

Fake Lepton Backgrounds

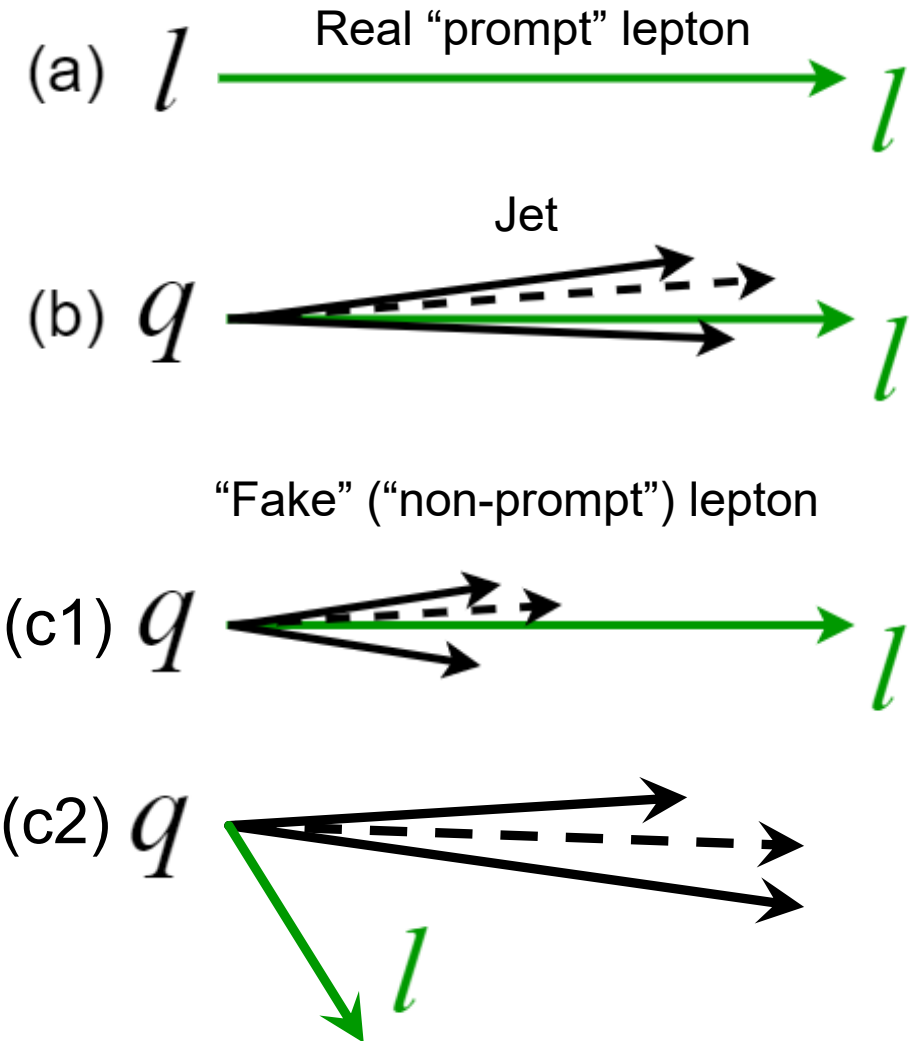
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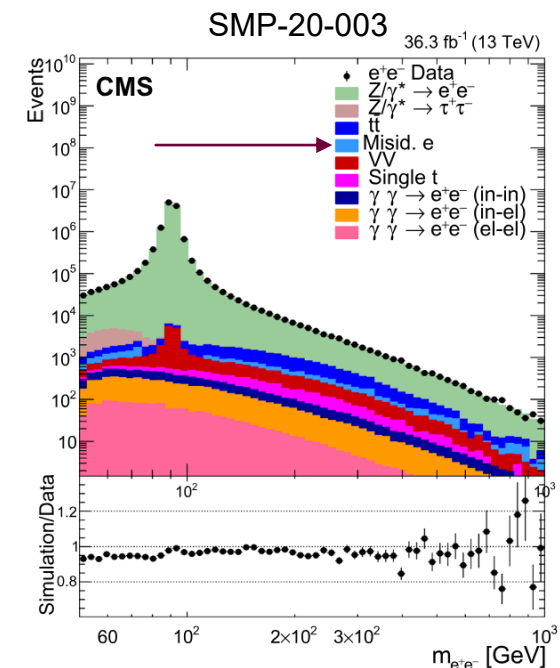
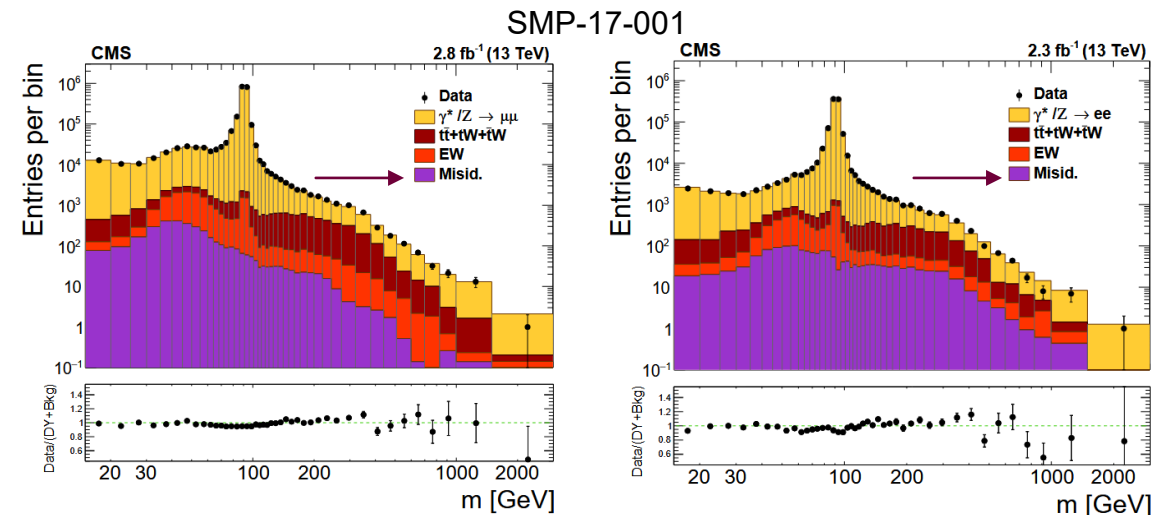
What are “fake” leptons?

- In Drell-Yan analysis, we are interested in signal leptons coming from the primary vertex
 - These are often called “prompt” leptons
- In some rare cases, RECO leptons that do not originate from the primary vertex still manage to pass the lepton cuts
- There are a few types of such leptons:
 1. “Non-prompt leptons” (dominant contribution):
 - Real leptons can be produced in heavy-flavor decay chains inside jets
 - When the lepton has dominant momentum inside the jet, it can pass the isolation cuts
 - Alternatively (but rarely), photon conversions can produce electrons and in-flight π/K decays can produce muons
 2. “Instrumental fakes” (nearly-negligible contribution):
 - Muon channel: jet hadronic punch-through may create signals in muon detectors and be reconstructed as a muon
 - Electron channel: π^0 -rich jets may have high electromagnetic content and be misreconstructed as electrons
- When we say “fake lepton backgrounds”, we usually mean all of the above
 - Though, “fake leptons” is a slang and should not be used in official documents
 - “Non-prompt” or “misidentified” are the most common terms in publications



Fake lepton backgrounds

- Drell-Yan final state has 2 leptons, so the fake lepton background consists of 2 main types
 1. 1 prompt lepton, 1 fake lepton
 2. 2 fake leptons
- In principle, any process could contribute to the fake lepton background
 - Even DY itself, if there are ISR jets
- However, there are two (three) most dominant ones:
 1. W+jets (1 fake lepton)
 2. QCD multijet (2 fake leptons)
 3. γ +jets (2 fake leptons, only in the electron channel, almost negligible)
- Fake lepton background is the most prominent in the low mass and low lepton p_T region
- It is negligible in the Z peak region but should be estimated (at least) outside it to achieve high precision

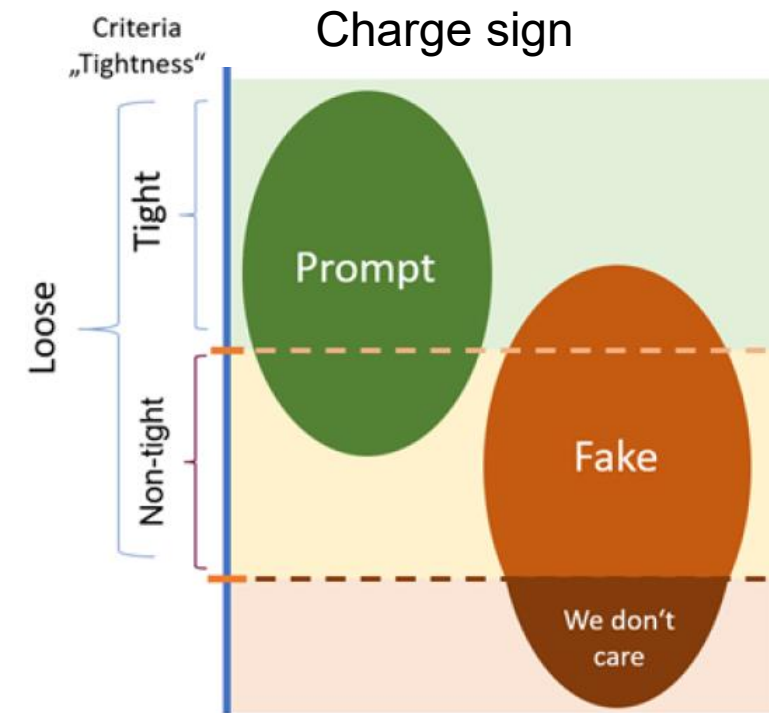


Fake lepton background estimation techniques

- Fake lepton background cannot be estimated from MC
 - Very large cross section and very low fake lepton production probability
 - Extremely large MC datasets should be produced to collect sufficient statistics after event selection
 - Also, the simulation accuracy is not great
- Therefore, we rely on data-driven methods
- There are many data-driven methods available:

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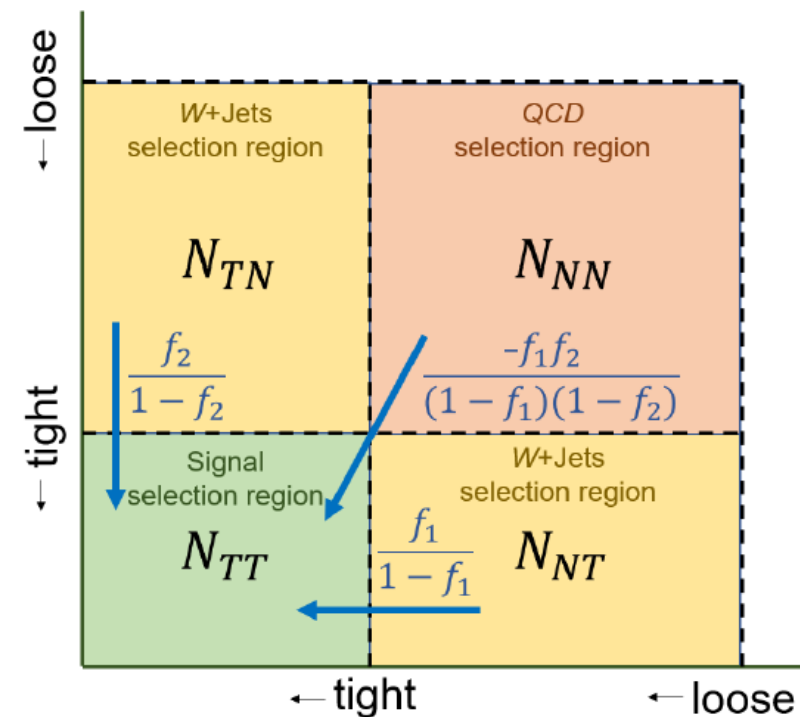
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- There are many data-driven methods available:
 1. Matrix method
 - In theory, the most sophisticated, the least reliant on MC
 - In practice, the most difficult to properly implement (the matrix is ill-conditioned)



$$\begin{pmatrix} N_{PP} \\ N_{PF} \\ N_{FP} \\ N_{FF} \end{pmatrix} = \frac{1}{(f_1 - p_1)(f_2 - p_2)} \begin{pmatrix} \tilde{f}_1 \tilde{f}_2 & -\tilde{f}_1 f_2 & -f_1 \tilde{f}_2 & f_1 f_2 \\ -\tilde{f}_1 \tilde{p}_2 & \tilde{f}_1 p_2 & f_1 \tilde{p}_2 & -f_1 p_2 \\ -\tilde{p}_1 \tilde{f}_2 & \tilde{p}_1 f_2 & p_1 \tilde{f}_2 & -p_1 f_2 \\ \tilde{p}_1 \tilde{p}_2 & -\tilde{p}_1 p_2 & -p_1 \tilde{p}_2 & p_1 p_2 \end{pmatrix} \begin{pmatrix} N_{TT} \\ N_{TN} \\ N_{NT} \\ N_{NN} \end{pmatrix}$$

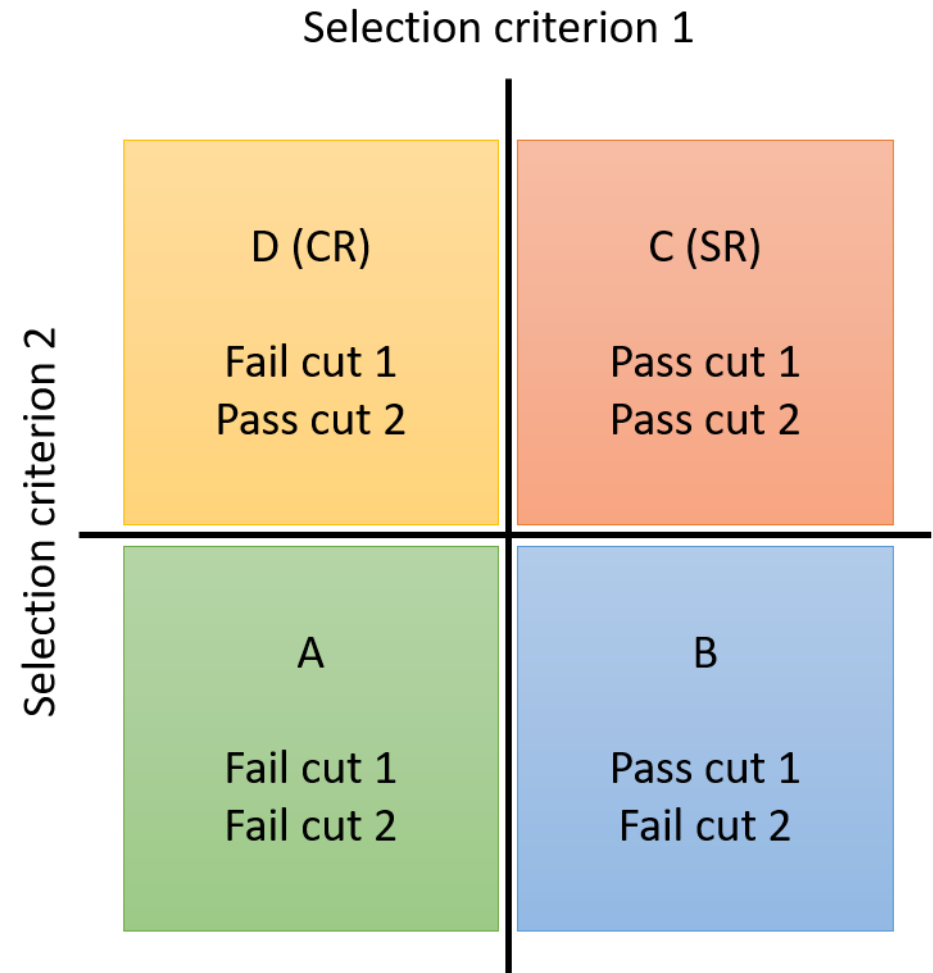
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 - A simplification of the matrix method
 - Does not need the “prompt rate” but more reliant on MC
 - Still not easy to implement, needs different datasets and prescaled triggers



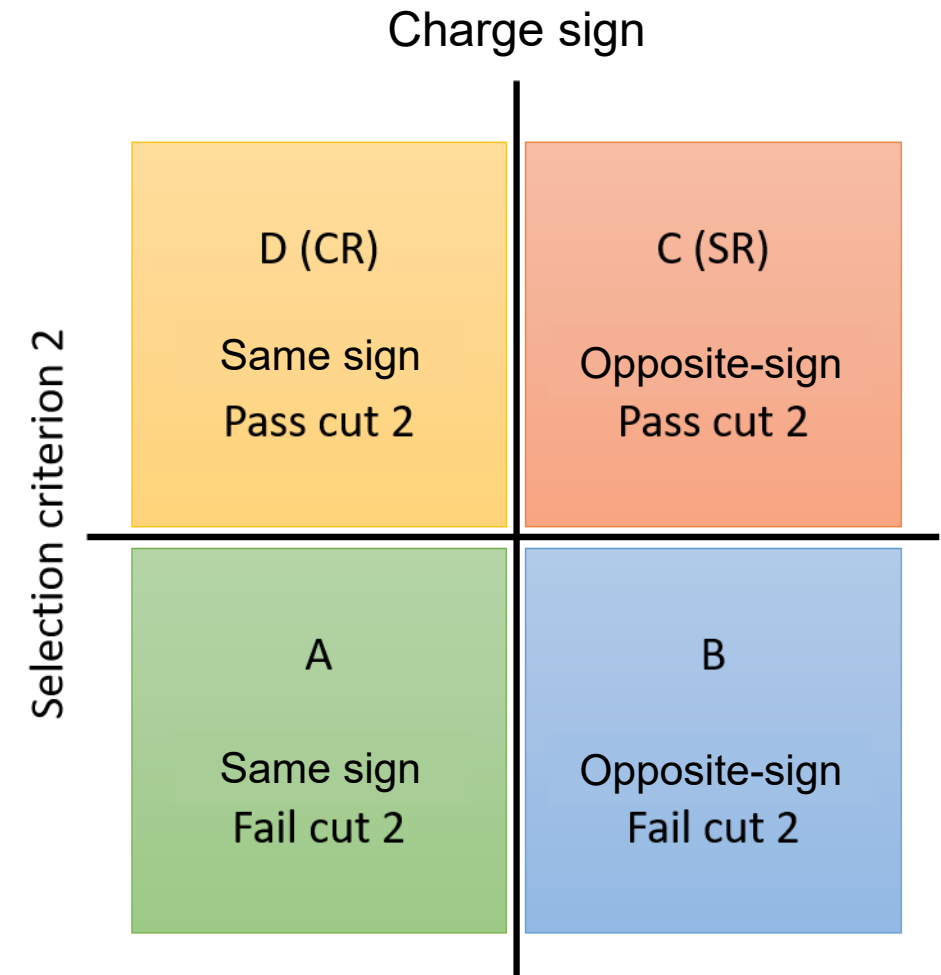
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 - Relatively easy to implement
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 4. **Same-sign method**
 - **A subset of ABCD method**



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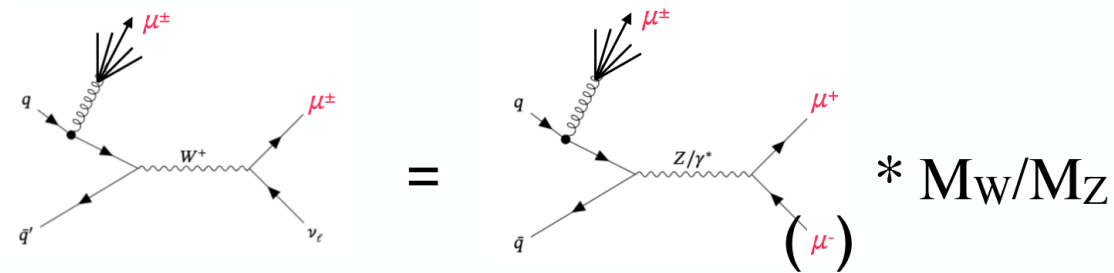
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4. Same-sign method

- **A subset of ABCD method**

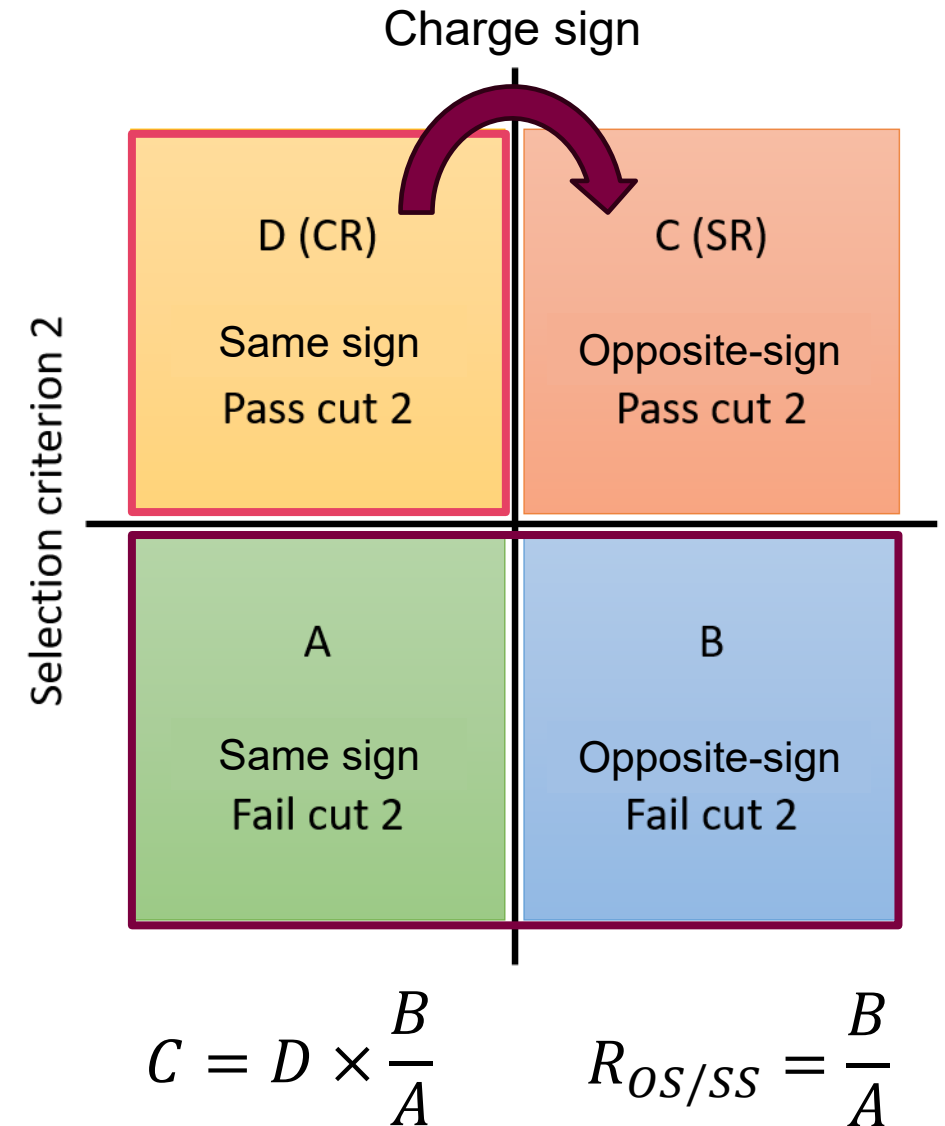
5. Other methods

- $Z \rightarrow W$?



Same-sign method – the basic idea

- In our DY analysis, we used the fake rate method initially but abandoned the idea
 - Some information important for the fake rate measurement was missing in nanoAOD
- Currently, we are using the same-sign method
 - Mainly because of its simplicity
- The method works as follows:
 1. Perform the usual event selection but require the leptons to have the same charge
 2. Obtained sample should be (almost) signal-free and enriched with fake lepton backgrounds
 3. Estimate the fake lepton background by MC subtraction in the same-sign sample
 4. Extrapolate the result to the opposite-sign region by using the transfer ratio $R_{OS/SS}(\sim 1)$ taken from the sideband region
- Considering only QCD, we could expect the ratio to be ≈ 1 in the electron channel and ≈ 1.75 in the muon channel (CMS-AN-13-180)



Same-sign method – the nuances

- Implementing the same-sign method in a real (precision) analysis has a few more challenges:

1. Same-sign method does not differentiate between single-fake and double-fake events

- Estimates both QCD and W+Jets at the same time
- If the $R_{OS/SS}$ is different between QCD and W+Jets, one needs to evaluate the average $R_{OS/SS}$ that takes into account relative QCD and W+Jets fractions
- **Solution:** very carefully choose the sideband (A and B) regions to have the relative QCD/W+Jets fraction well represented

2. $R_{OS/SS}$ might be a function of some variables

- **Solution:** find the event variables $R_{OS/SS}$ is the most sensitive to and measure $R_{OS/SS}$ as a function of those variables
- Then, apply the $R_{OS/SS}$ event-by-event on the D region
- Validity of this method must be checked with a closure test

3. Non-negligible electron charge misidentification probability (only in electron channel)

- Same-sign electron sample “contaminated” by signal
- Need to reweight the signal MC to account for charge misidentification differences between data and MC
- Still the background estimation error around the Z peak is very high, making the result unreliable
- **Solution:** discard the result around the Z peak and interpolate from outside it into the Z peak region instead

- We now proceed with the actual implementation of these methods

Same-sign sample: muon channel

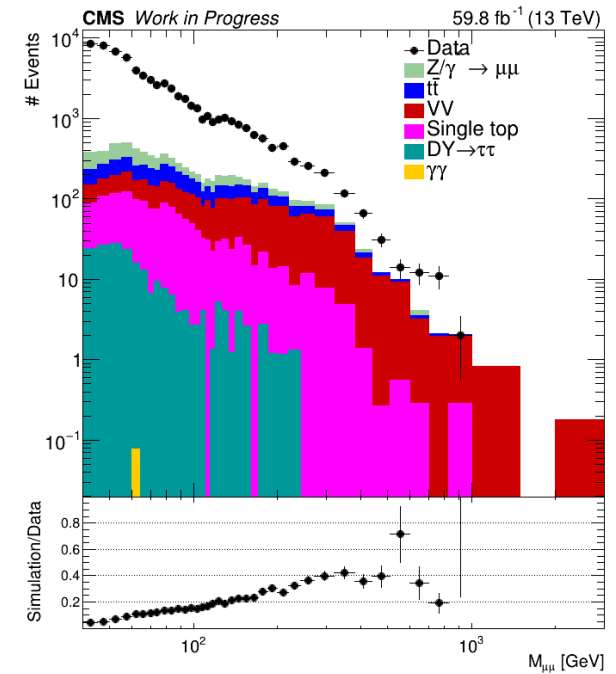
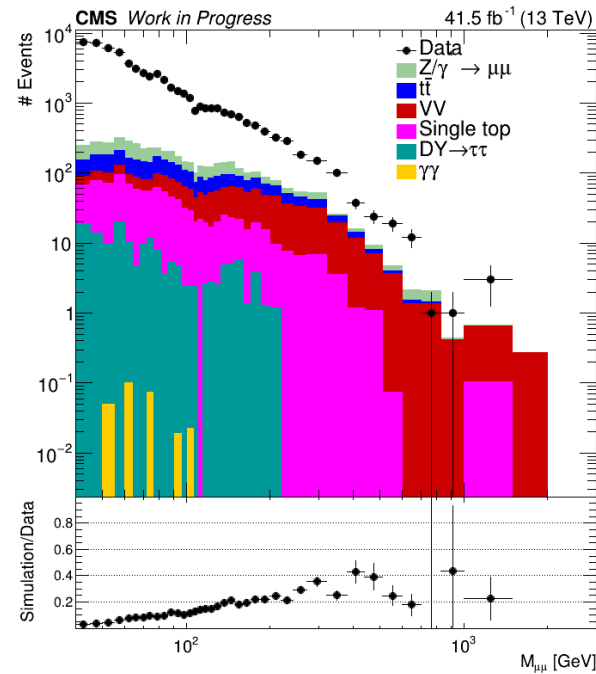
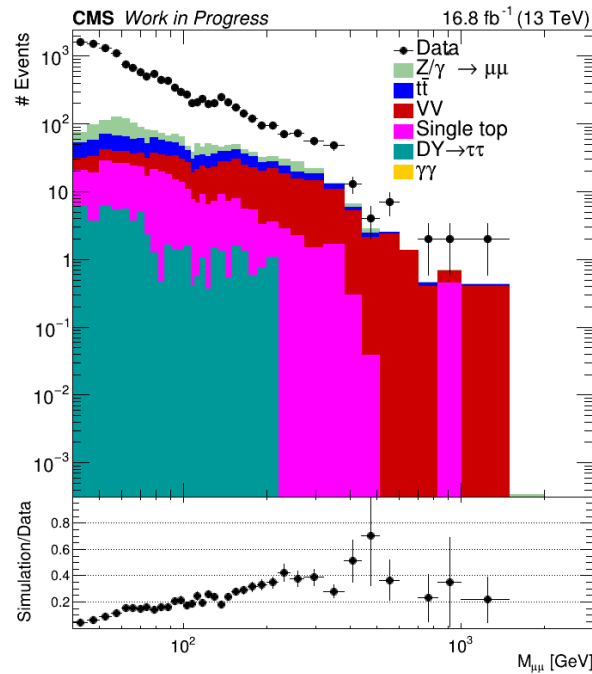
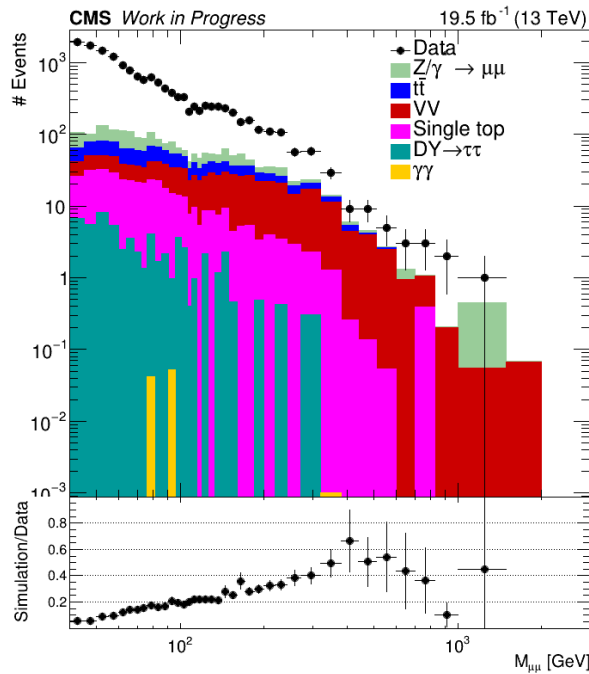
- The big difference between data and MC is attributed to the fake muon background
- We can clearly see that the fake muon background is the most dominant

2016preAPV

2016postAPV

2017

2018



Same-sign sample: electron channel

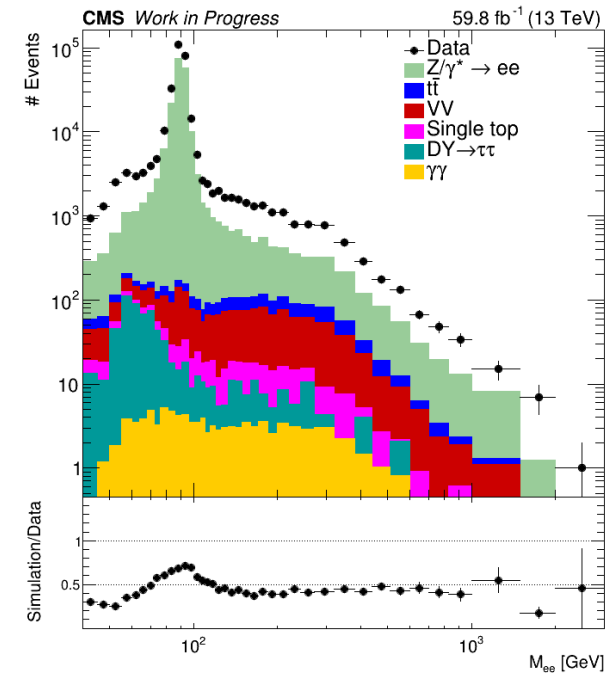
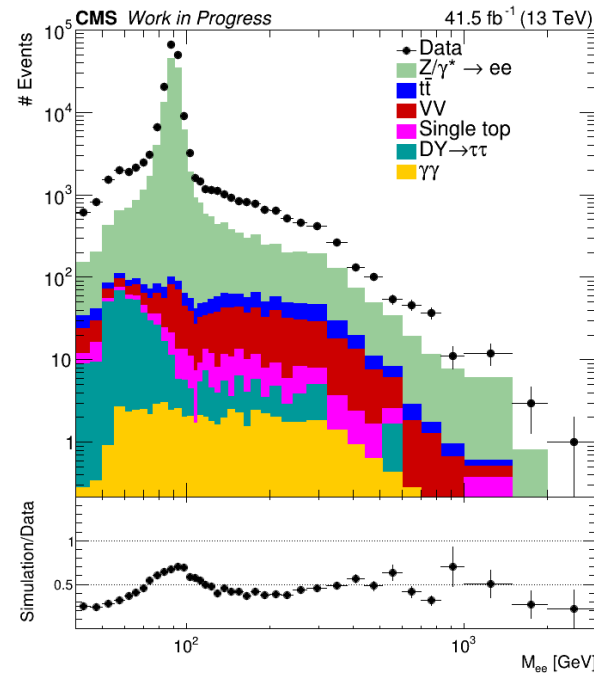
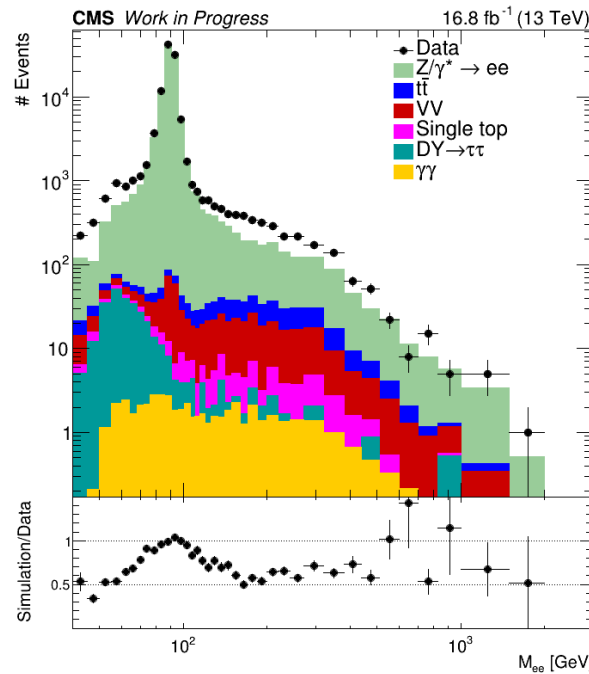
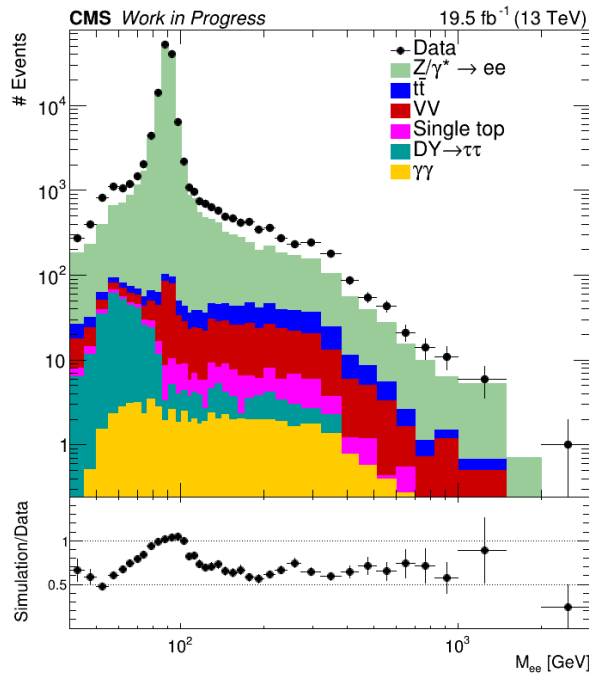
- The big difference between data and MC is attributed to the fake electron background
- We can clearly see that the largest contribution comes from DY because of the electron charge misidentification
- Charge misidentification is very different between data and MC in 2017 and 2018 → need to correct MC (next slide)

2016preAPV

2016postAPV

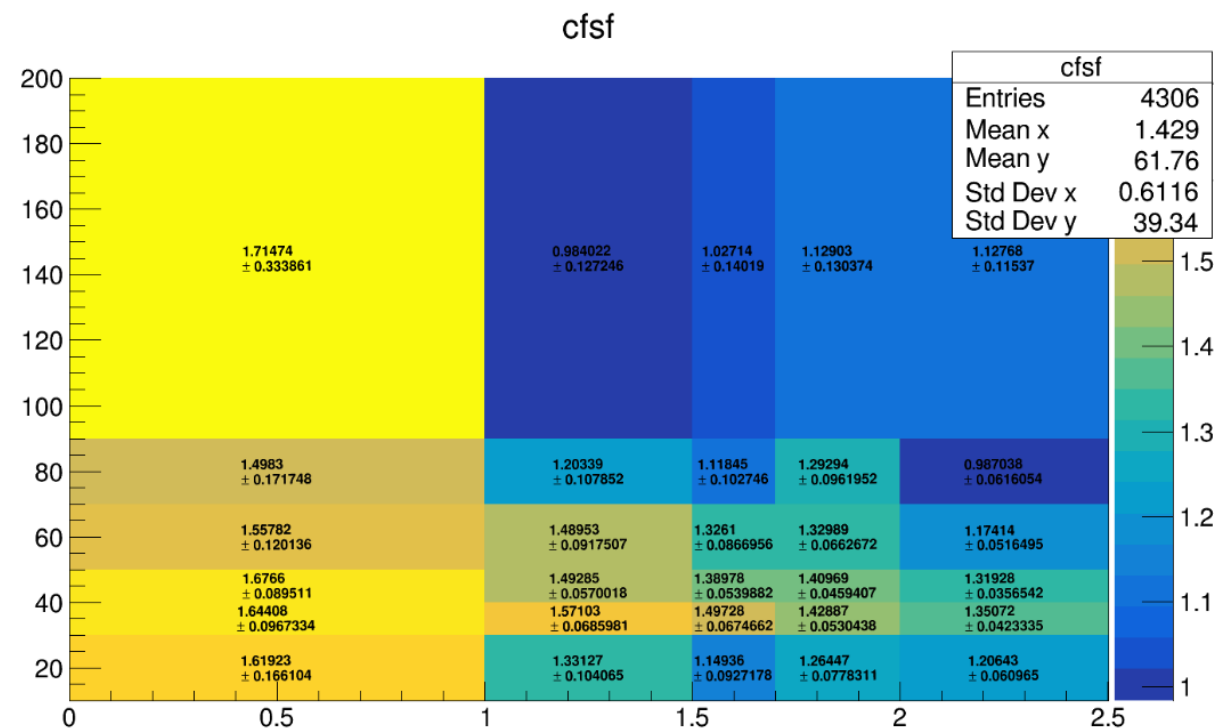
2017

2018



Electron charge misidentification

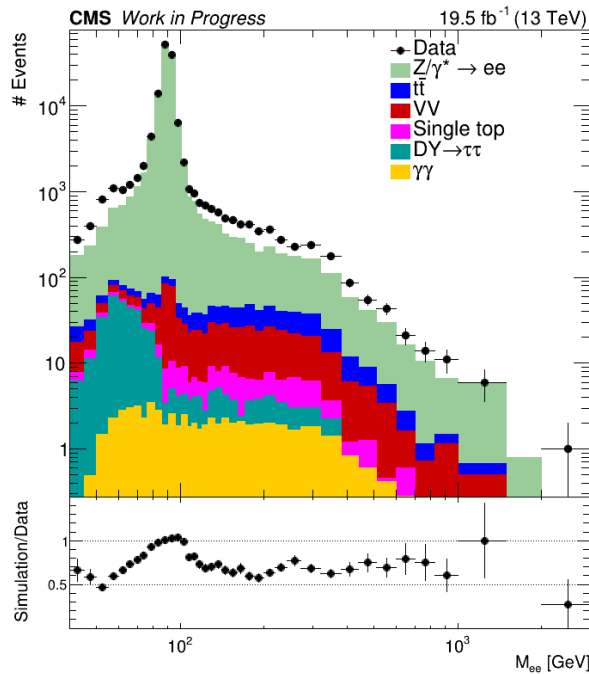
- Charge misidentification SFs were used to reweight MC so its charge misidentification probability better matches that of real experiment
- We are using charge misID SFs provided by DY AFB group
- The SFs are applied event-by-event as follows:
 1. Match the electrons with their GEN counterparts
 2. Check which electrons have their RECO charge \neq GEN charge
 3. For those electrons, pick the corresponding SF value, depending on its p_T and $|\eta|$
 4. Multiply the SF value with the total weight of the event
- Corrected result in next slide



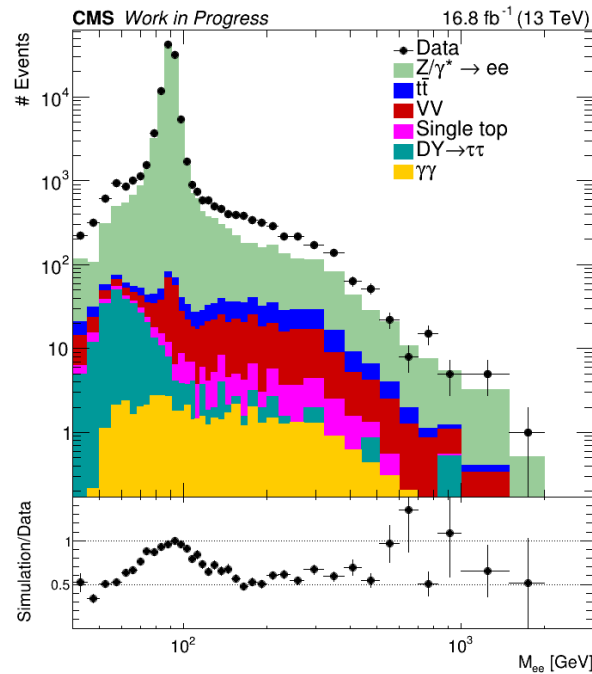
Same-sign sample: electron channel (corrected)

- We can notice the MC/Data agreement at the Z peak becoming a lot better than before (especially for 2017 and 2018)

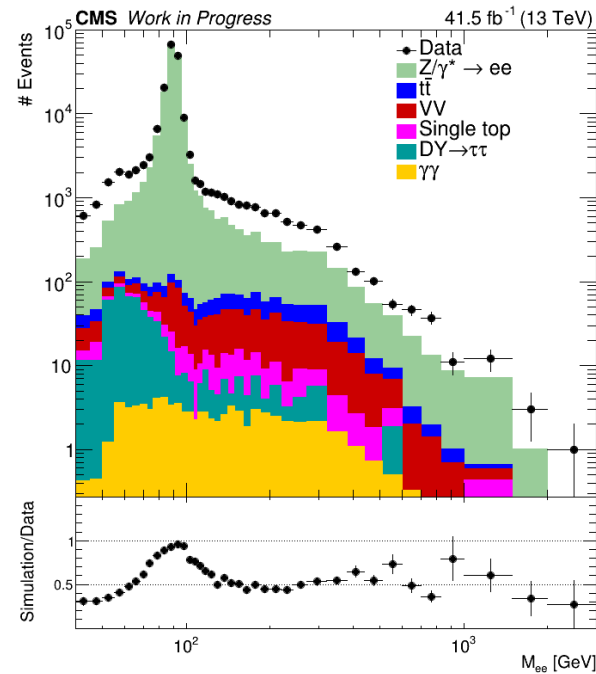
2016preAPV



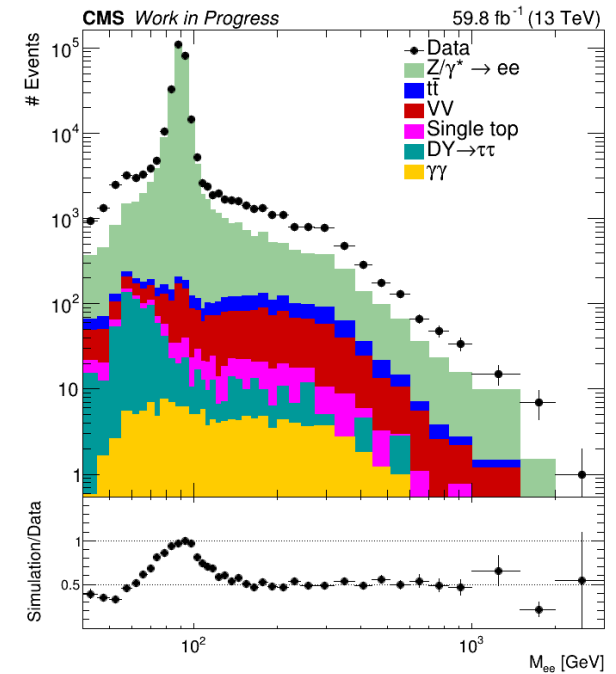
2016postAPV



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2018



Same-sign sample: $e\mu$ channel

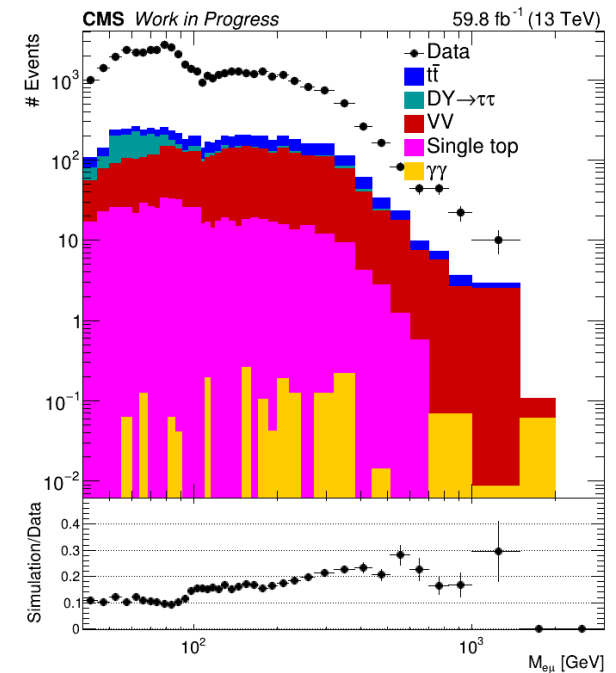
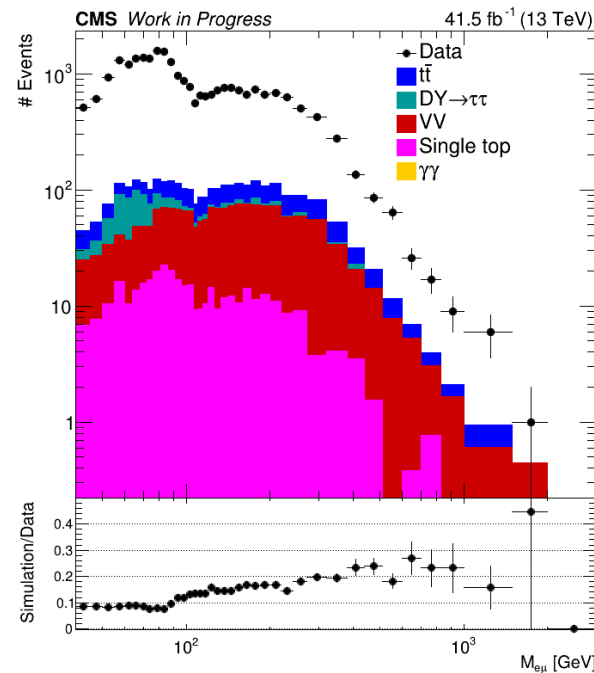
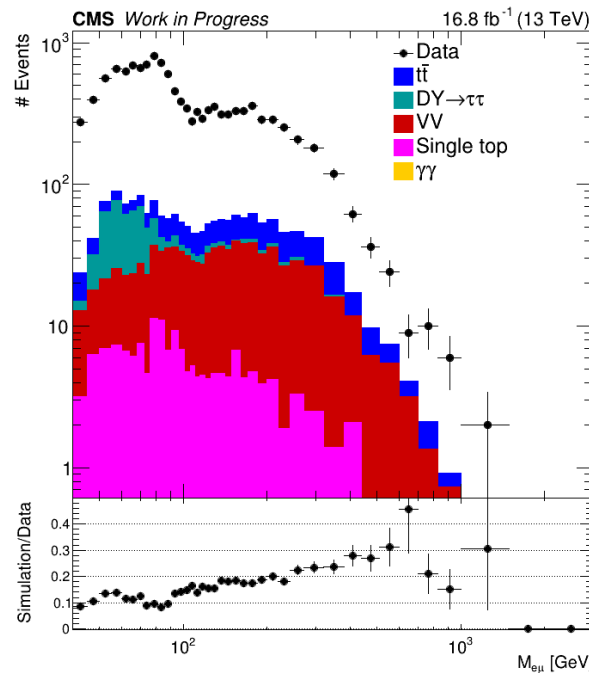
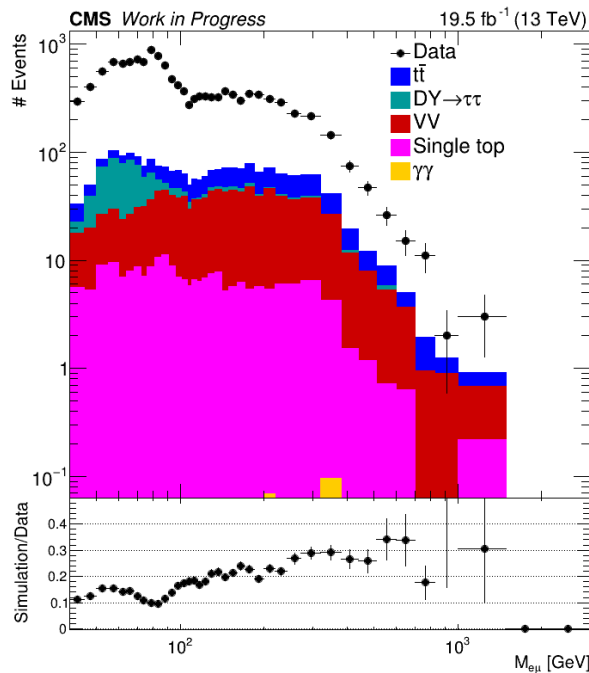
- Fake lepton backgrounds are also important for the $e\mu$ method (used to improve the top quark background estimation)
- Therefore, we perform the same procedure on $e\mu$ events
- The charge misidentification correction was also applied on the electron here

2016preAPV

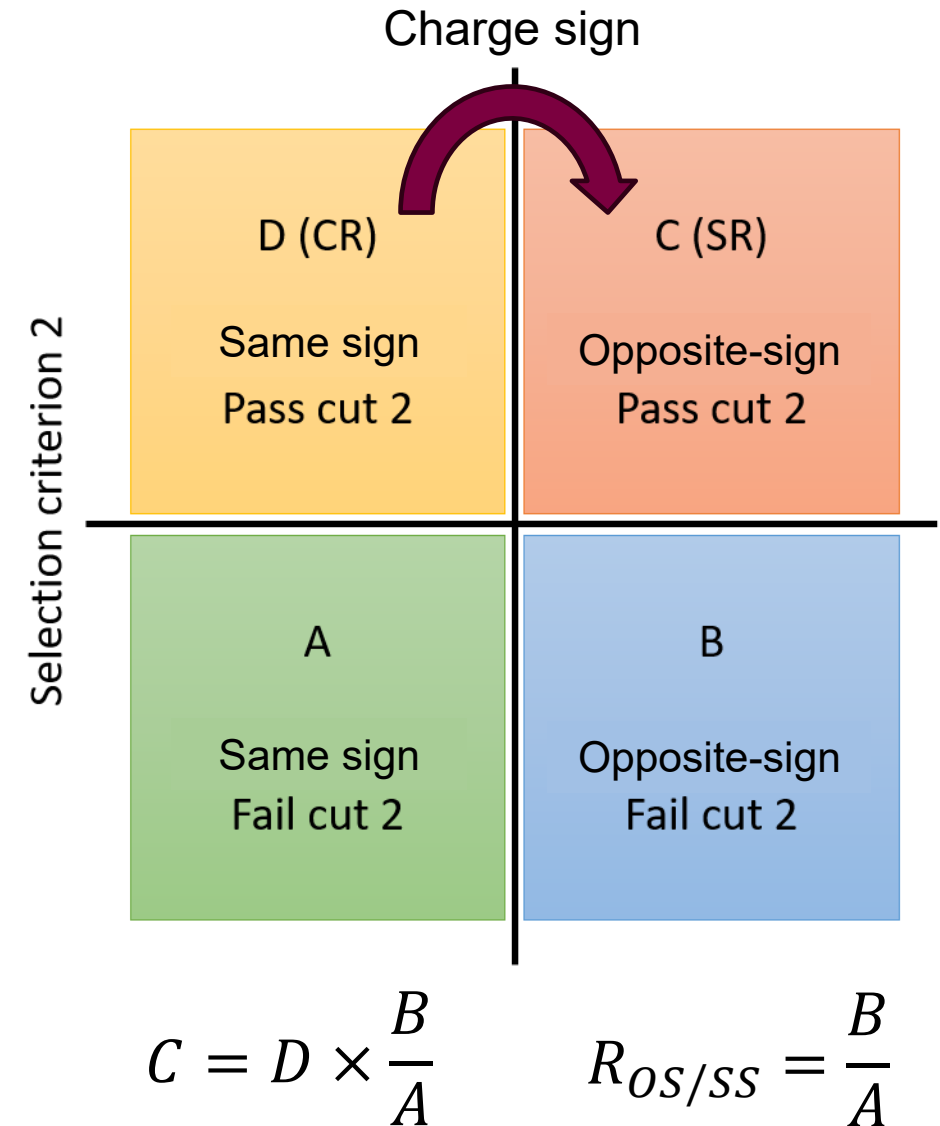
2016postAPV

2017

2018



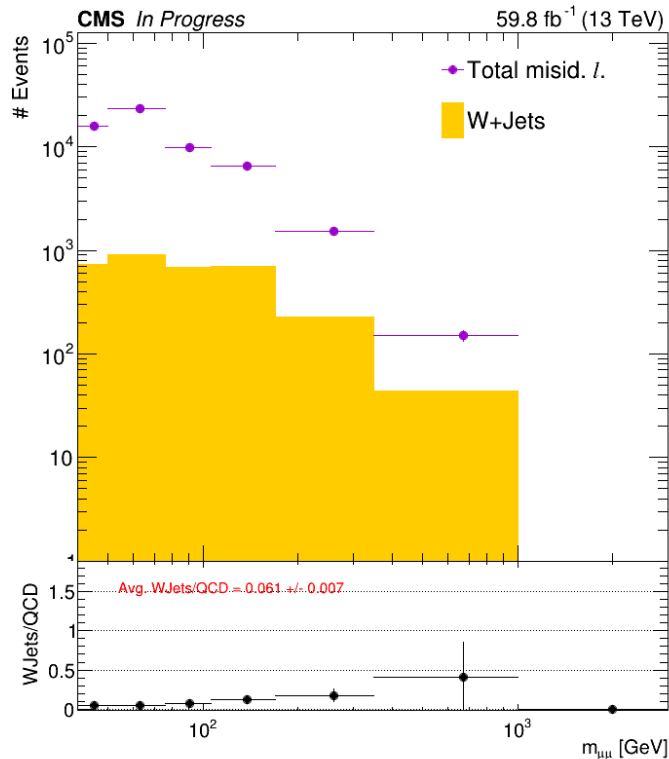
- We need to estimate the OS/SS ratio to extrapolate the fake lepton background from SS to OS region
- W+Jets and QCD have different $R_{OS/SS}$ values (backup)
- Since we are estimating both W+Jets and QCD at the same time, we want to get the average $R_{OS/SS}$ right
- We need a sideband that has the relative amount of W+Jets vs. QCD as close as possible to the signal region
- Two main sidebands were considered:
 1. Regular event selection but **one** muon (electron) fails Tight PF ISO (Medium ID)
 2. Regular event selection but **both** muons (electrons) fail Tight PF ISO (Medium ID)
- For the $e\mu$ channel, we have two types of sideband 1 (one with failing muon, another with failing electron)



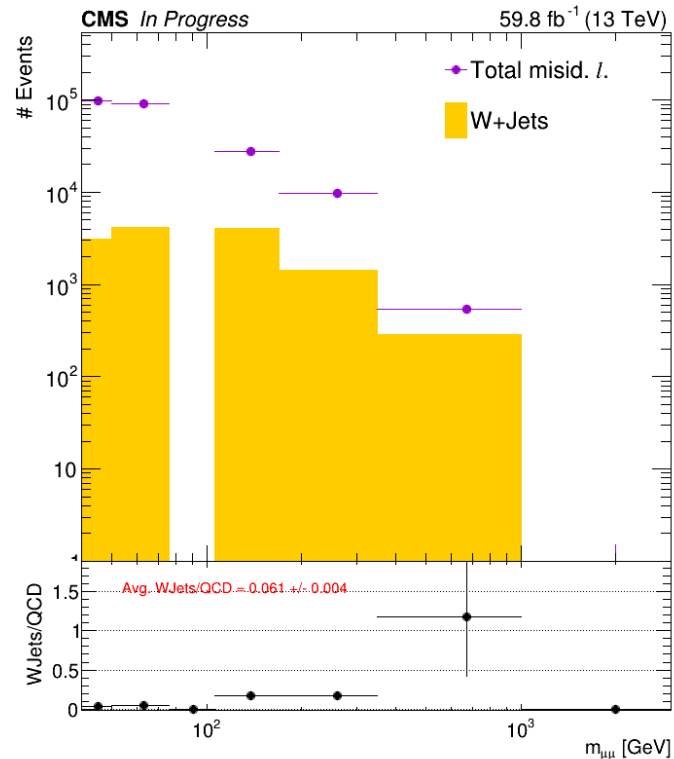
Choosing the best sideband: muon channel

- Only 2018 shown, other eras similar
- Sideband 1 has very similar W+Jets/QCD ratio to the signal region
- Thus, we use sideband 1 to evaluate $R_{OS/SS}$ in the muon channel**

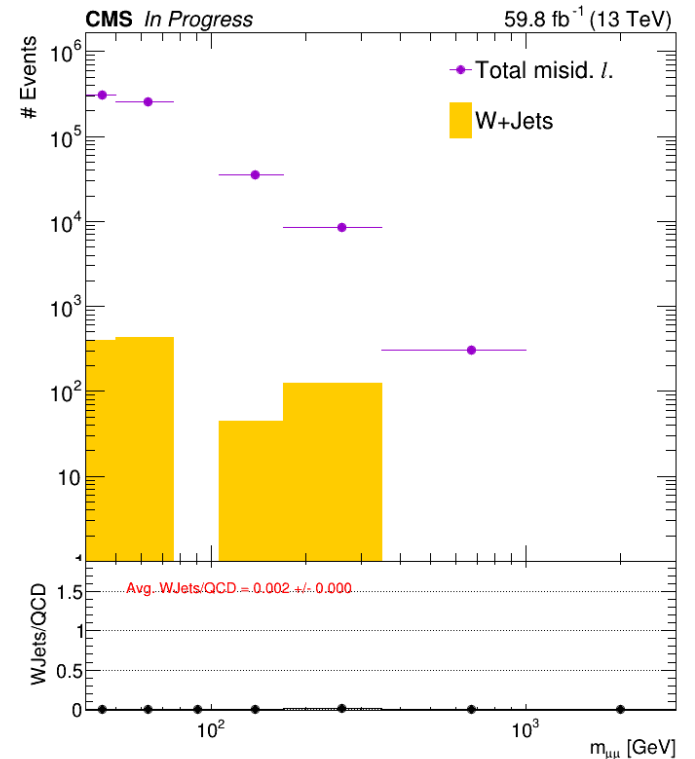
Signal region



Sideband 1



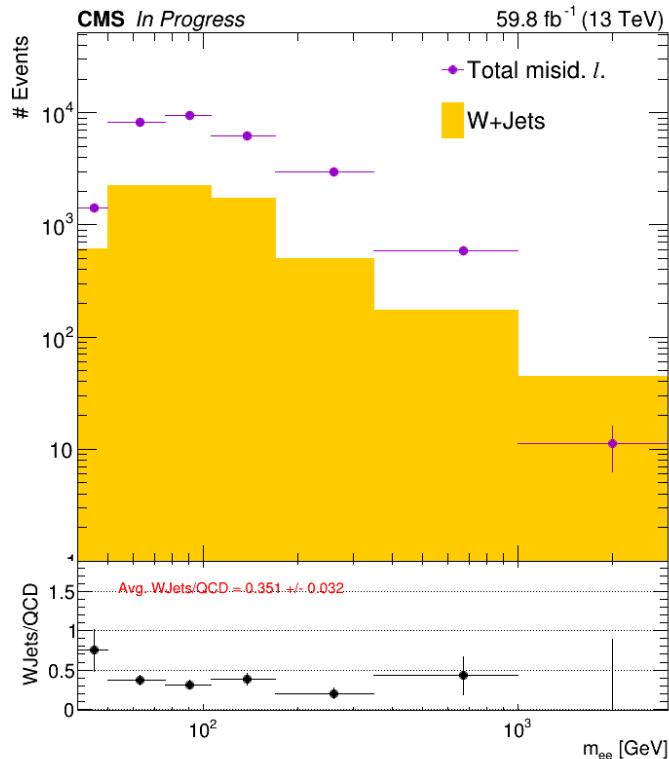
Sideband 2



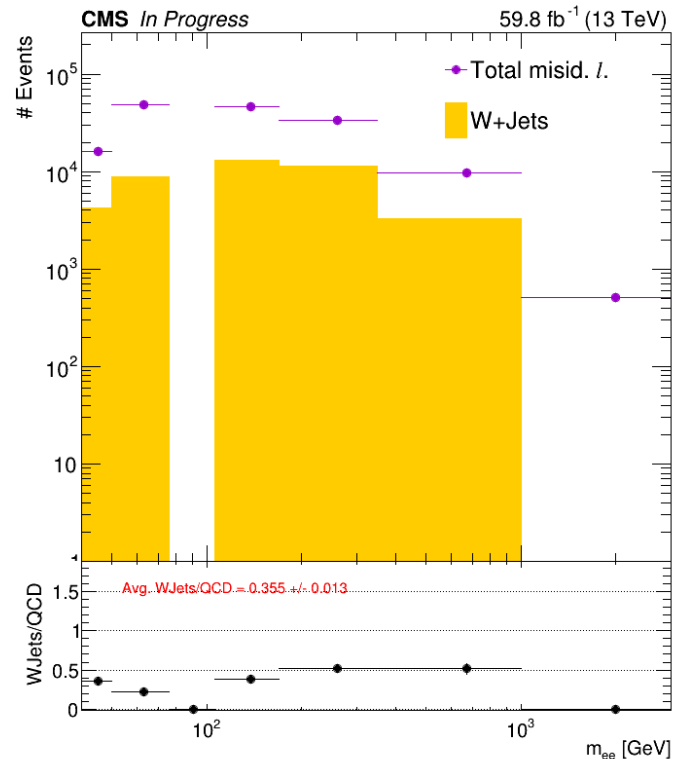
Choosing the best sideband: electron channel

- Only 2018 shown, other eras similar
- Sideband 1 has very similar W+Jets/QCD ratio to the signal region
- **Thus, we use sideband 1 to evaluate $R_{OS/SS}$ in the electron channel**

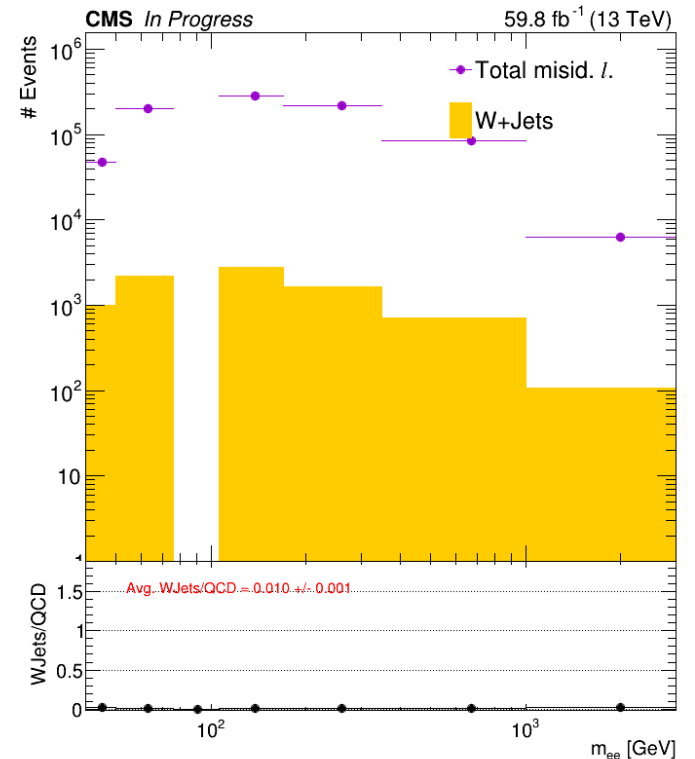
Signal region



Sideband 1



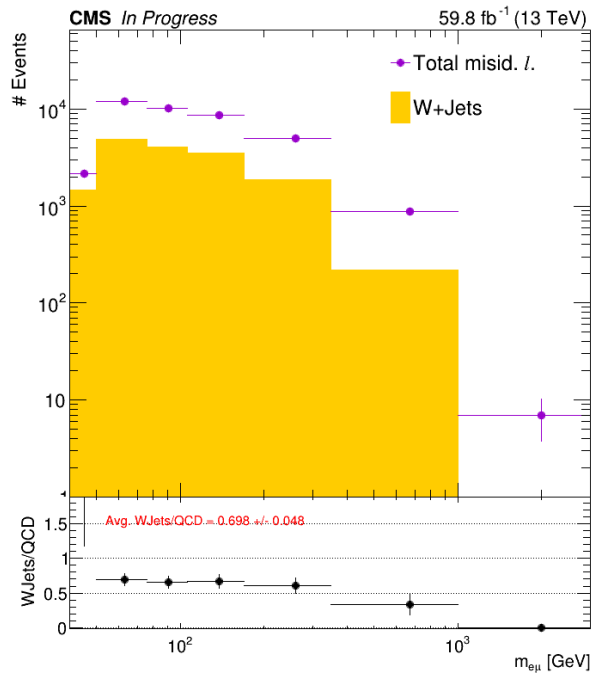
Sideband 2



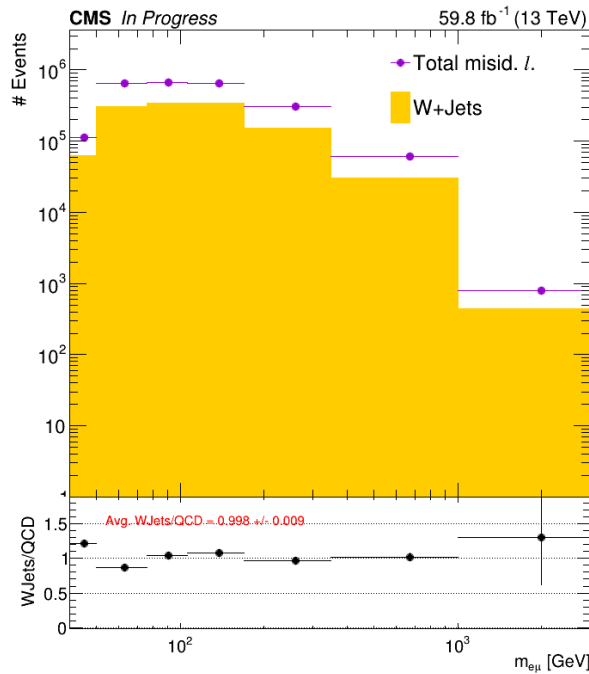
Choosing the best sideband: $e\mu$ channel

- Only 2018 shown, other eras similar
- Sideband 1a – invert the electron cut, 1b – invert the muon cut
- We choose sideband 1a to evaluate $R_{OS/SS}$ in the $e\mu$ channel** as it most closely resembles the signal region

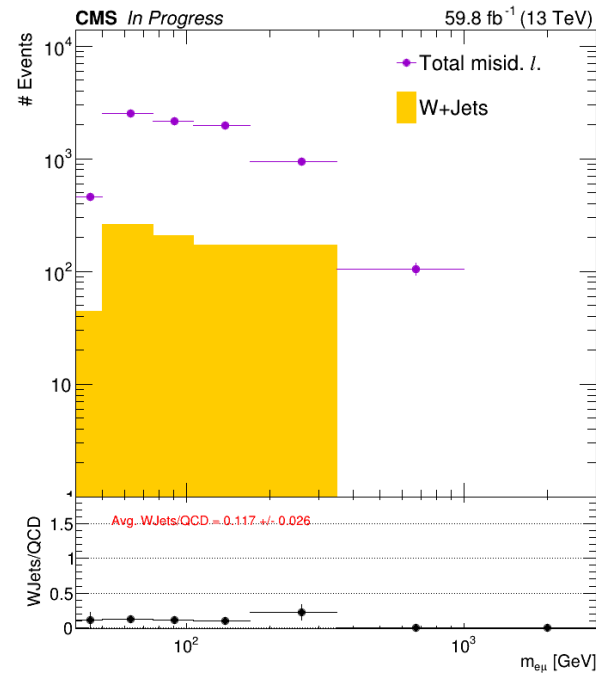
Signal region



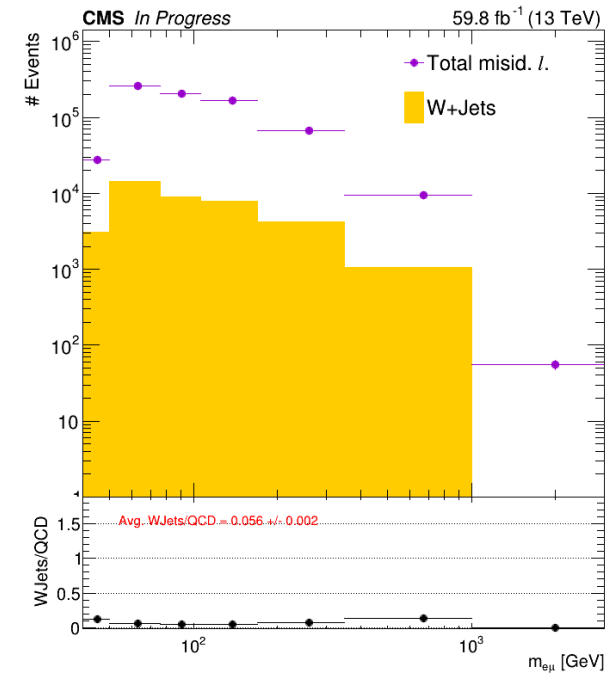
Sideband 1a



Sideband 1b



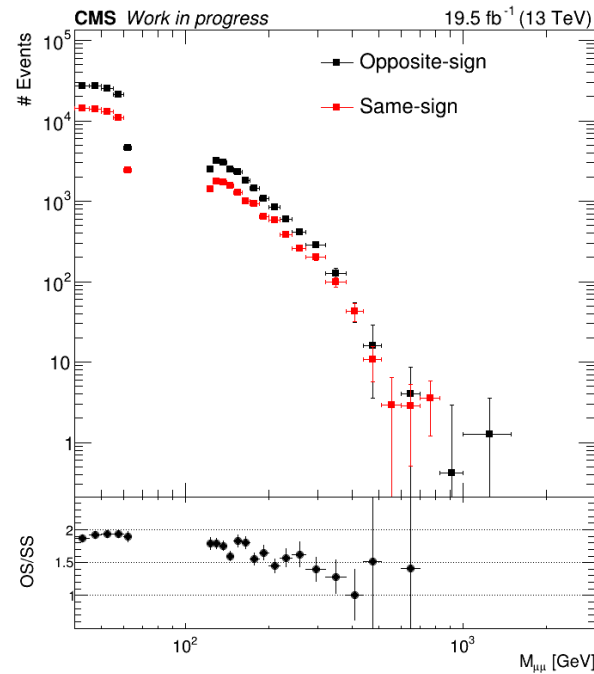
Sideband2



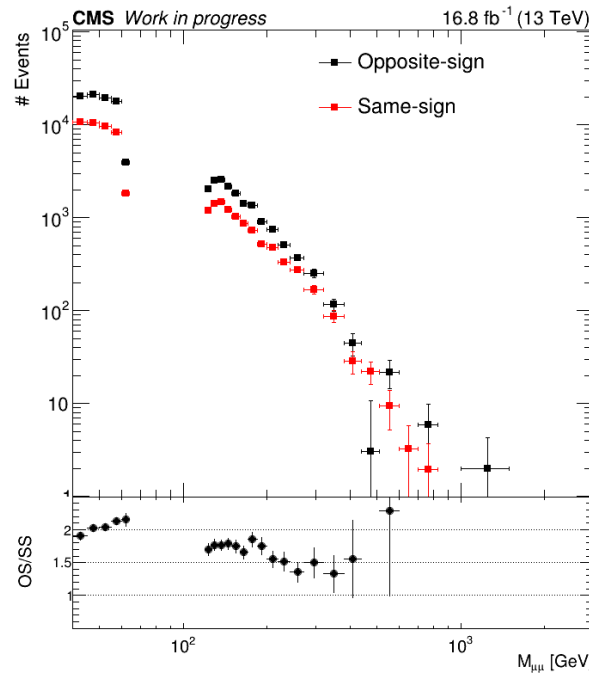
OS/SS ratio: muon channel

- Comparing OS and SS distributions in the muon channel sideband 1
- $R_{OS/SS}$ changes as a function of dilepton mass
- Check if there are strong dependencies on other variables (next slide)

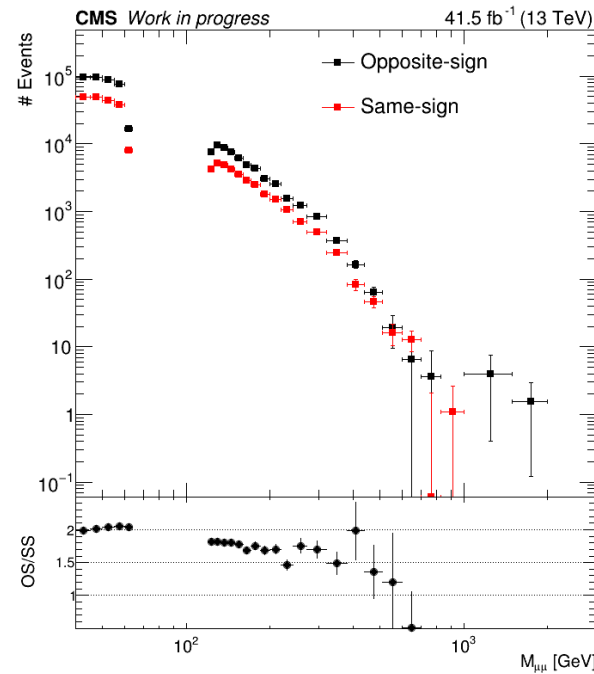
2016preAPV



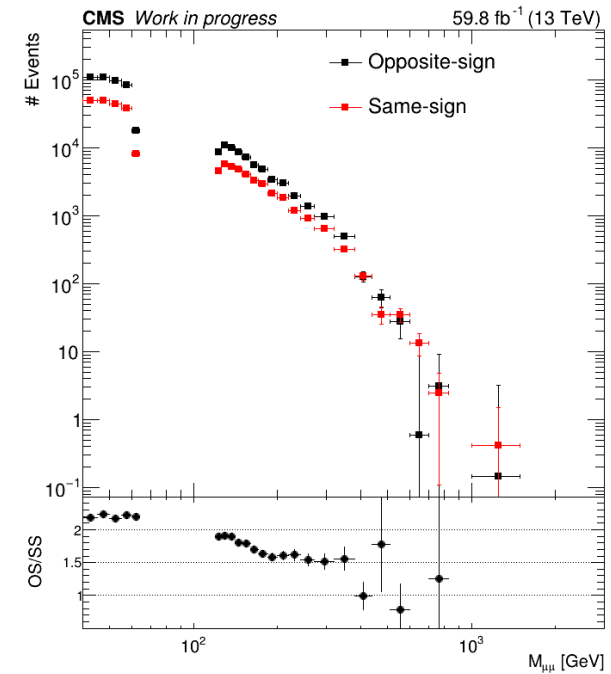
2016postAPV



2017



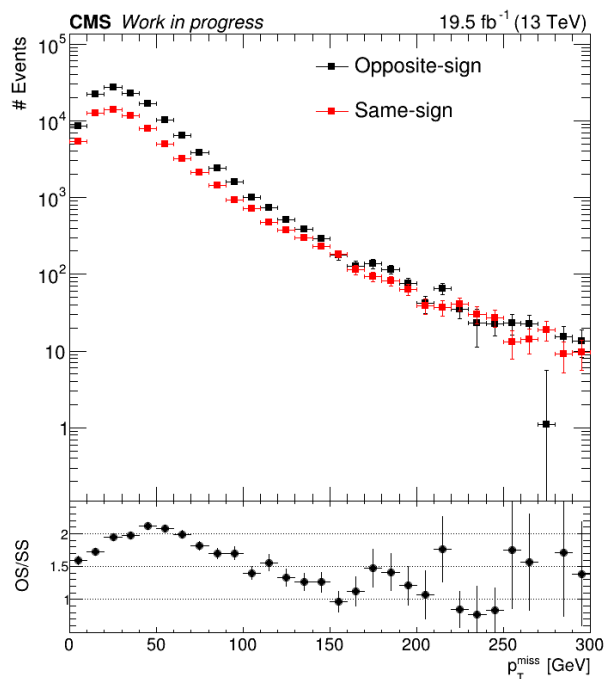
2018



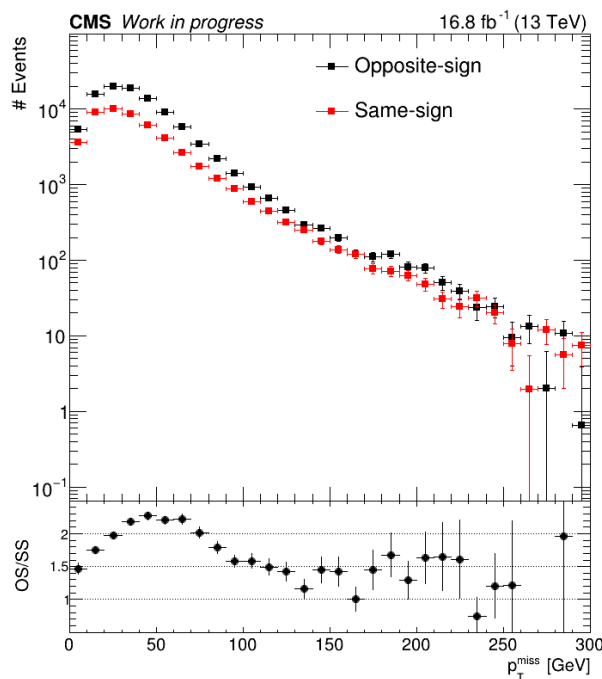
OS/SS ratio: muon channel (II)

- $R_{OS/SS}$ also has strong dependence on missing p_T (possibly due to separation between W+Jets and QCD w.r.t. this variable)
- **Therefore, we measure $R_{OS/SS}$ in the muon channel as a 2D function of mass and missing p_T**
- The 2D ratio is fitted with an analytical 2D function (next slide)

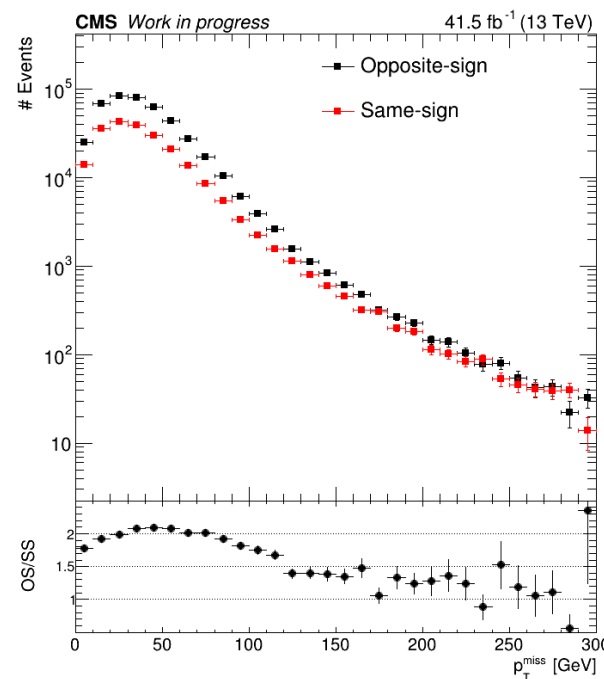
2016preAPV



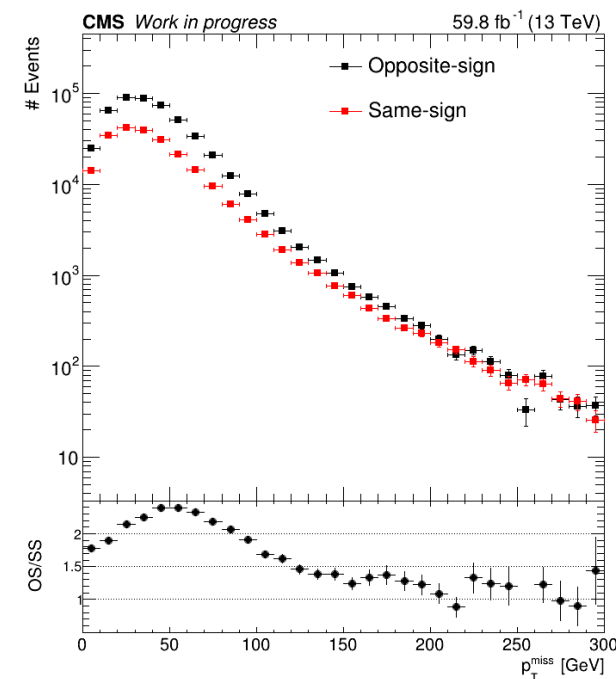
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2017



2018

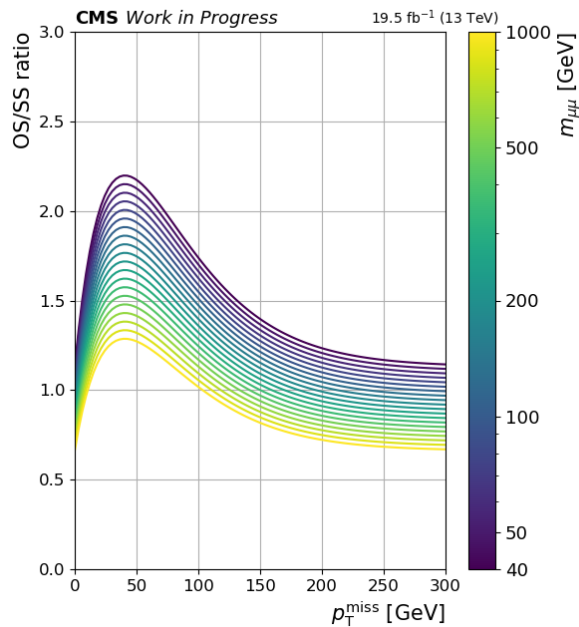


2D OS/SS ratio: muon channel

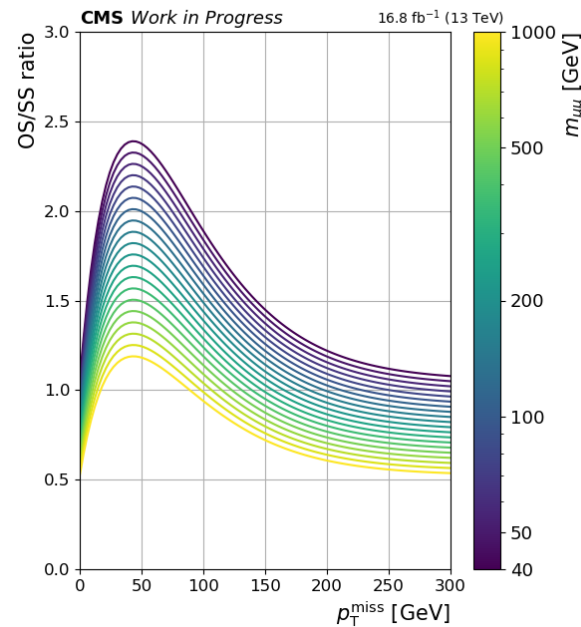
- Different colors show different dilepton mass values
 - But the function is not binned in mass, it is a smooth distribution

$$R_{\text{OS/SS}}(m_{\mu\mu}, E_T^{\text{miss}}) = \left[a_0 + a_1 \log_{10}(m_{\mu\mu}) \right] \left[a_2 - a_3 E_T^{\text{miss}} \exp(-a_4 E_T^{\text{miss}}) \right]$$

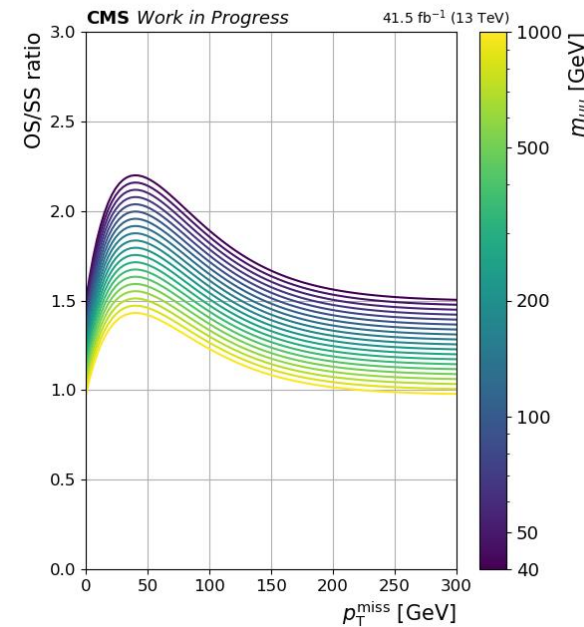
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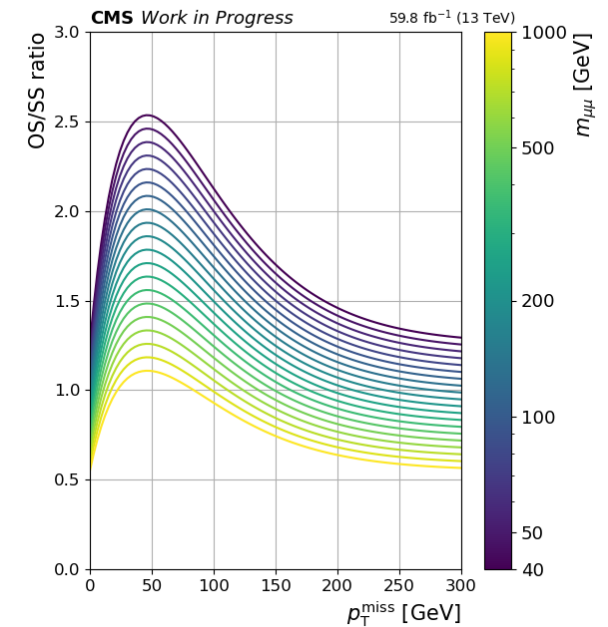
2016postAPV



2017



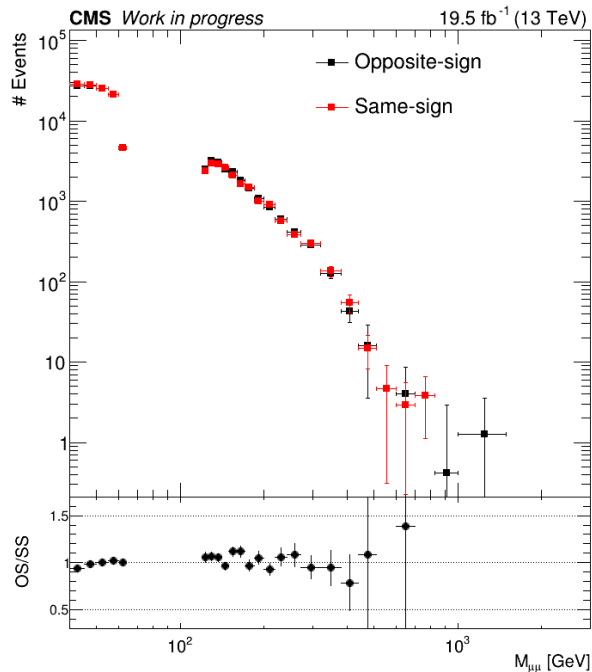
2018



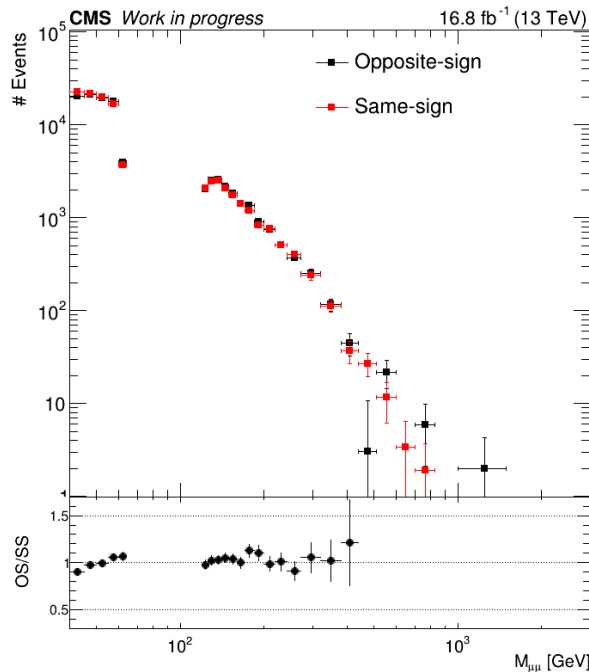
OS/SS ratio validation: muon channel

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
- We can see that the closure is nearly perfect

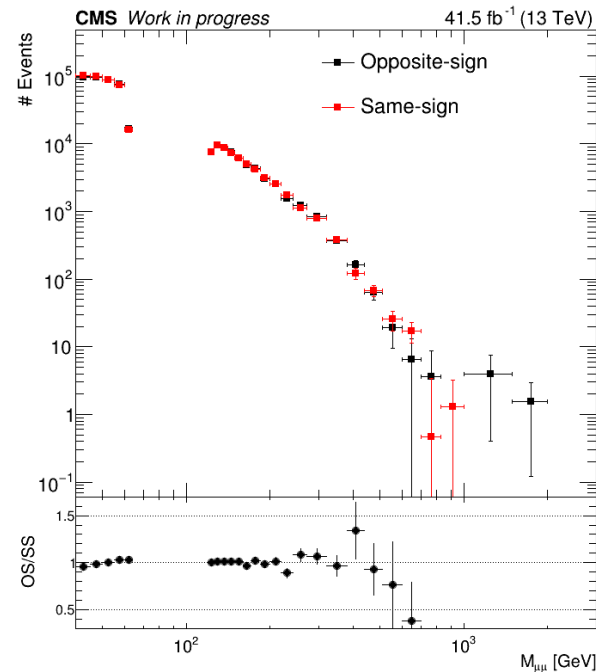
2016preAPV



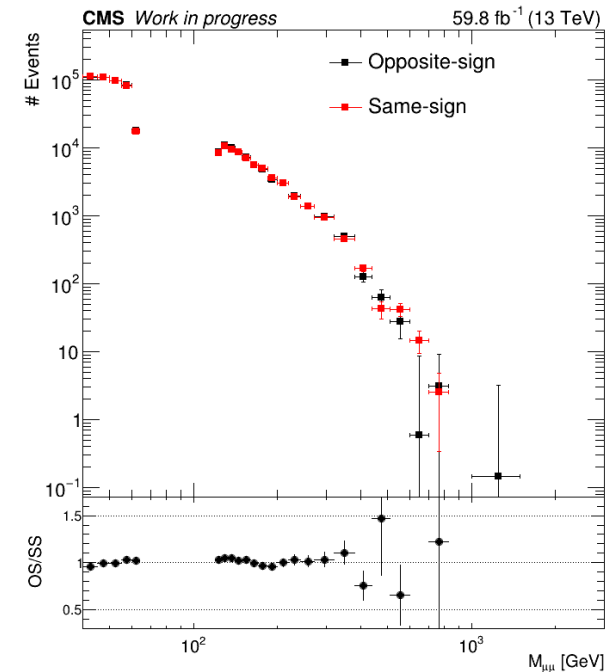
2016postAPV



2017



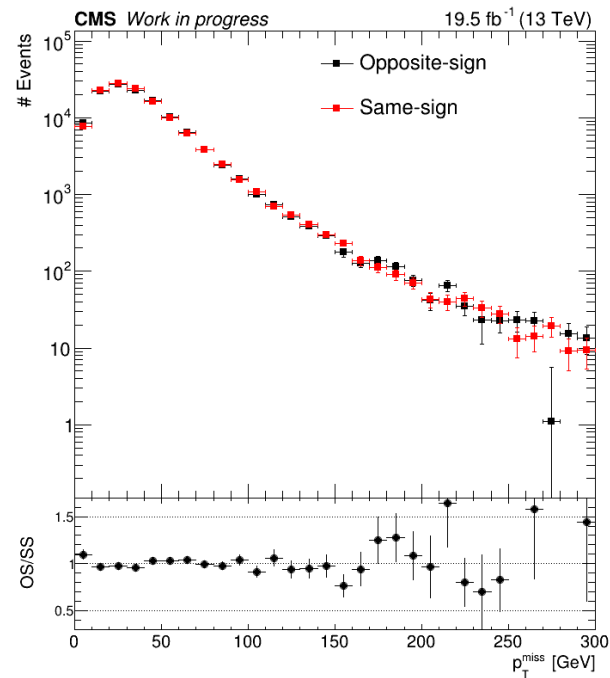
2018



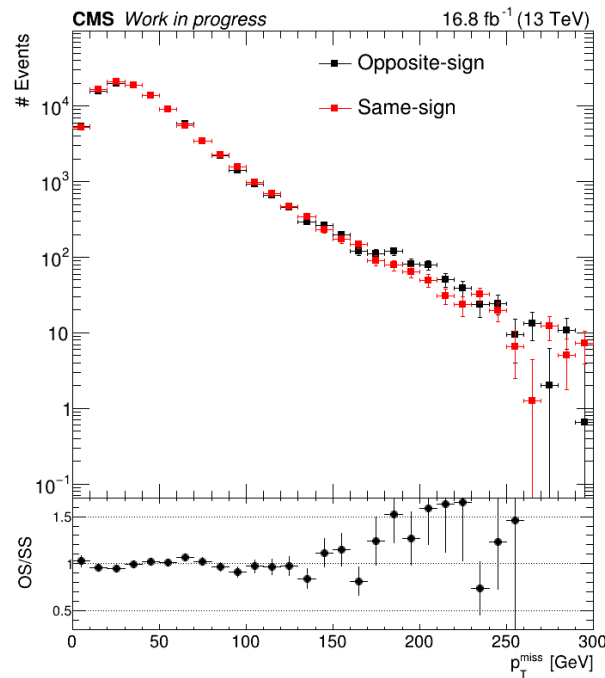
OS/SS ratio validation: muon channel (II)

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
- We can see that the closure is nearly perfect

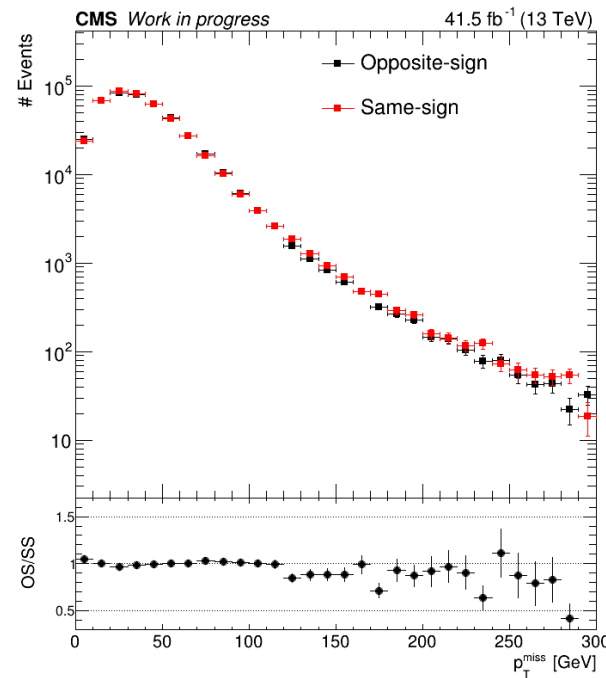
2016preAPV



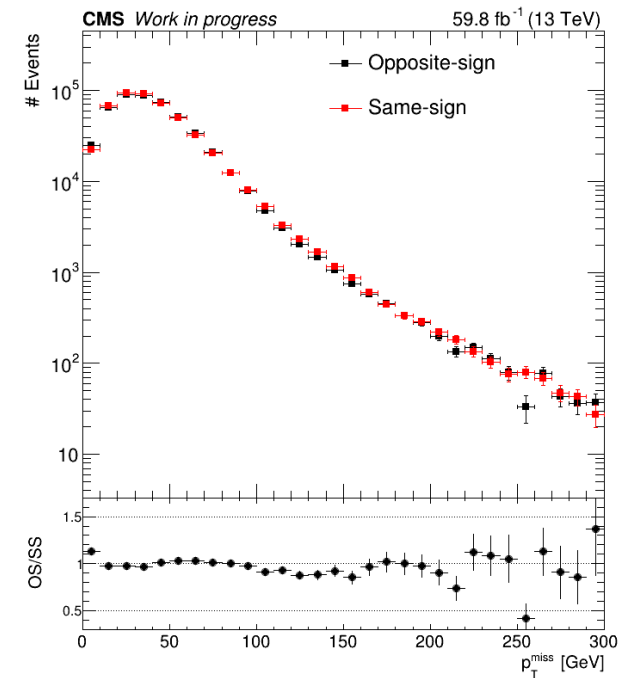
2016postAPV



2017



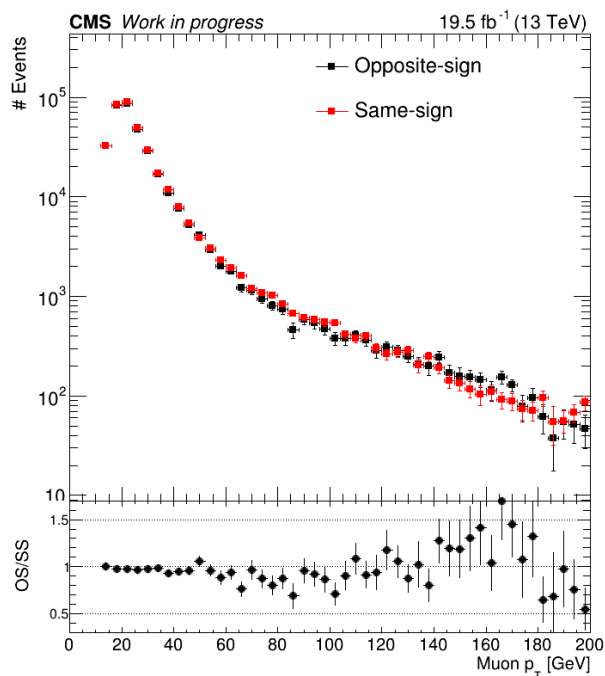
2018



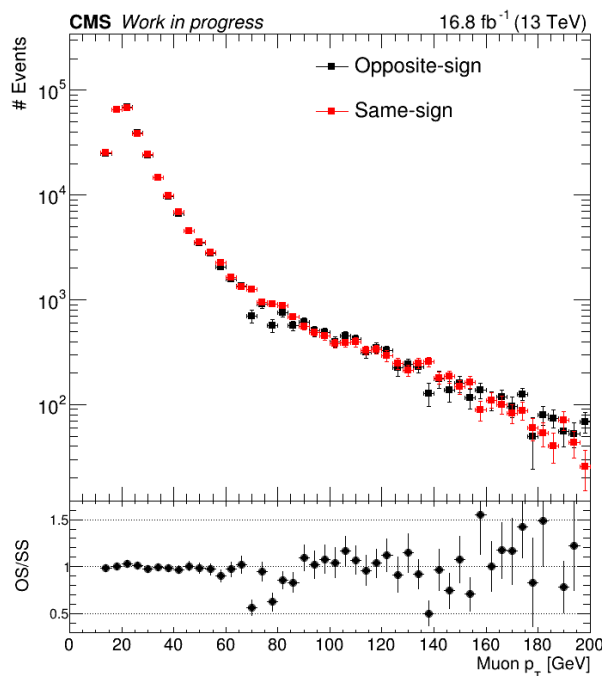
OS/SS ratio validation: muon channel (III)

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
- We can see that the closure is nearly perfect

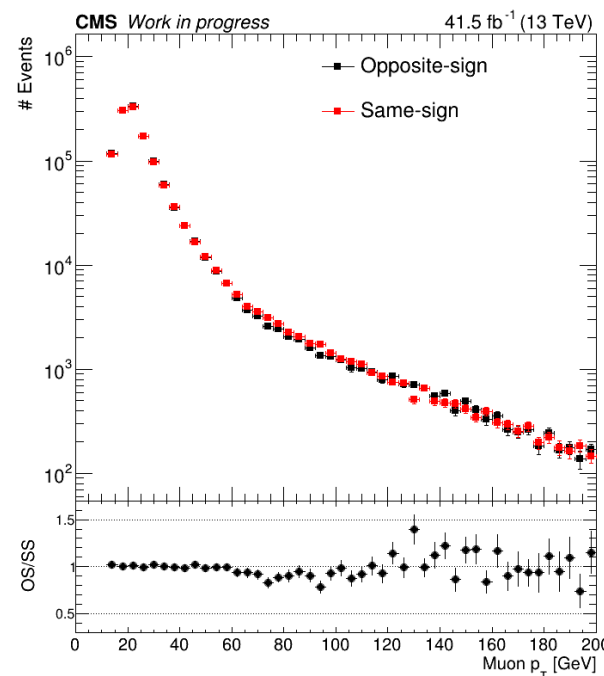
2016preAPV



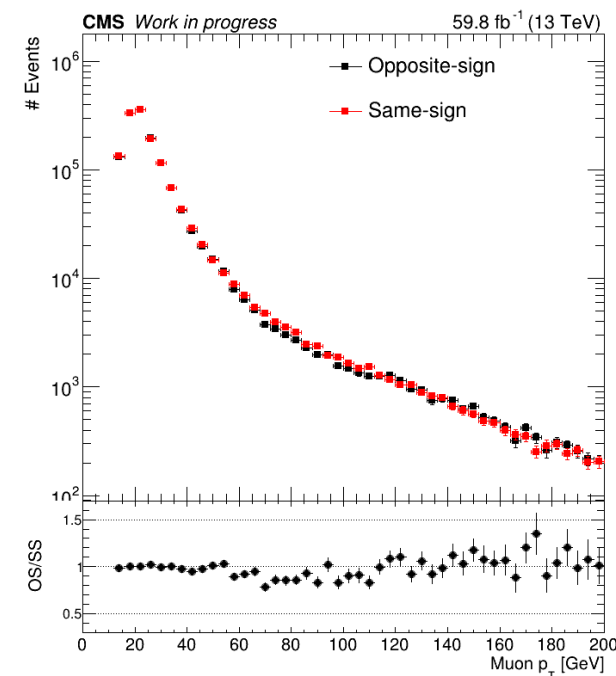
2016postAPV



2017



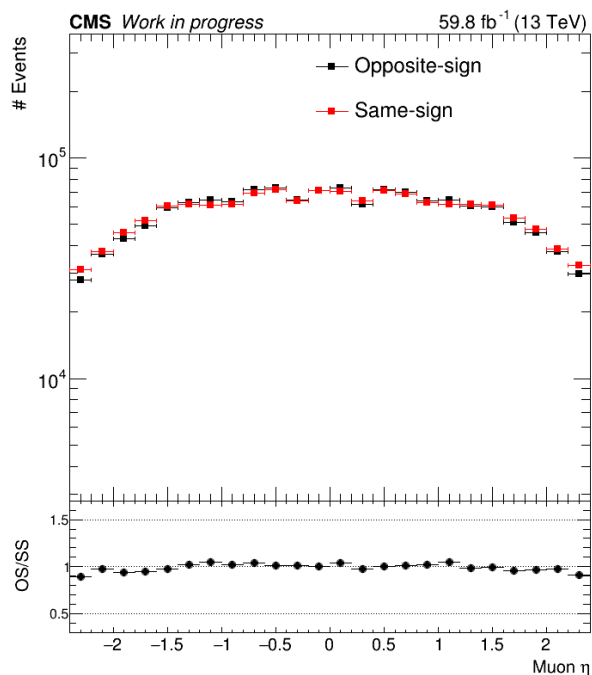
2018



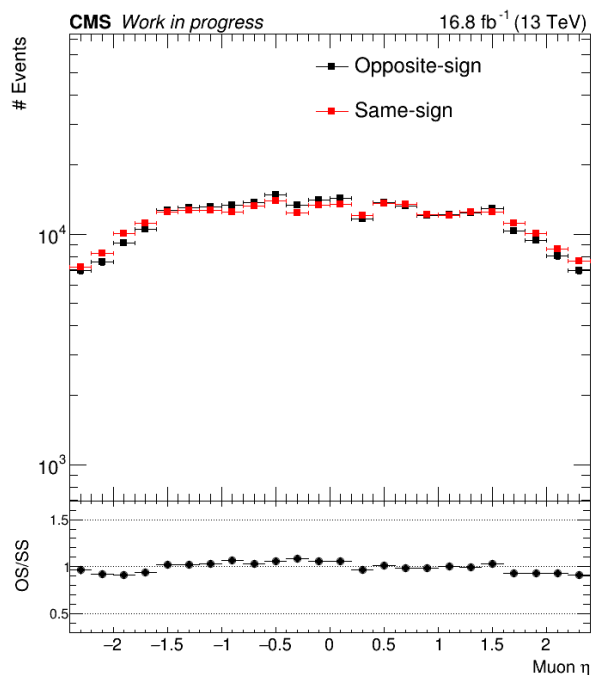
OS/SS ratio validation: muon channel (IV)

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
- We can see that the closure is nearly perfect

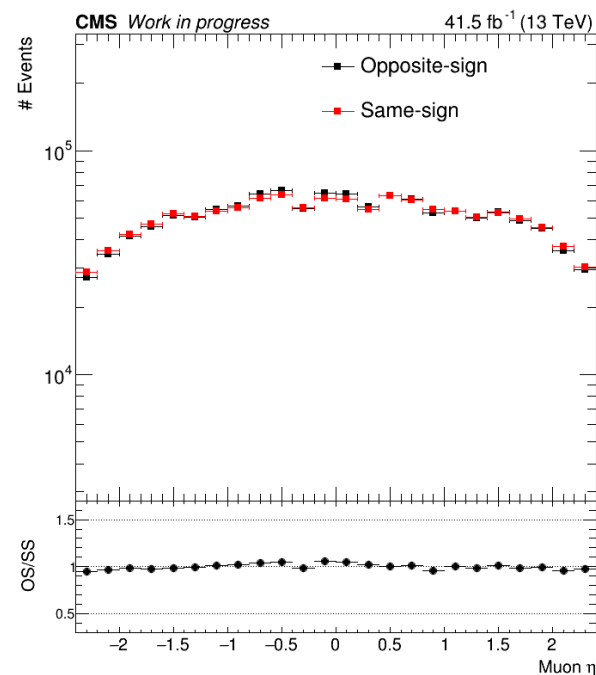
2016preAPV



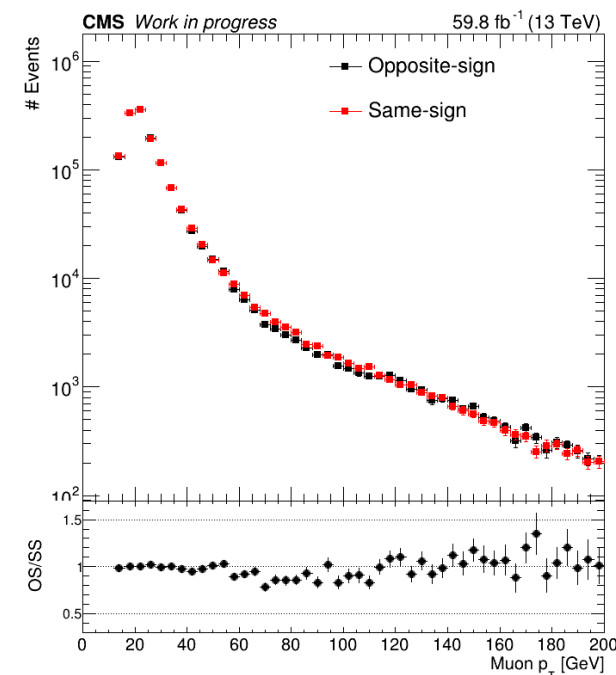
2016postAPV



2017



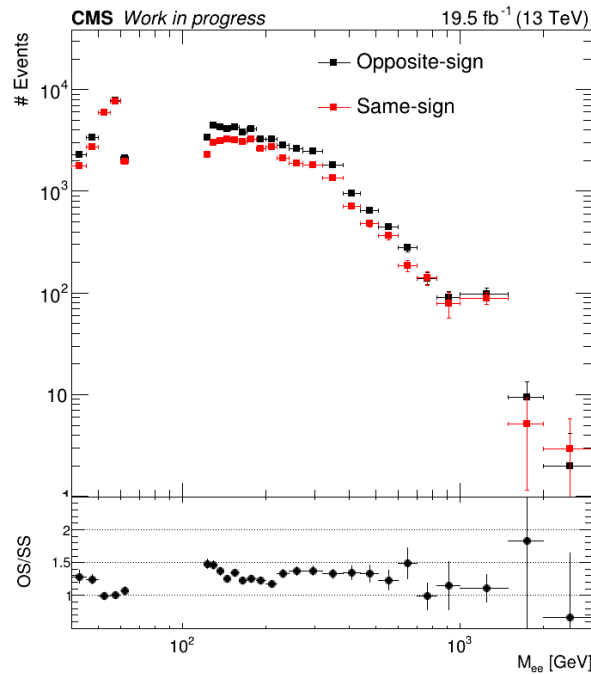
2018



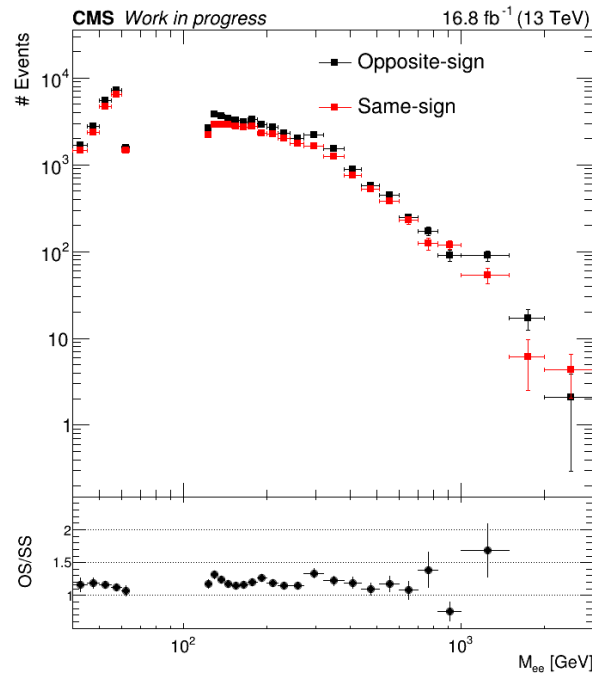
OS/SS ratio: electron channel

- Comparing OS and SS distributions in the electron channel sideband 1
- $R_{OS/SS}$ almost flat w.r.t. dilepton mass
- Check if there are strong dependencies on other variables (next slide)

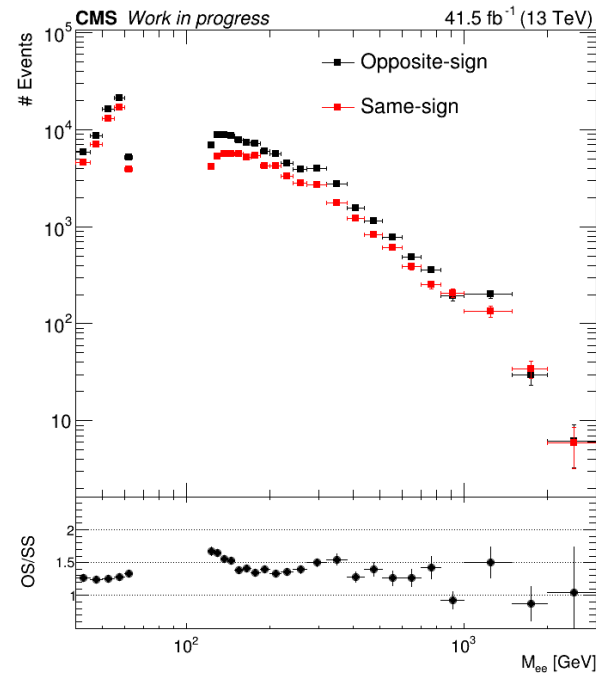
2016preAPV



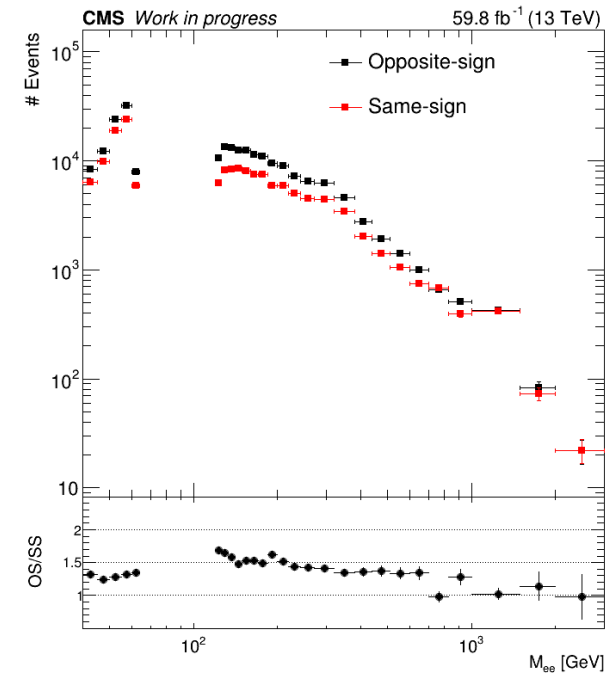
2016postAPV



2017



2018



OS/SS ratio: electron channel (II)

- $R_{OS/SS}$ also has strong dependence on missing p_T (possibly due to separation between W+Jets and QCD w.r.t. this variable)
- **Therefore, we measure $R_{OS/SS}$ in the electron channel as a 1D function of missing p_T**
- The ratio is fitted with an analytical 1D function (it is already shown in the ratio plot)

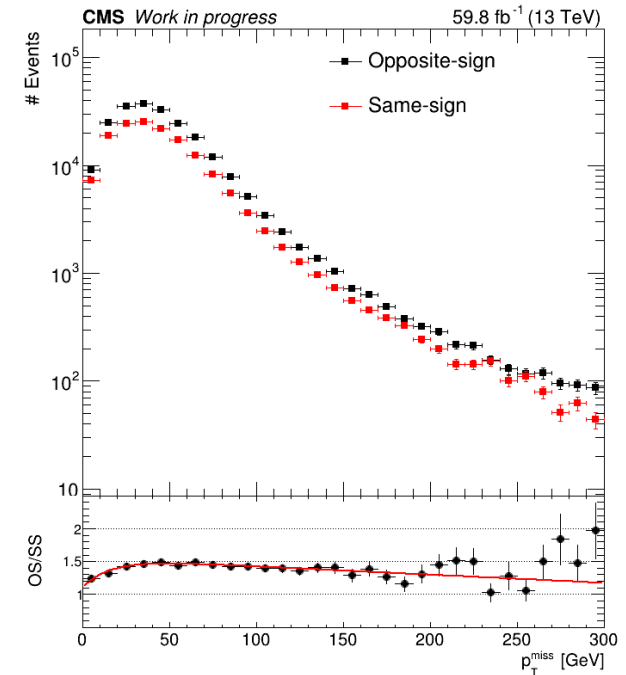
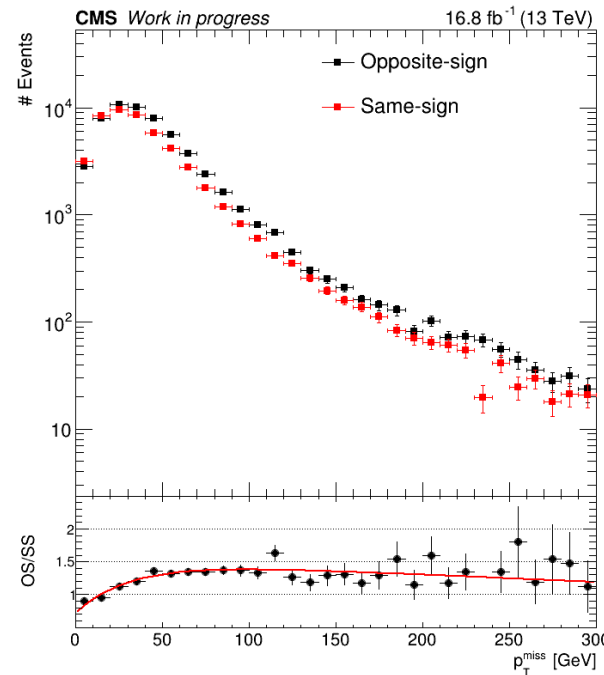
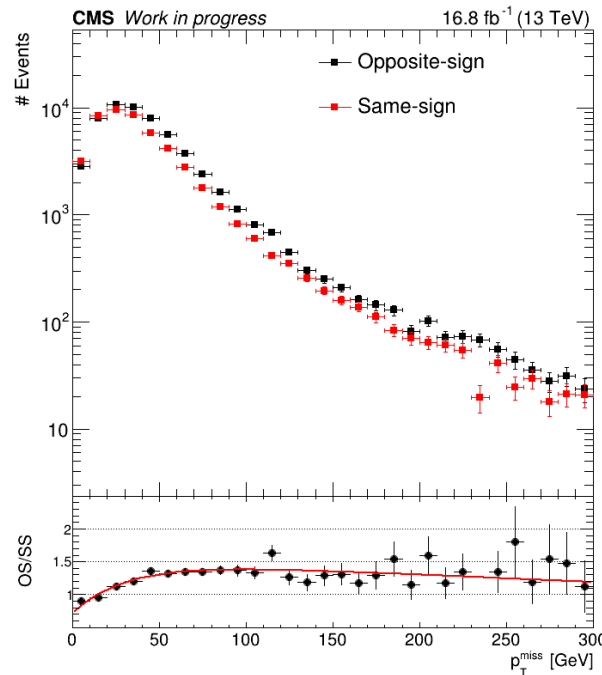
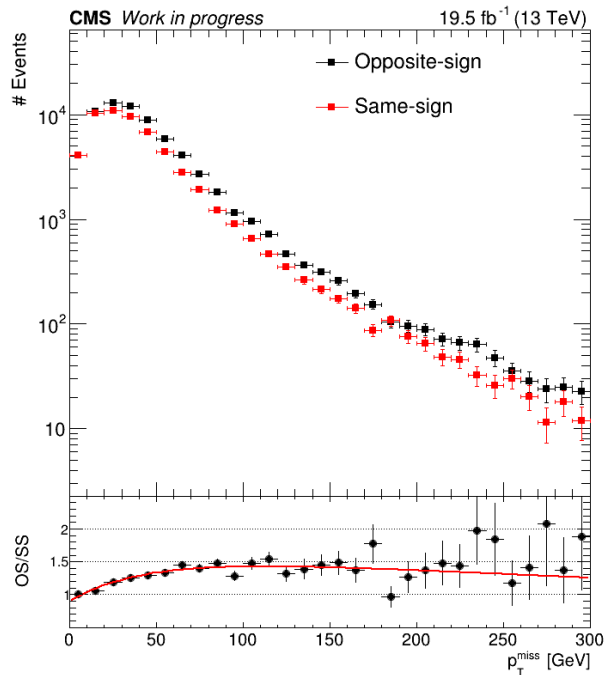
$$R_{OS/SS}(E_T^{\text{miss}}) = a_0 - a_1 E_T^{\text{miss}} - \exp(a_2 - a_3 E_T^{\text{miss}})$$

2016preAPV

2016postAPV

2017

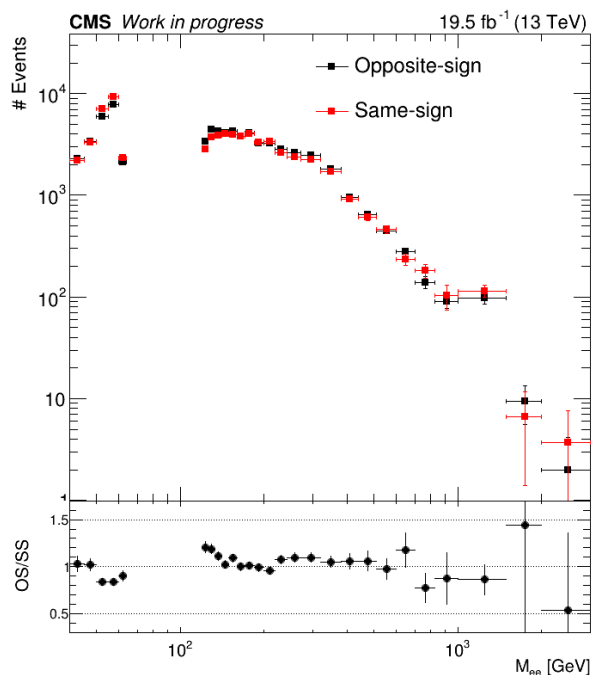
2018



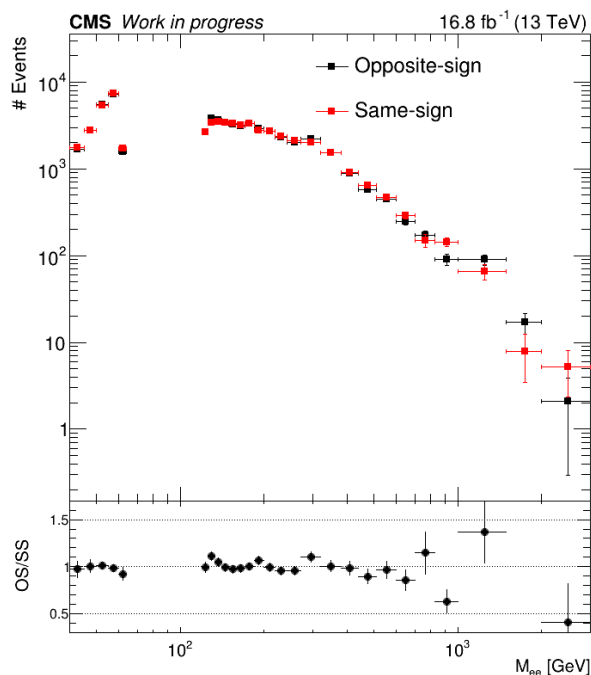
OS/SS ratio validation: electron channel

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
- We can see that the closure is nearly perfect

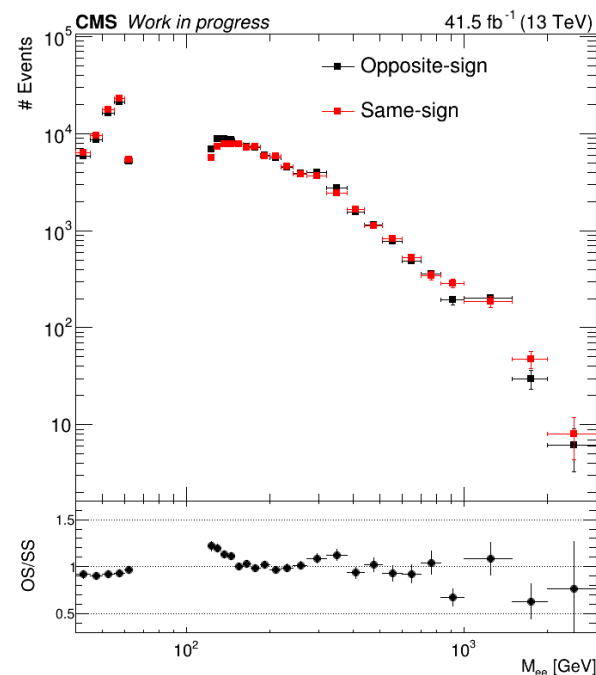
2016preAPV



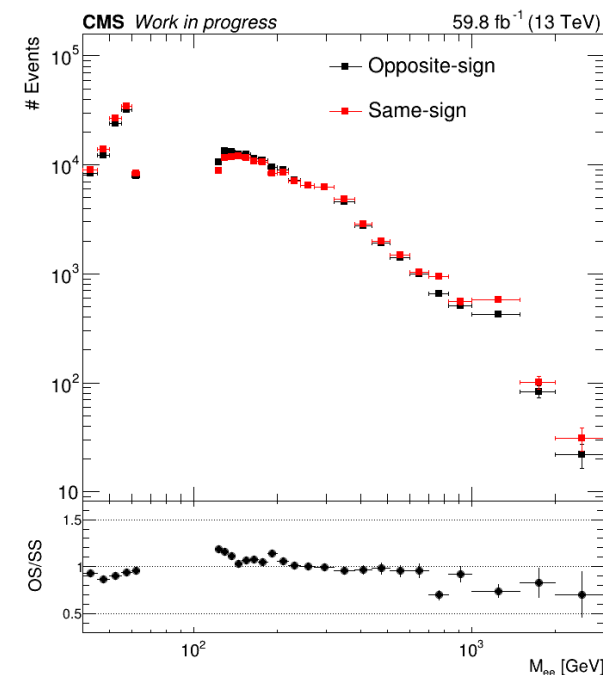
2016postAPV



2017



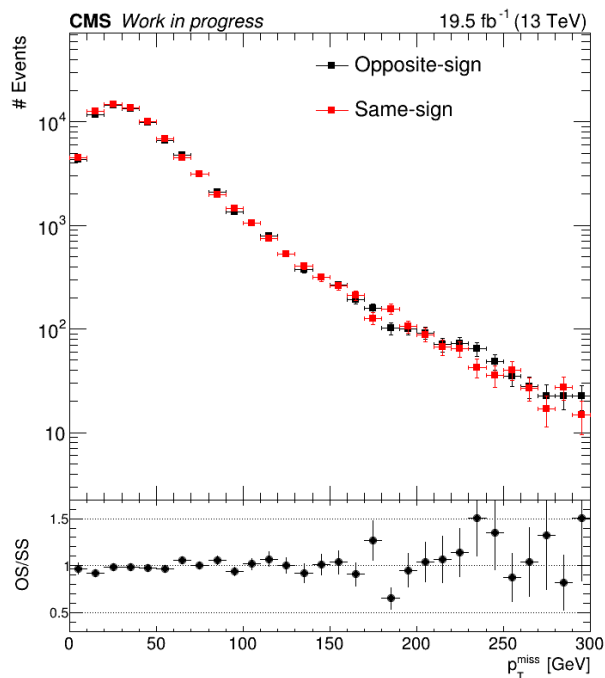
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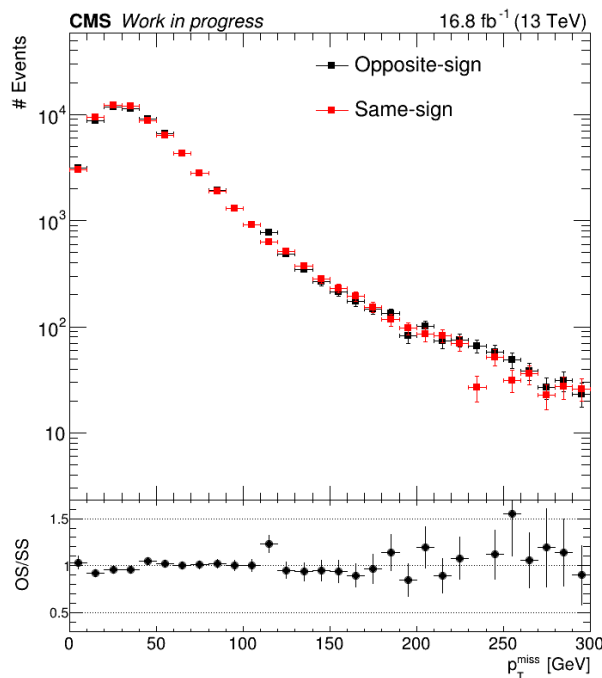
OS/SS ratio validation: electron channel (II)

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
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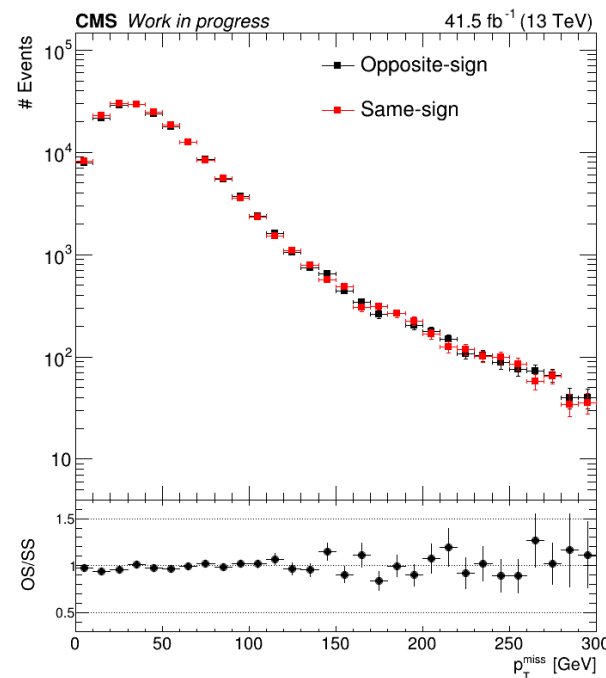
2016preAPV



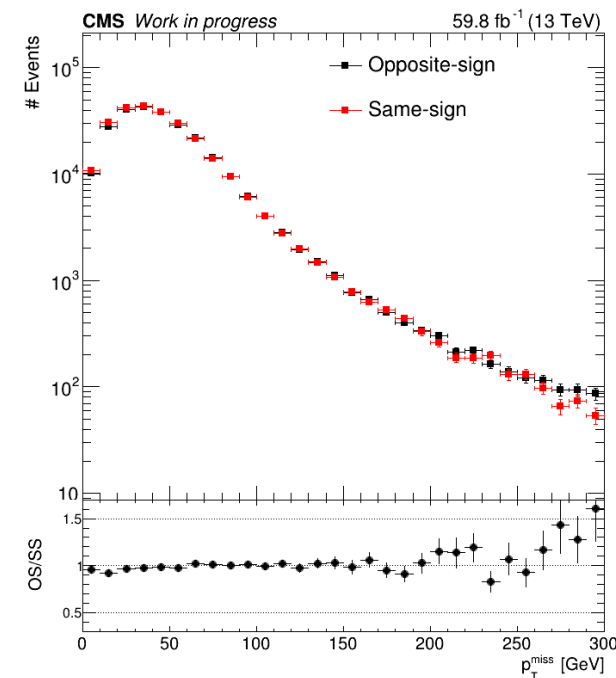
2016postAPV



2017



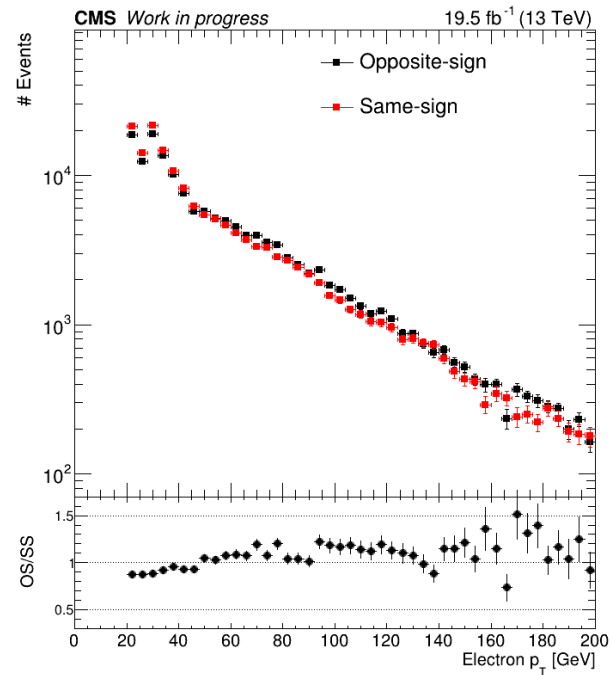
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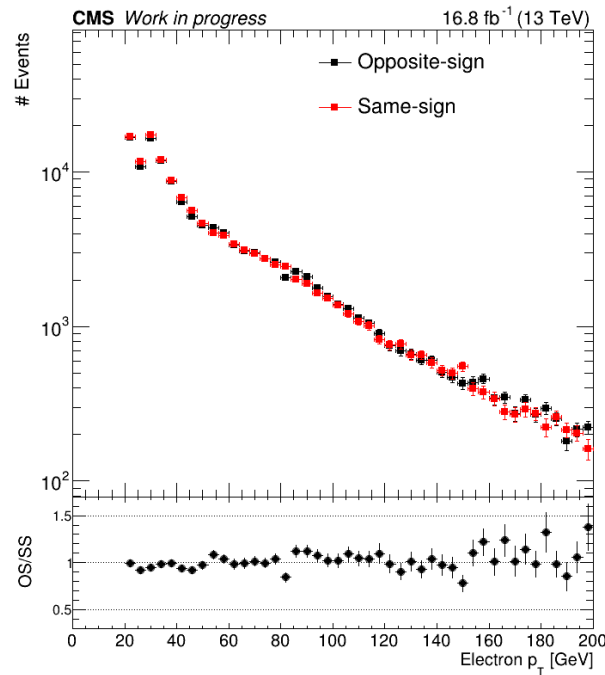
OS/SS ratio validation: electron channel (III)

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
- There is some bias w.r.t electron p_T
 - **Acceptable for the current study, should be improved for the 2D measurement**

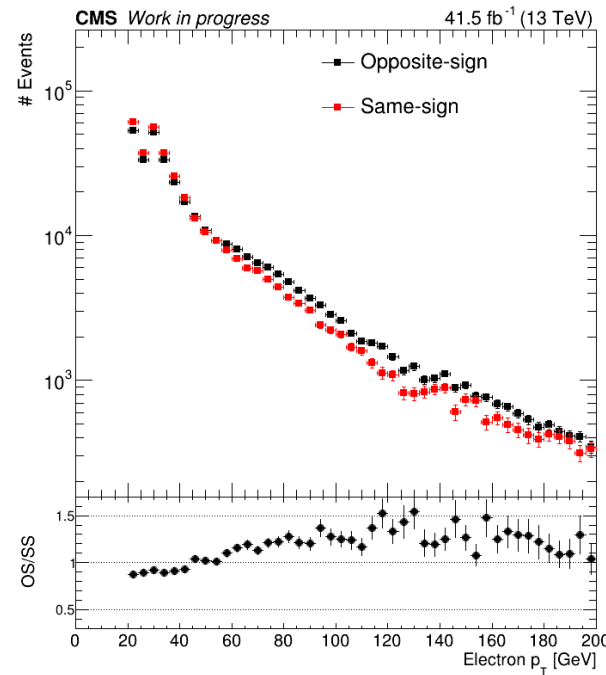
2016preAPV



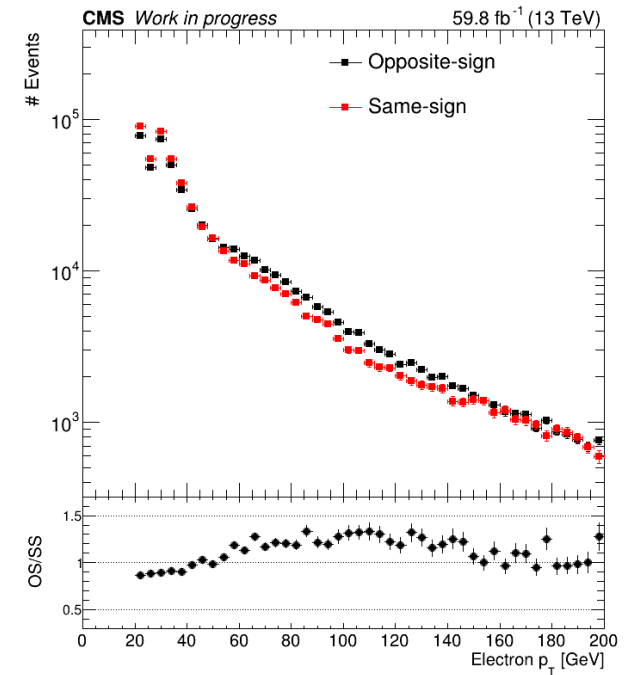
2016postAPV



2017



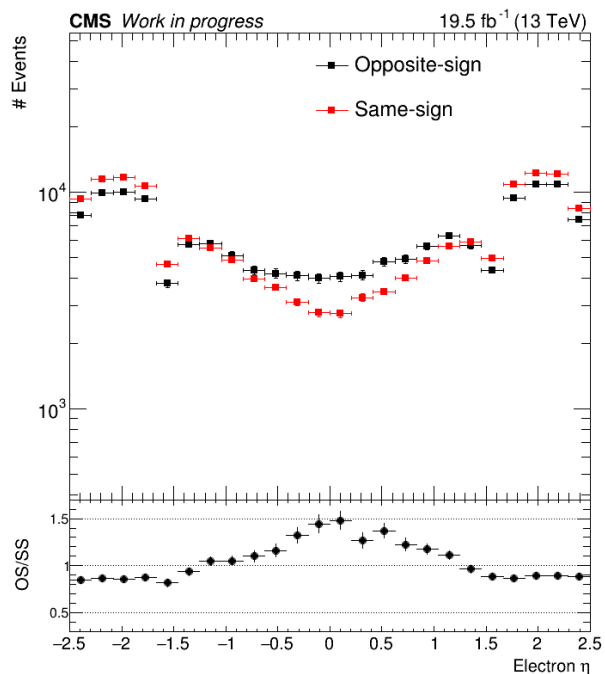
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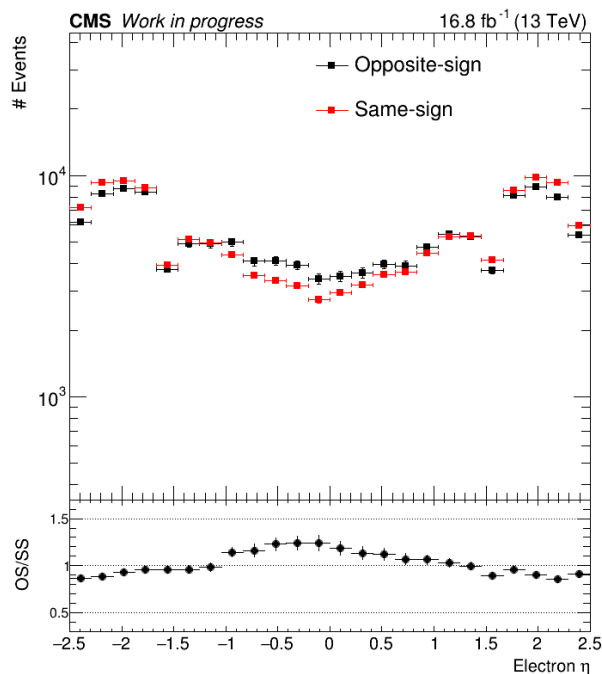
OS/SS ratio validation: electron channel (IV)

- We validate the obtained ratios by performing a closure test
 - Reweigh the SS events in the sideband itself and see if it matches the OS distribution there
- There is some relatively strong bias w.r.t electron η
 - **Acceptable for the current study, must be improved for the 2D measurement**

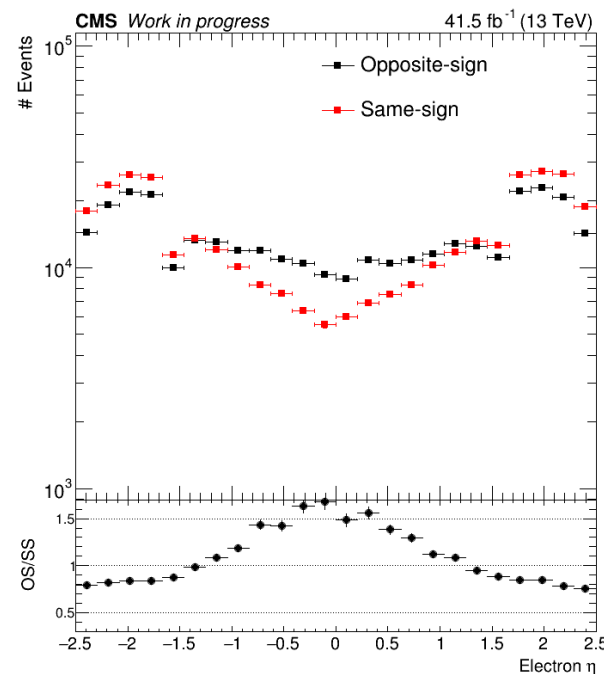
2016preAPV



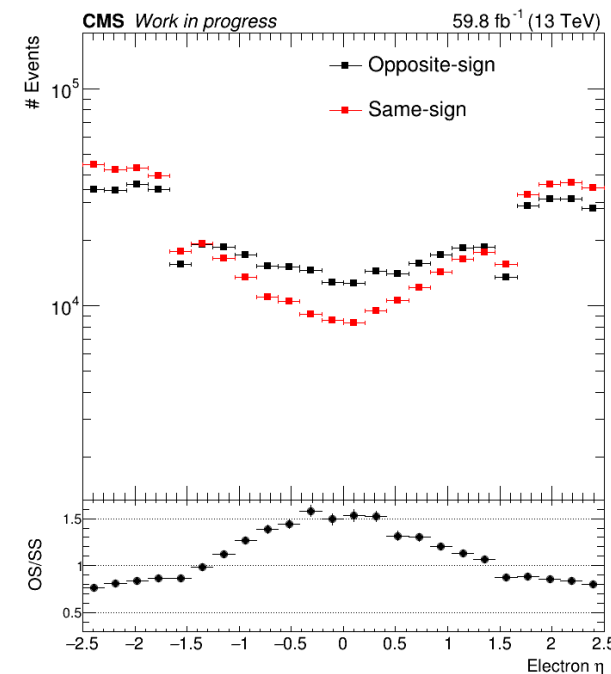
2016postAPV



2017



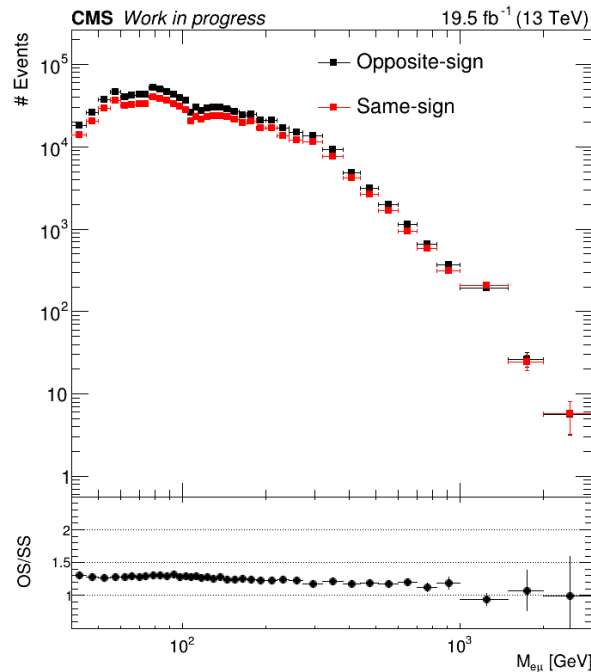
2018



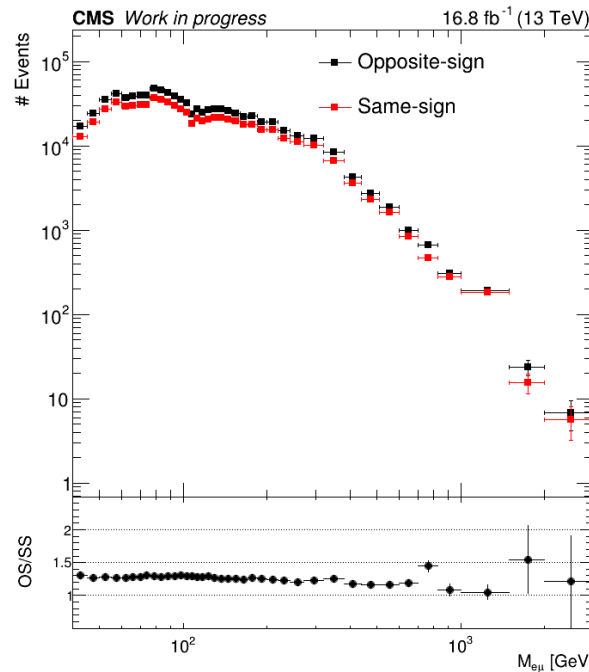
OS/SS ratio: $e\mu$ channel

- The ratio is almost perfectly flat w.r.t dilepton mass
 - Similar with other distributions
- Therefore, we use a constant value $R_{OS/SS}=1.27$ for all eras
- No closure test is needed for a constant ratio (it is just rescaling the whole histogram)

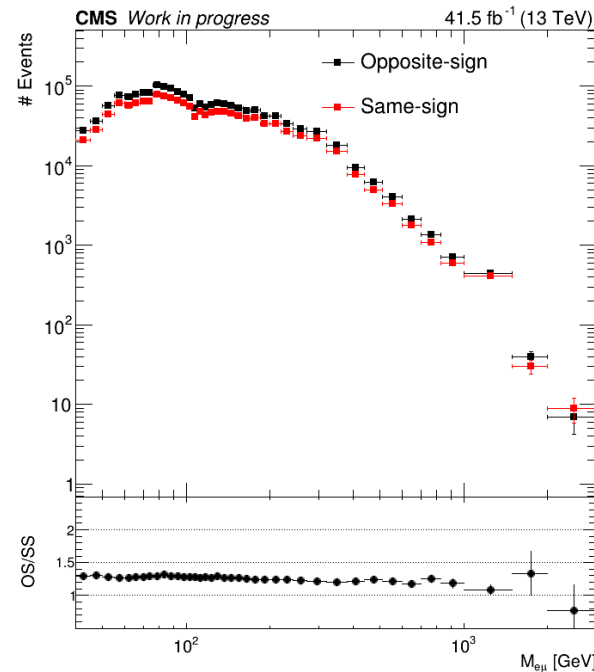
2016preAPV



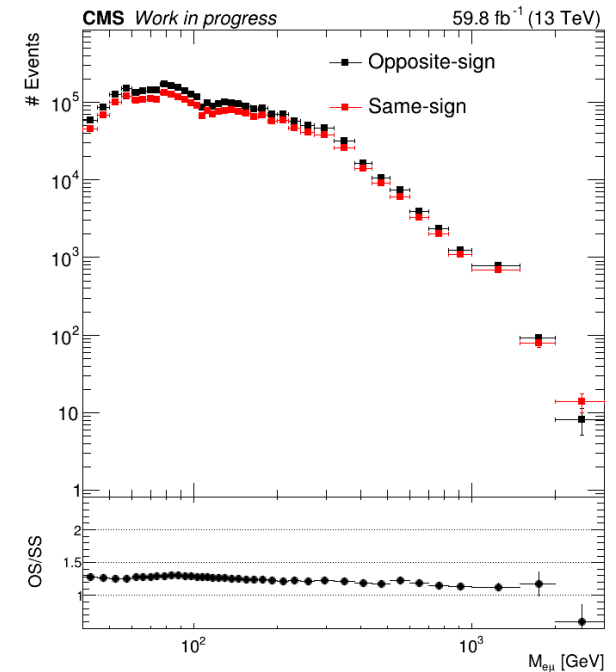
2016postAPV



2017



2018



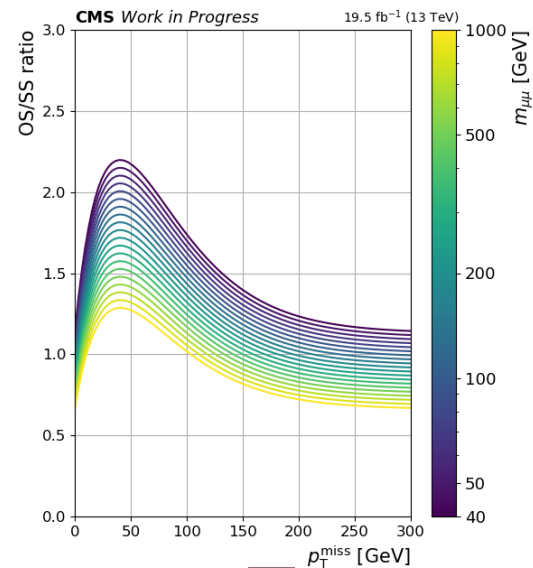
Fake lepton background estimation

Data-MC

Same-sign

Fakes, same-sign

$R_{OS/SS}$, sideband

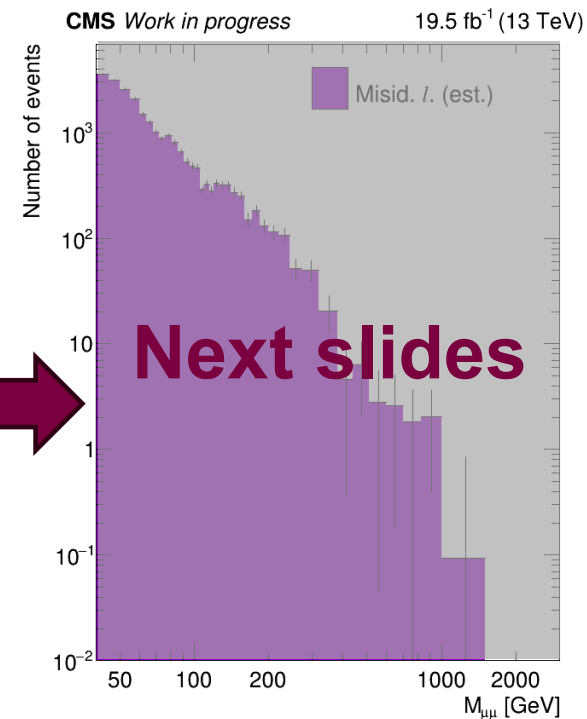
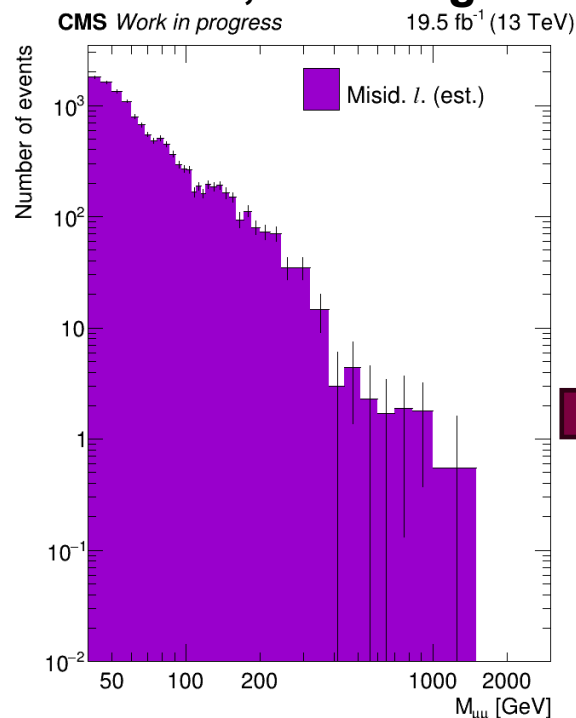
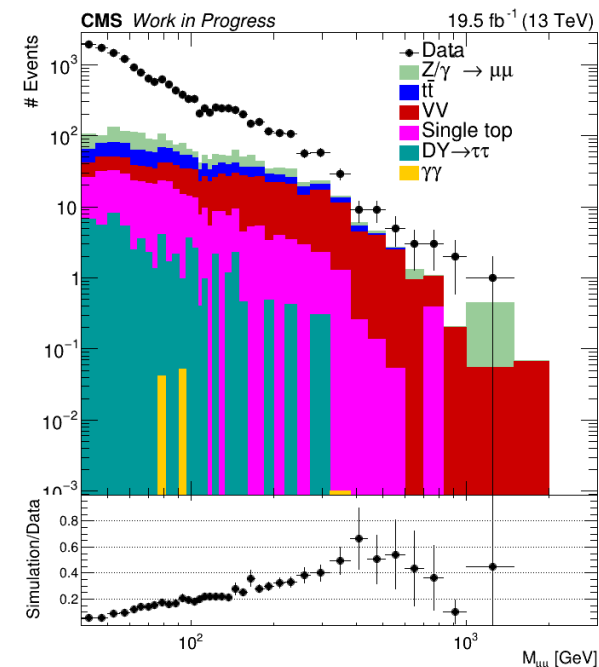


Use these weights

Reweight

Fakes, opposite sign
(signal region)

Next slides



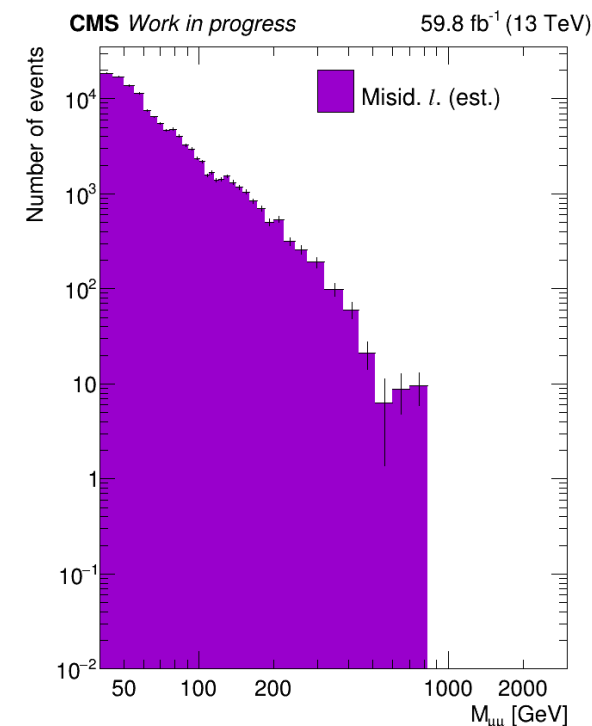
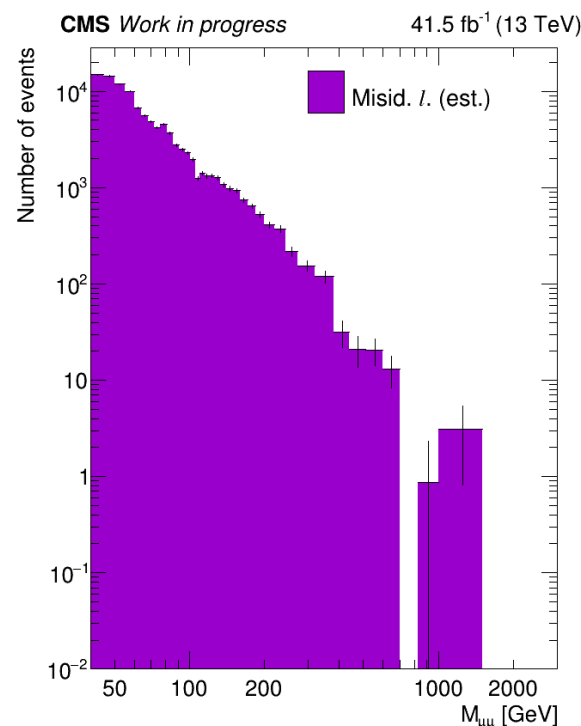
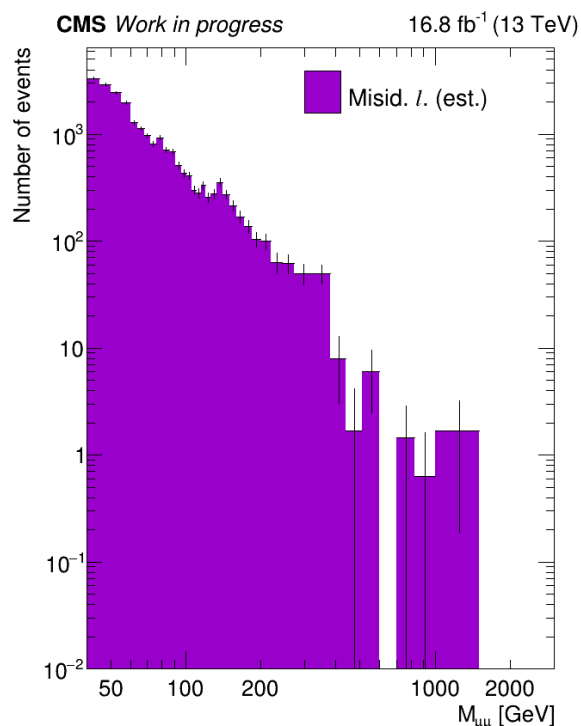
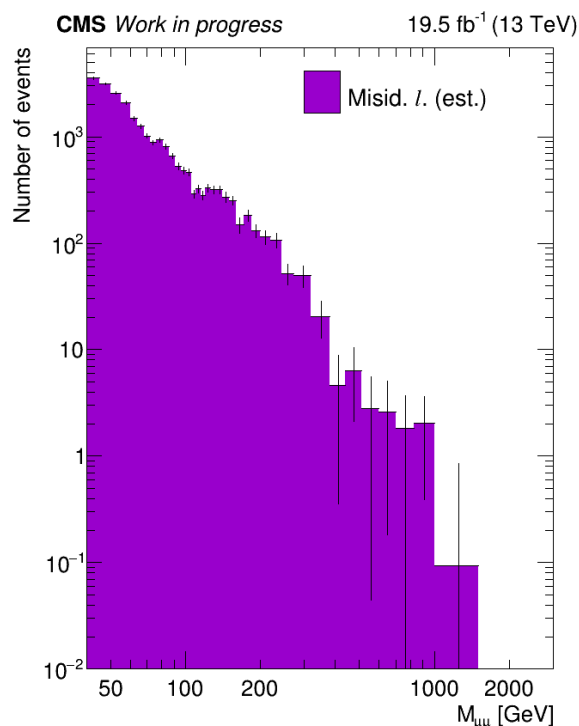
Final fake lepton background (opposite-sign): muon channel

2016preAPV

2016postAPV

2017

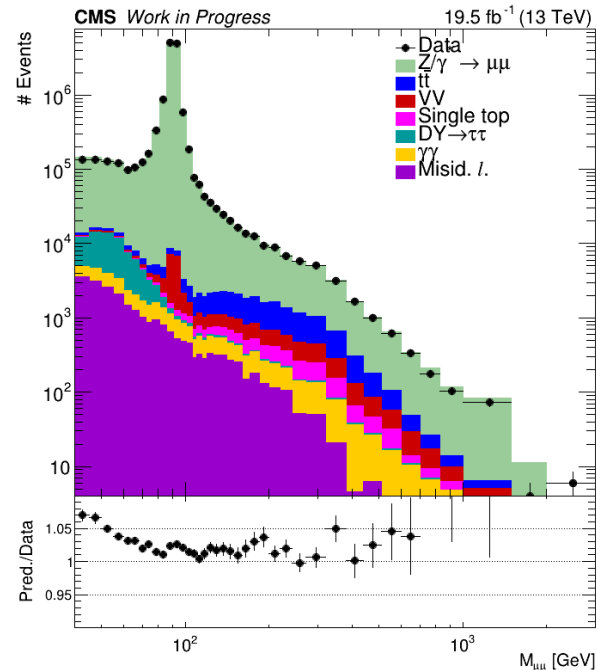
2018



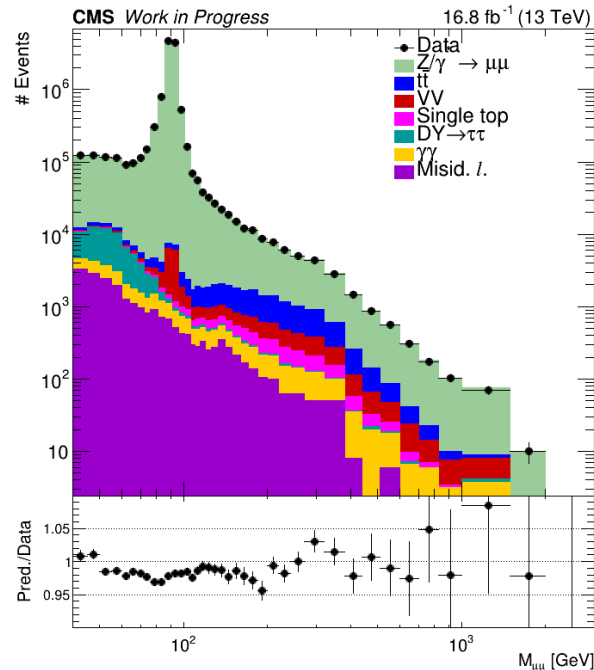
Final fake lepton background (opposite-sign): muon channel (II)

- View of the whole signal region
- Discrepancy at low mass was already apparent before adding fake lepton backgrounds

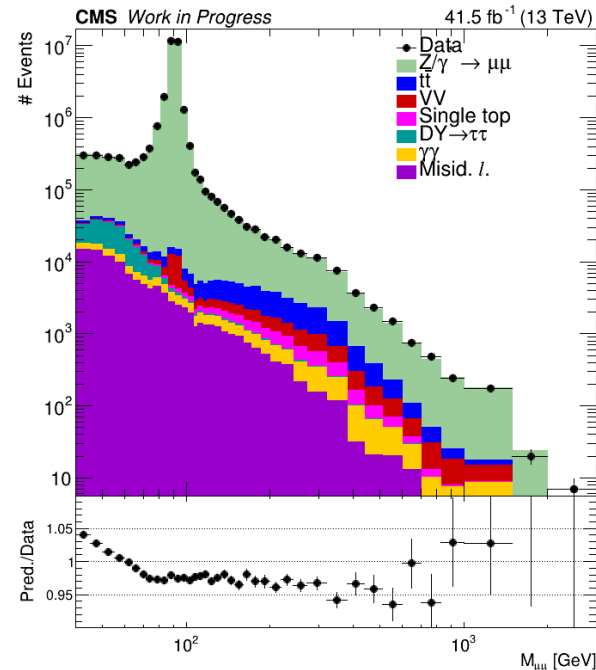
2016preAPV



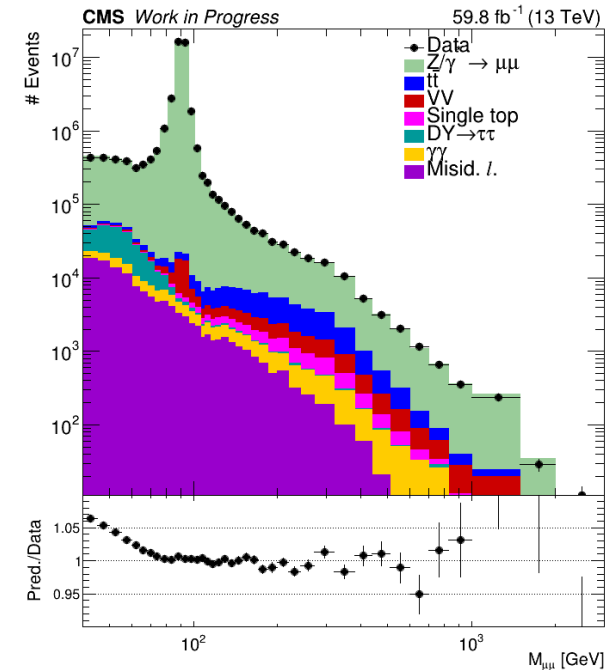
2016postAPV



2017



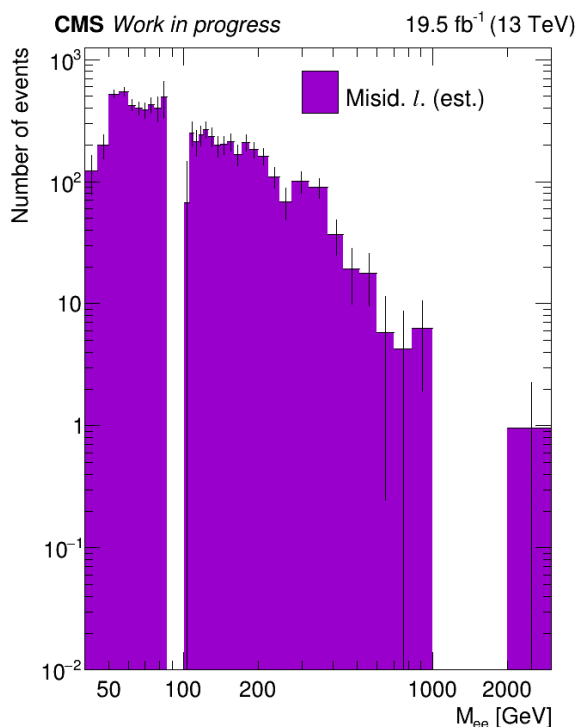
2018



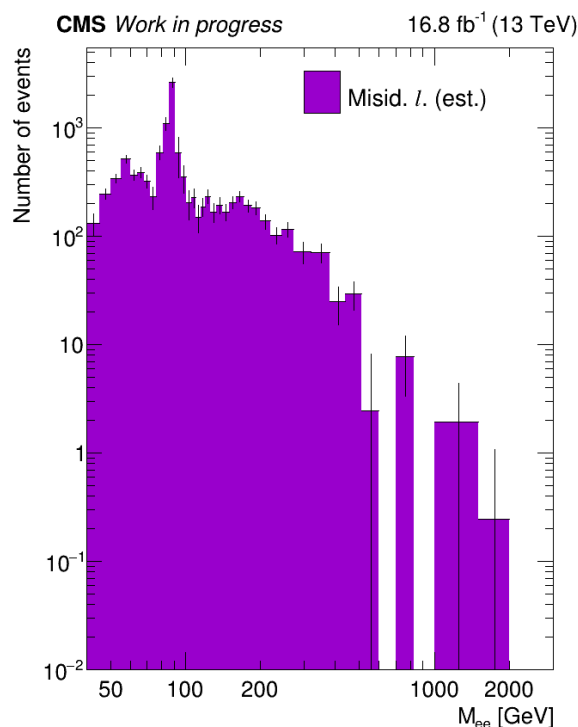
Fake lepton background (opposite-sign): electron channel

- Result unreliable in the Z peak region
- Fakes are negligible compared to DY in that region
- Nevertheless, we improve the result by linearly interpolating from outside into the Z peak region

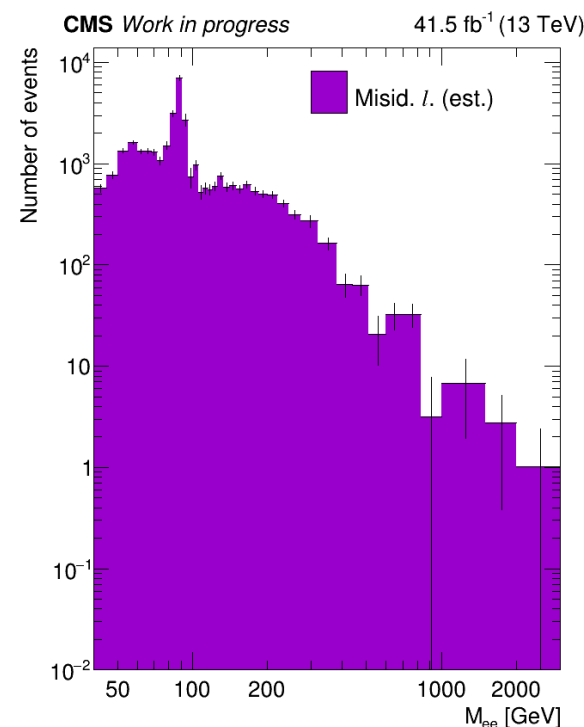
2016preAPV



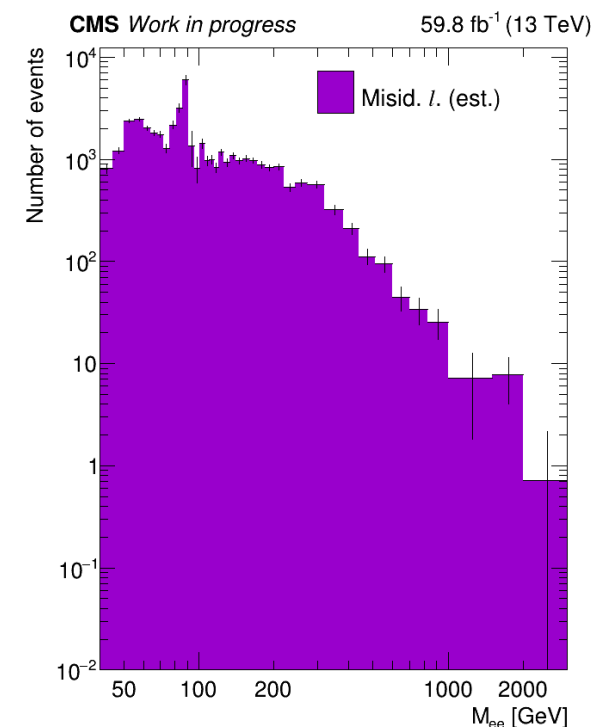
2016postAPV



2017



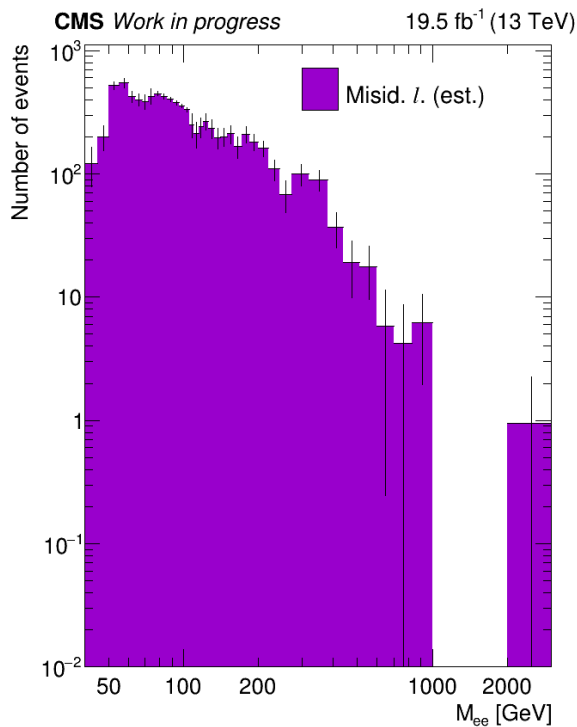
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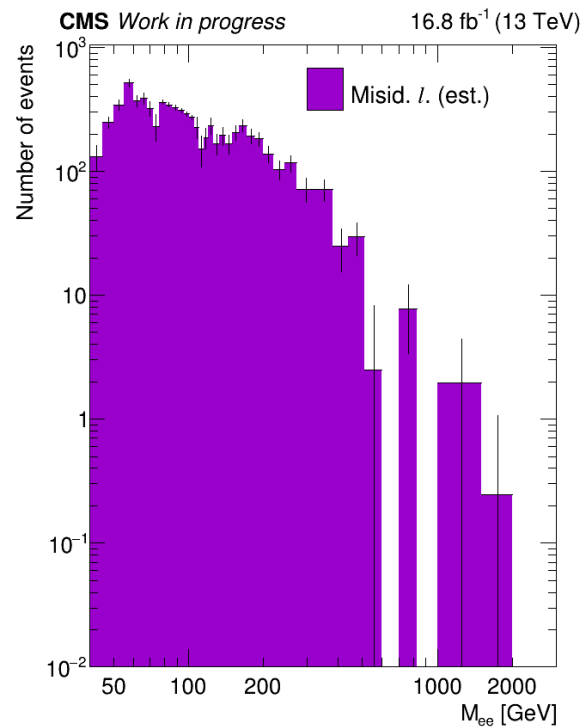
Final fake lepton background (opposite-sign): electron channel

- The apparent “jump” where the interpolated result starts is mainly due to binning
 - I am interpolating the density plot and then reverting it back into a simple histogram

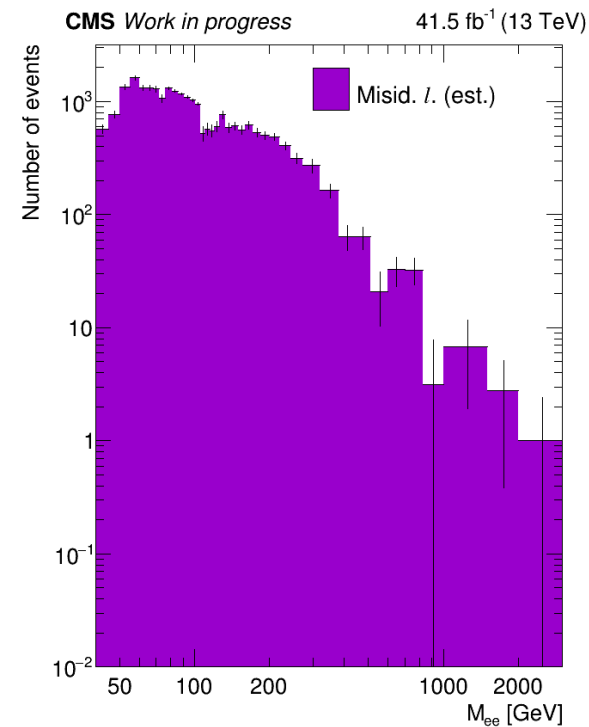
2016preAPV



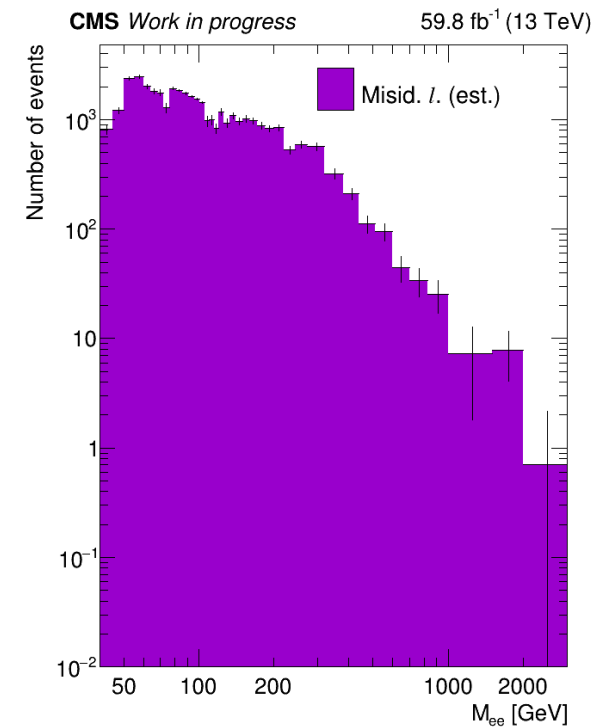
2016postAPV



2017



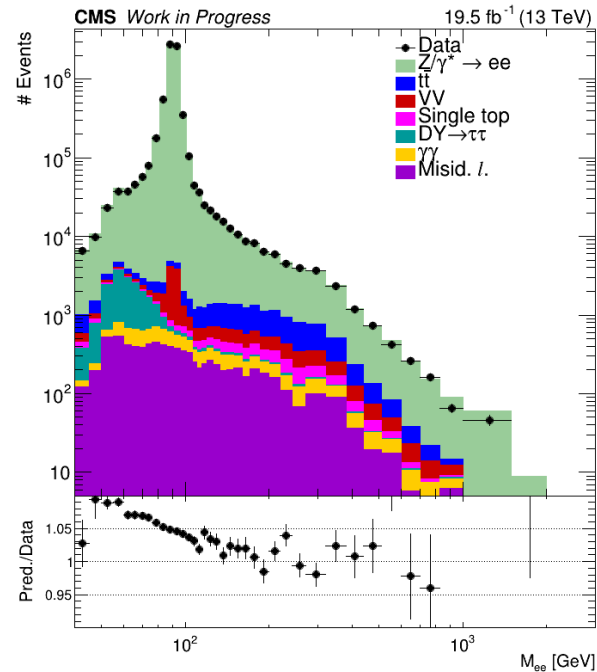
2018



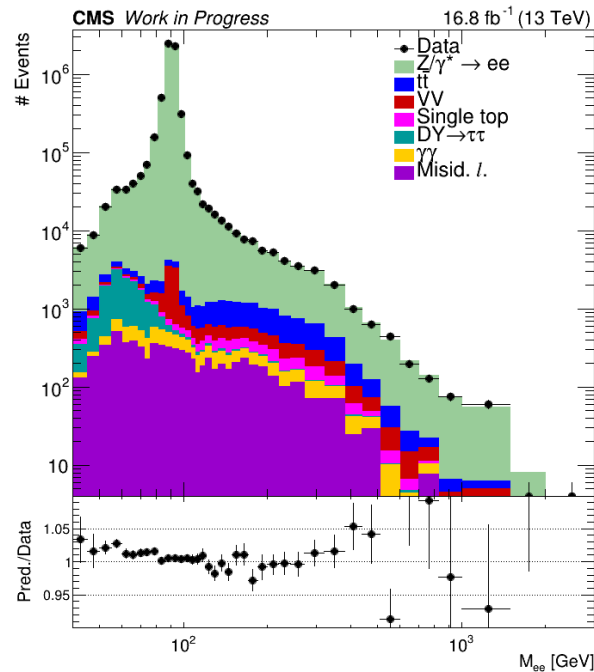
Final fake lepton background (opposite-sign): electron channel (II)

- View of the whole signal region

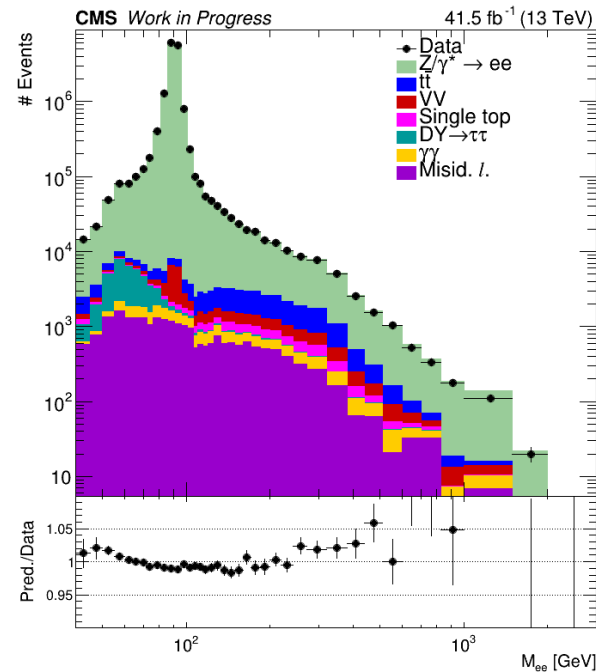
2016preAPV



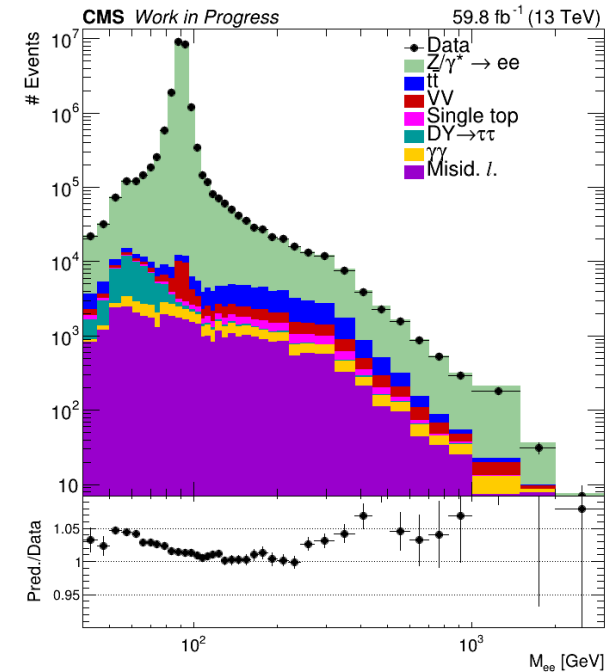
2016postAPV



2017



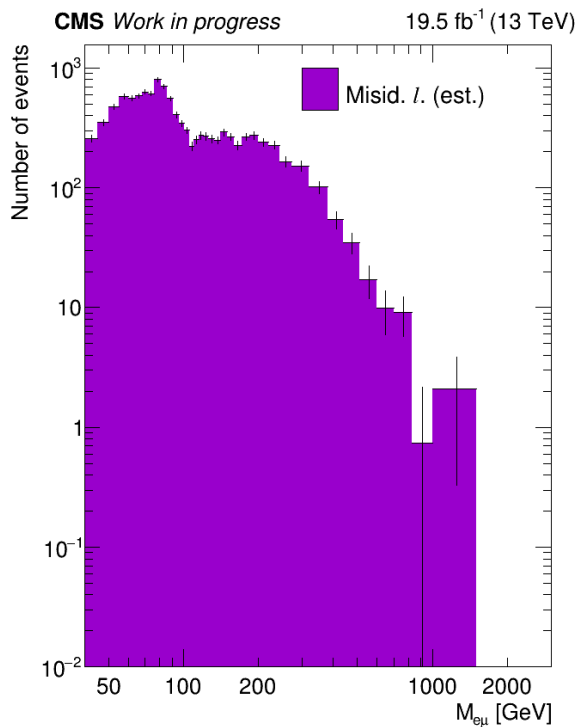
2018



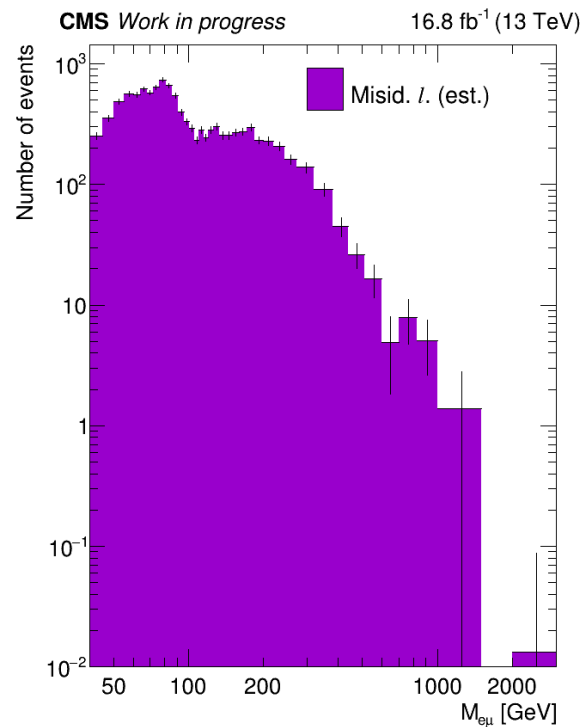
Final fake lepton background (opposite-sign): $e\mu$ channel

- The shape is reminiscent of the electron channel fakes
- Maybe could be used as a template in electron channel?

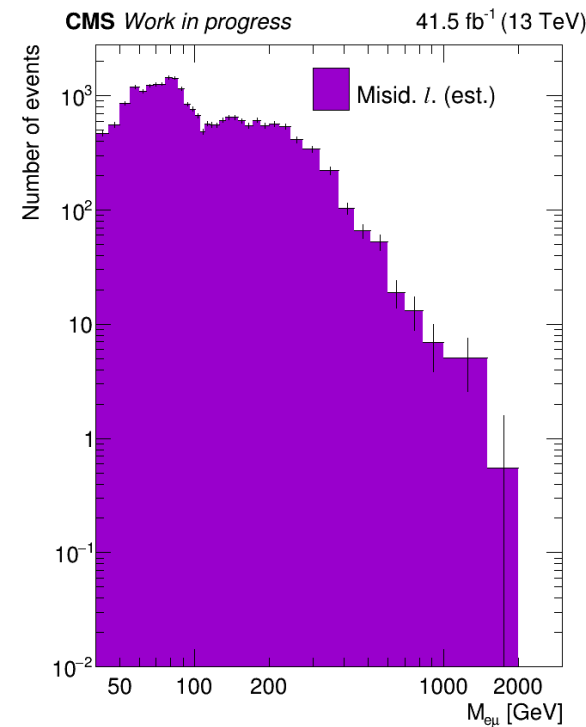
2016preAPV



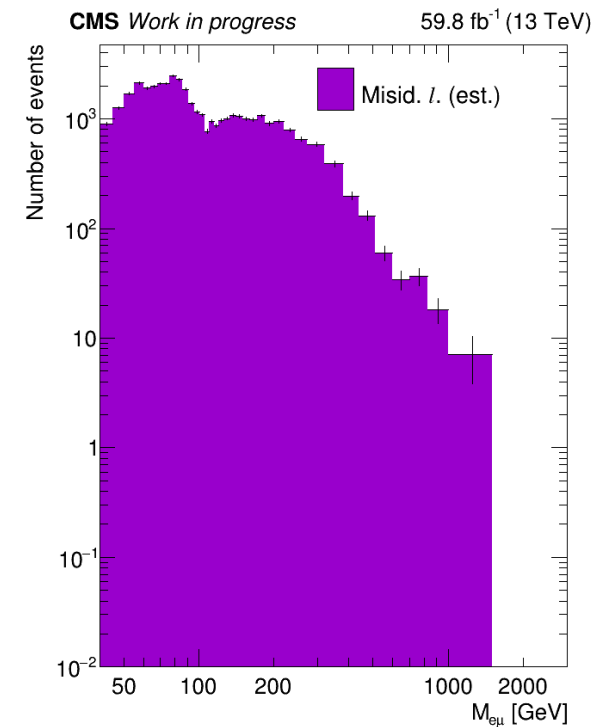
2016postAPV



2017



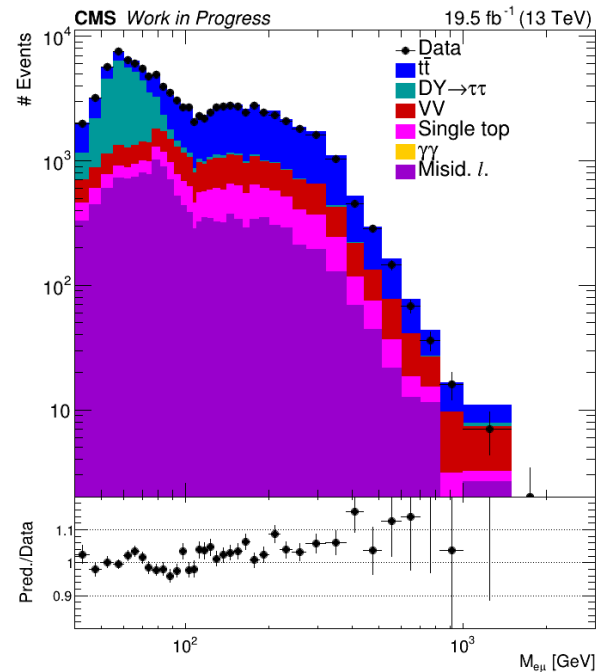
2018



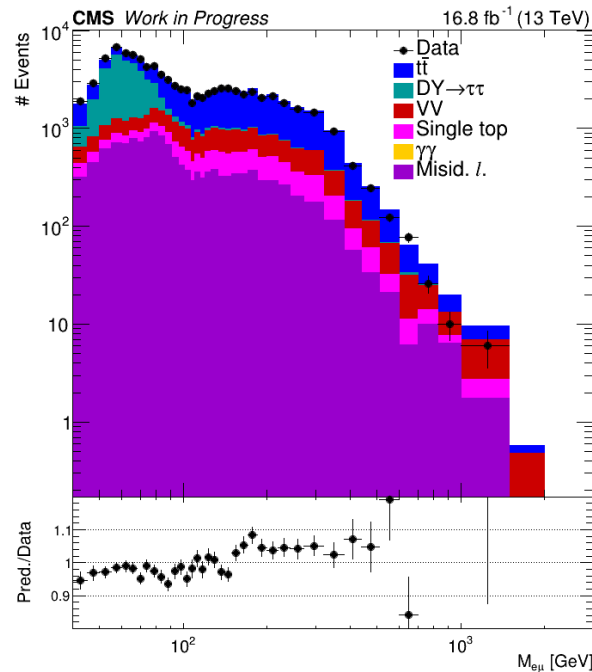
Final fake lepton background (opposite-sign): $e\mu$ channel (II)

- View of the whole “signal” region

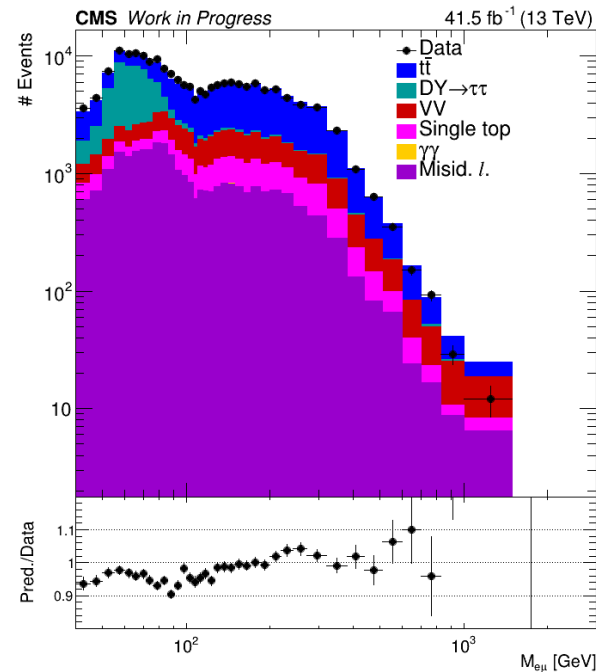
2016preAPV



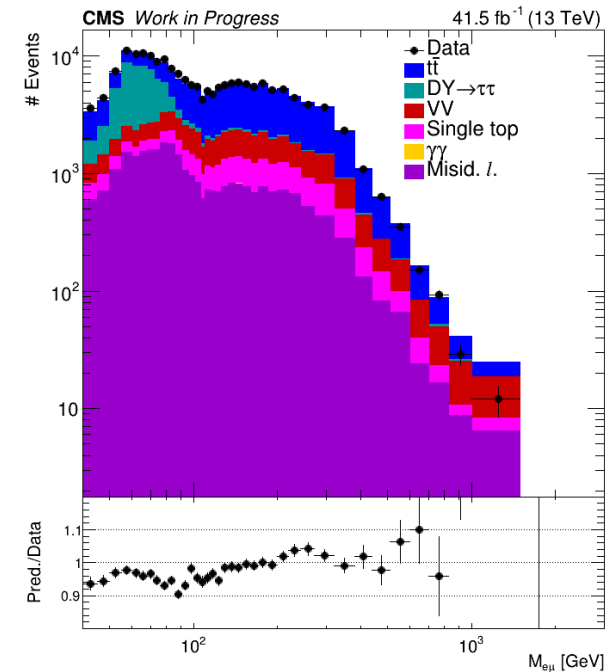
2016postAPV



2017



2018

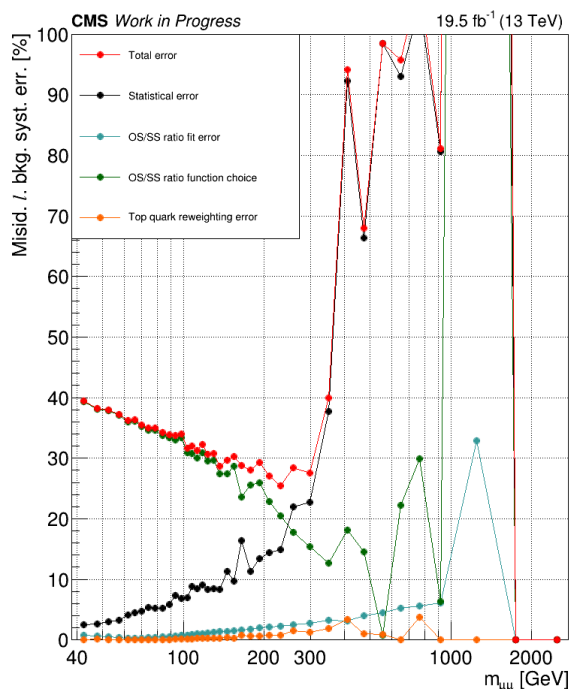


- The following systematic uncertainty sources were considered for the fake background estimation (grey – only for electron channel):
 1. $R_{OS/SS}$ fit parameter uncertainties
 - Estimated using fit parameter variations by the error values given from the fit
 2. $R_{OS/SS}$ fit function choice
 - Estimated using an alternative $R_{OS/SS}$ value which is just a constant average $R_{OS/SS}$
 3. Same-sign top quark background reweighting using the $e\mu$ method
 - Estimated as the difference between the nominal result and the one where MC top background is not reweighted
 4. Electron charge misidentification scale factor uncertainty
 - Estimated from up/down variation of charge misID SFs within their provided errors
 5. Z peak interpolation choice
 - Estimated using an alternative cubic interpolation
- For the $e\mu$ channel, considering that no fitting, interpolation, or top quark background reweighting was done to evaluate the fake lepton backgrounds, a constant systematic uncertainty of 10% was assigned

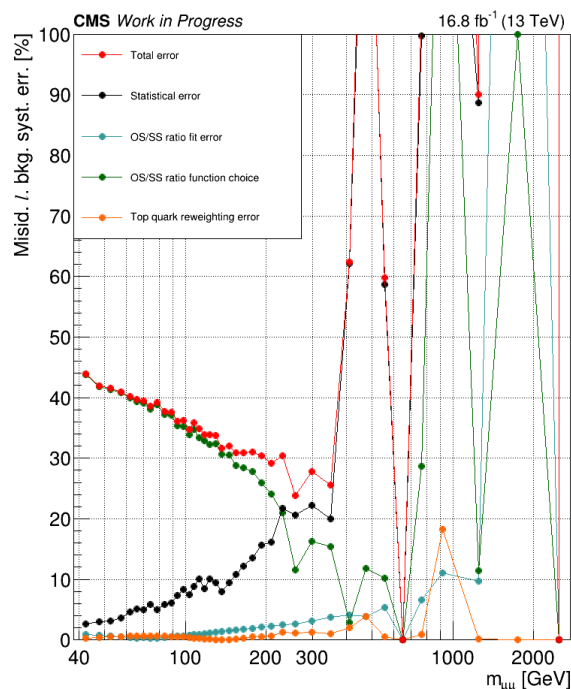
Systematic uncertainty: muon channel

- The total error does not exceed 50% for masses below 400 GeV
- $R_{OS/SS}$ function choice error dominant at low mass
- Statistical error dominant at high mass

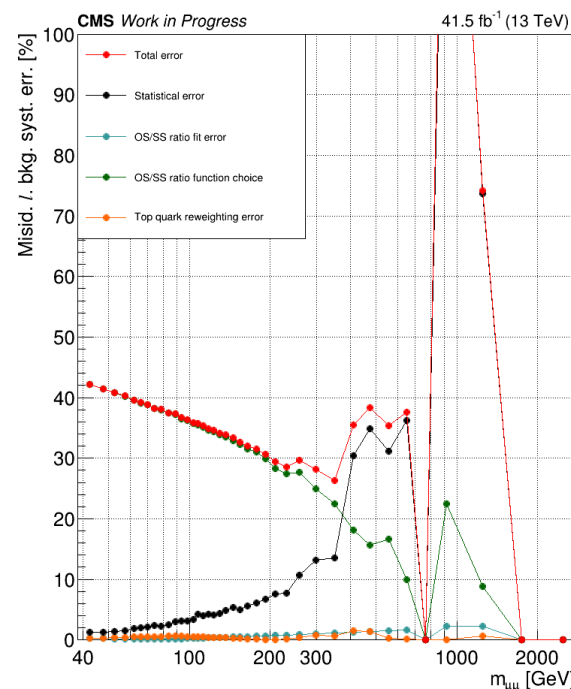
2016preAPV



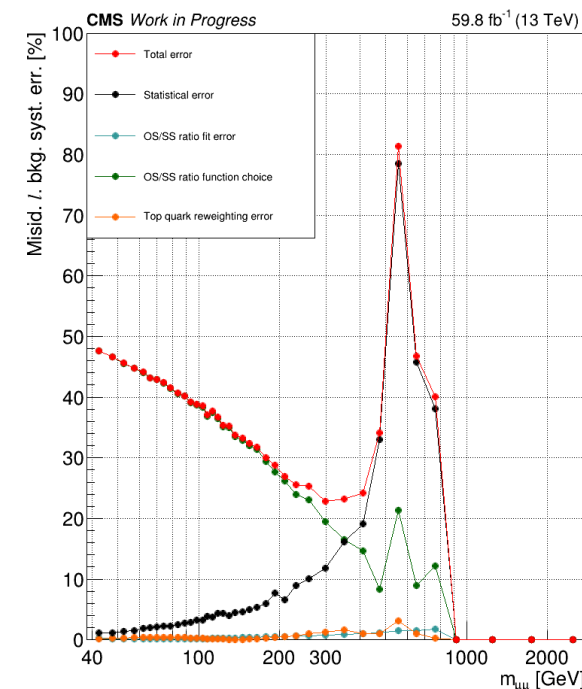
2016postAPV



2017



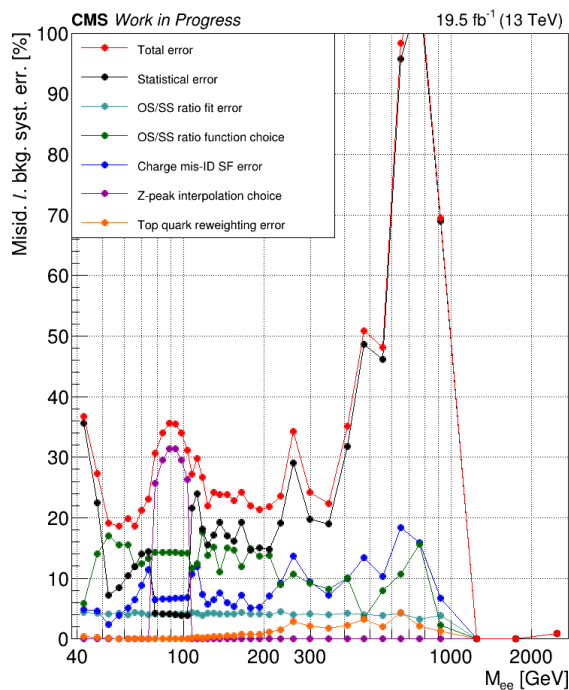
2018



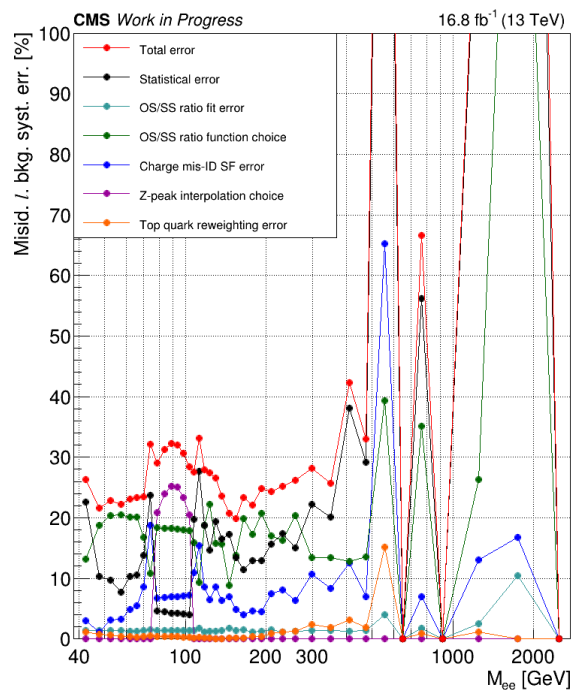
Systematic uncertainty: electron channel

- The total error does not exceed 40% for masses below 400 GeV
- $R_{OS/SS}$ function choice error dominant at low mass
- Interpolation error dominant in the Z peak region
- Statistical error dominant at high mass

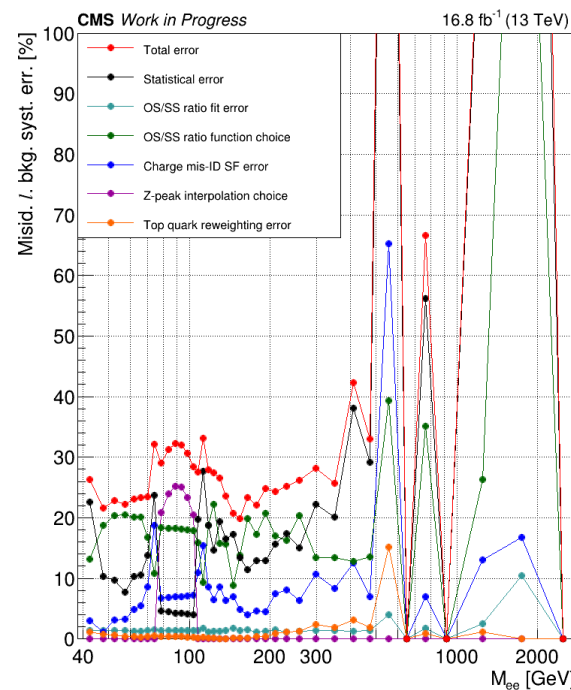
2016preAPV



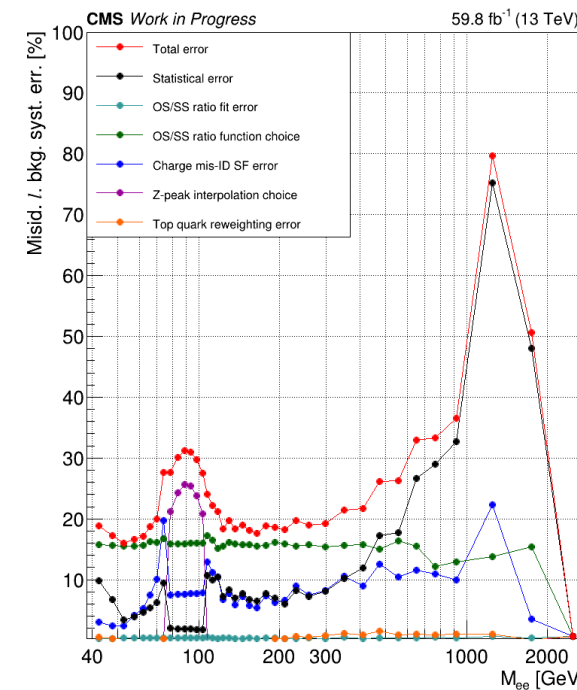
2016postAPV



2017



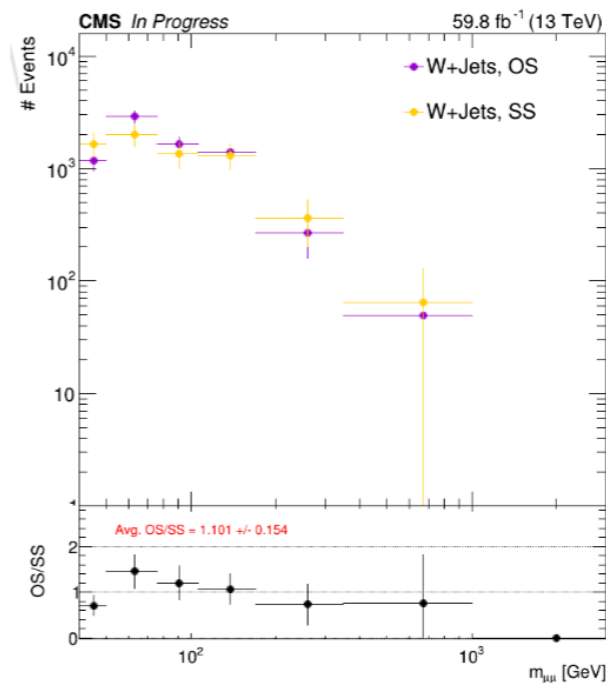
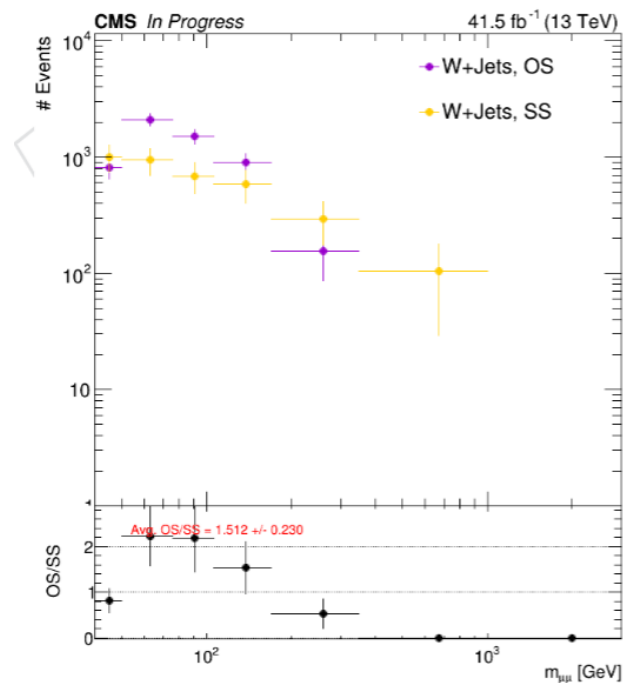
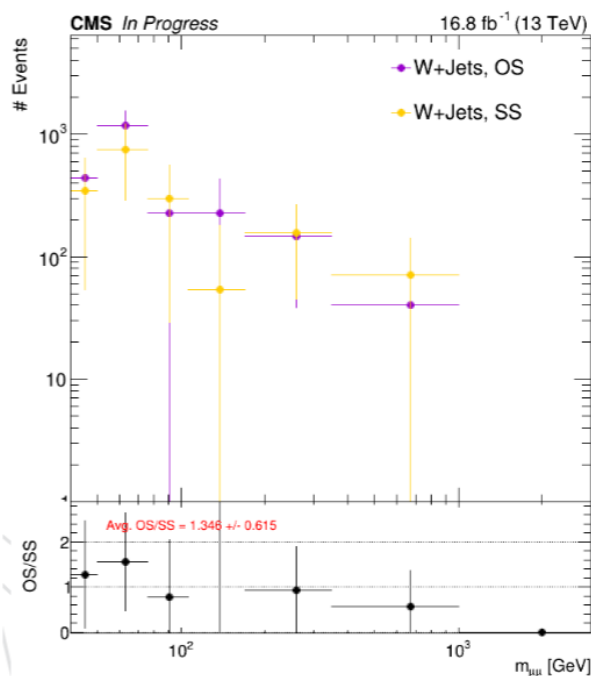
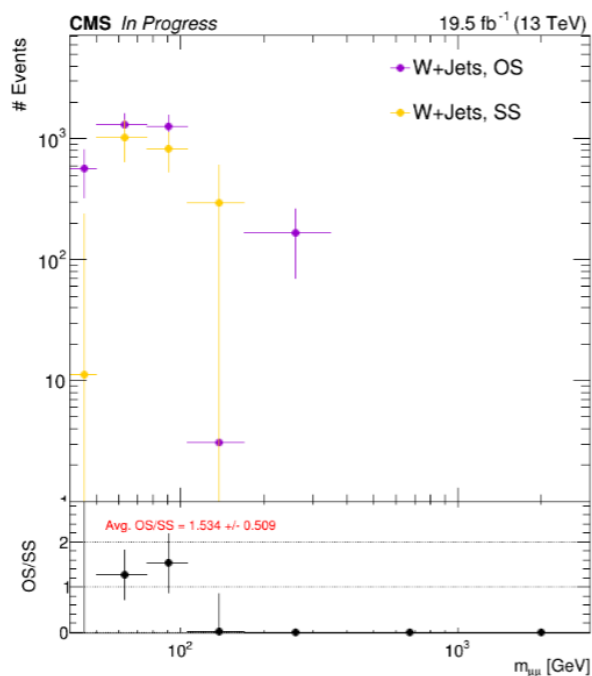
2018



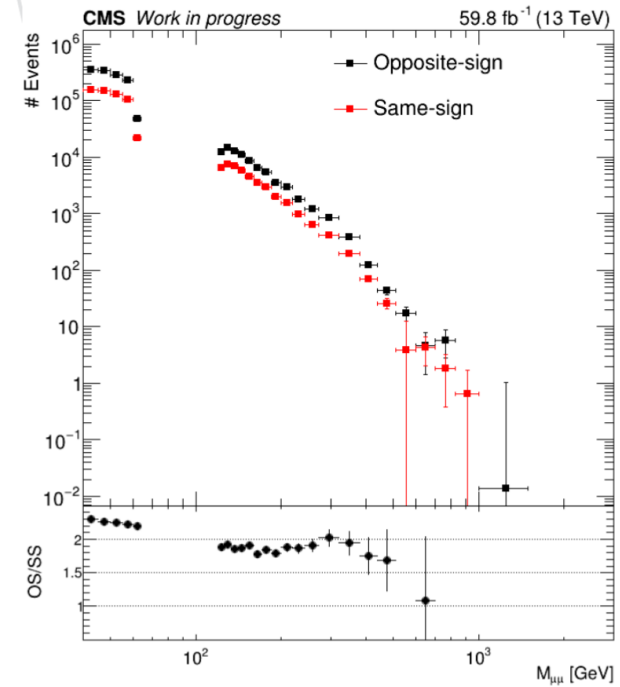
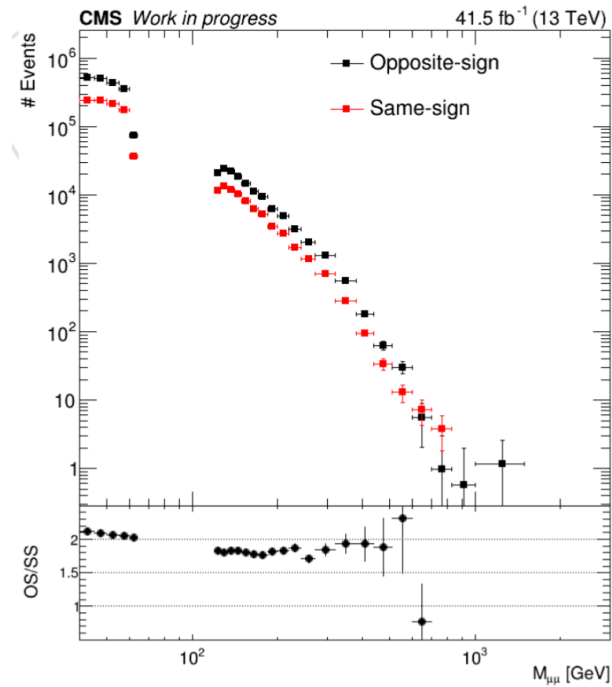
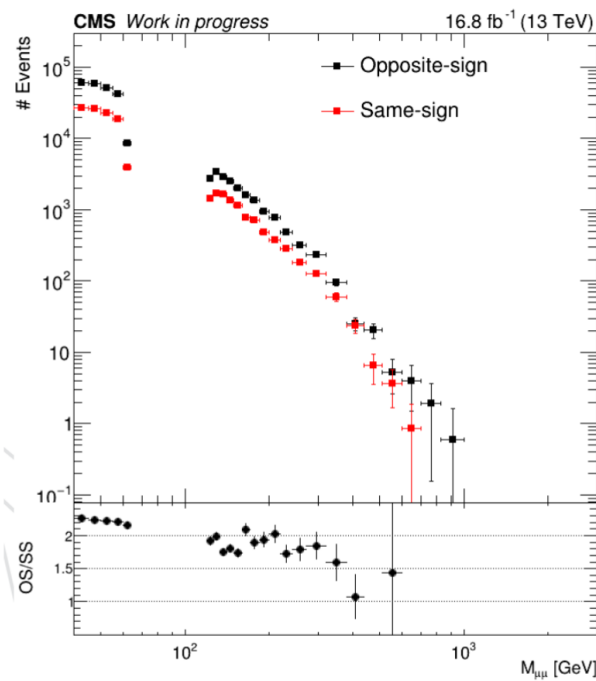
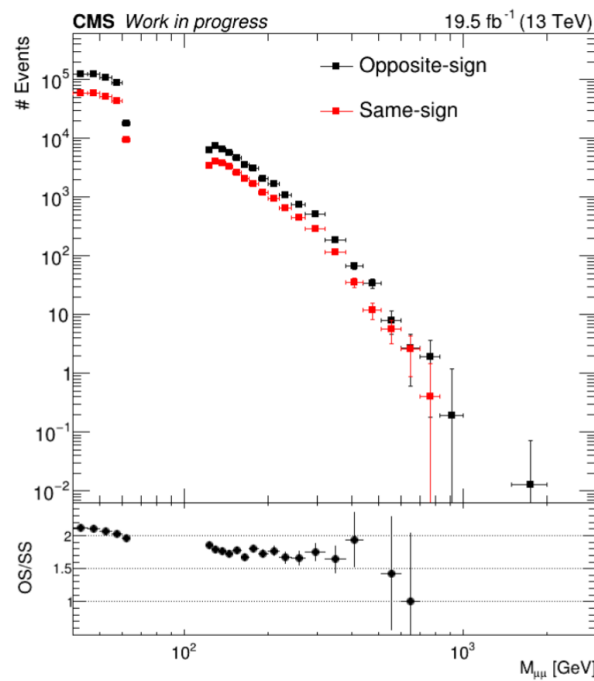
- “Fake” lepton backgrounds – mainly from non-prompt decays inside jets
- Dominant fake lepton backgrounds in DY analysis: W+Jets, QCD multijet, (γ +jets almost negligible)
- Data-driven methods needed, many different are available
- Same-sign method is the choice for our analysis, mainly due to simplicity
- Same-sign method: estimate the background in the same-sign region, reweight using the transfer ratio estimated from the sideband
- The best sideband – where one muon (electron) fails the ISO (Medium ID) cut
- OS/SS transfer ratio estimated as a function of event variables
- Closure test shows that the method is robust but should be improved in the electron channel for the 2D analysis
- Electron channel uses electron charge misidentification correction and histogram interpolation to the Z peak region to obtain a more reliable result
- The final result has errors $<50\%$ in the low mass region (where the background is the most relevant)

Backup

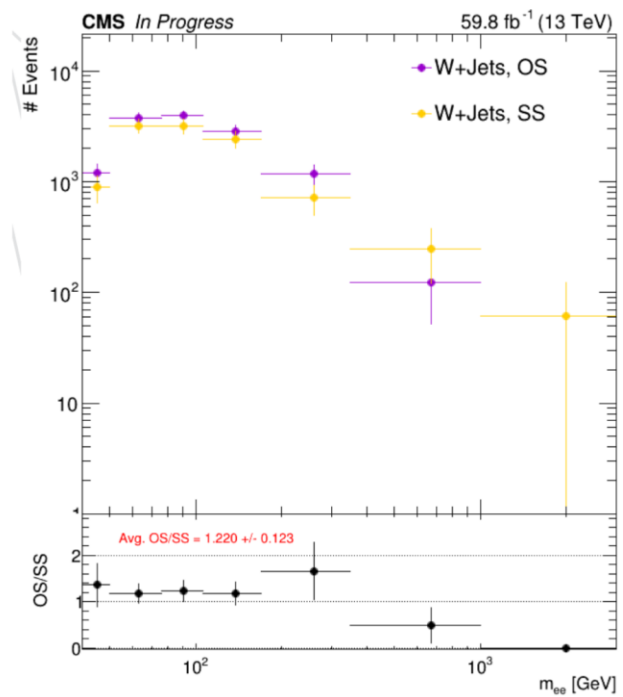
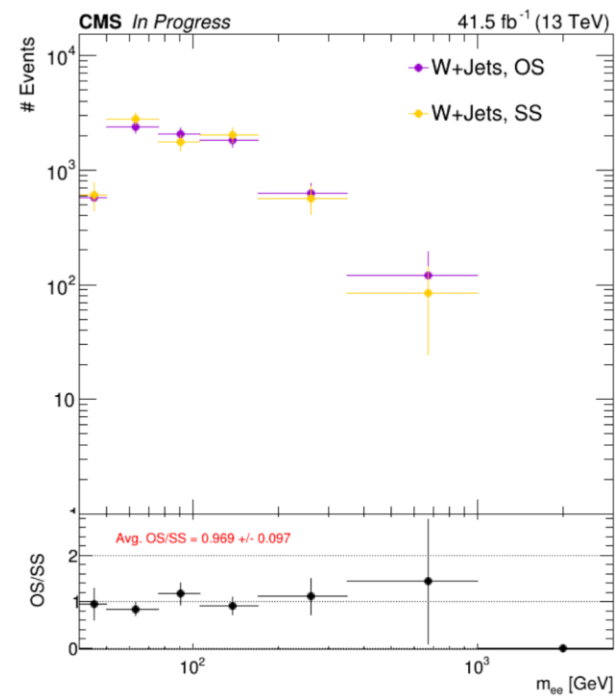
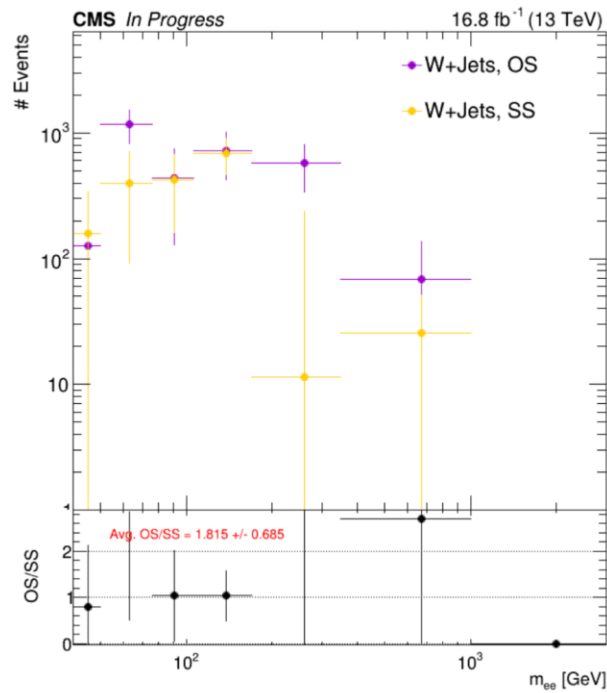
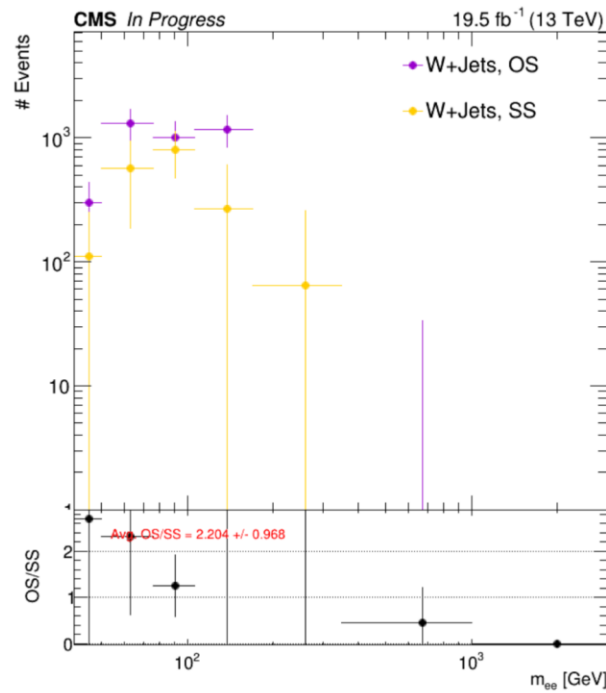
OS/SS ratio for $W+\text{Jets} \rightarrow \mu\mu$



OS/SS ratio for QCD $\rightarrow \mu\mu$



OS/SS ratio for $W+\text{Jets} \rightarrow ee$



OS/SS ratio for QCD \rightarrow ee

