles spectrum and rates 
- Muon Tag + Recon + Veto 
- Calibration: Deploy sources + Naturally occurring radioactivity 
- Start LS optics calibration? 
- Data quality/cleaning

Backup

Muon tagging, reconstruction + vetoing

Muon tagging + reconstruction using WP PMTs

- Muon tagging, reconstruction and vetoing is a need for almost all analyses (and isn't fully mature in full fill).
- Akira → Yankai has developed framework that uses WP PMTs only for muon ID and reconstruction → can smoothly use throughout LS/Water filling!
- Uses charge density-finding algorithms to reconstruct direction, but also muon types and multiplicity. [DocDB11744](#)



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