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# Search for fourth generation $t'$ quarks at CMS

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**Student Seminar 10/03/2011**



# Overview and introduction

## Overview

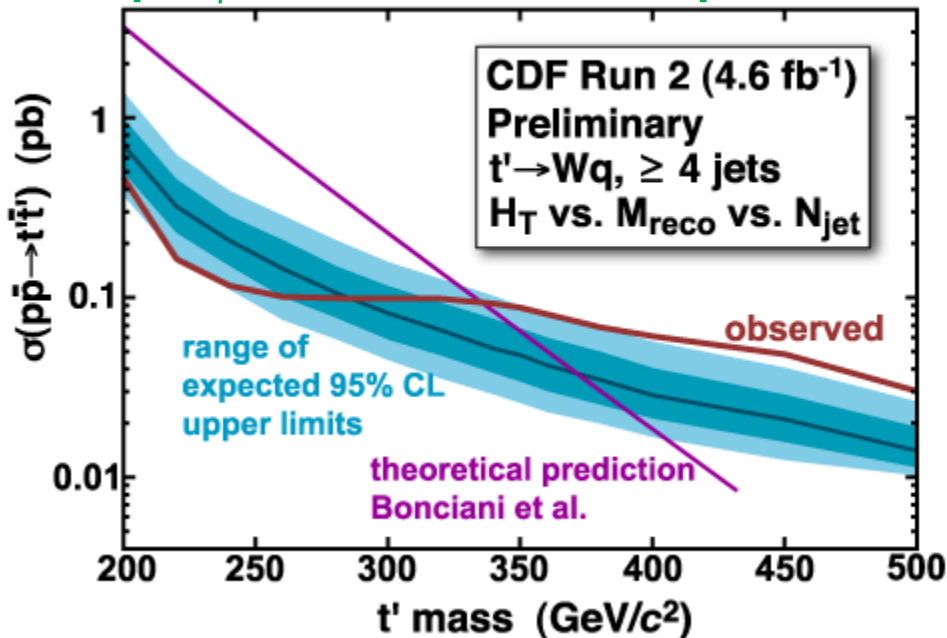
- Fourth generation
  - The LHC and the CMS detector
  - Setup of the analysis
  - The hypothesis test
  - Exclusion plots
  - Outlook and conclusions
- 
- Aim: **search for 4th generation heavy top-like quark ( $t'$ )**  
In the following analysis: assumed production in  $t'\bar{t}'$  pairs, and with decay  $t' \rightarrow Wb$
  - Application of a **hypothesis test** (using the “S2-method”, already applied previously on the 2010 CMS data as goodness-of-fit test of the Standard Model (SM))  
     SM-only  $\leftrightarrow$  SM +  $t'\bar{t}'$
  - **S2-method**: combine information of several observables of an event to look for a global deviation of data or pseudodata w.r.t. SM prediction.

# A fourth generation?

- No theoretical reasons exist that the number of fermion families should be three. Moreover:
  - Interesting interplay between 4th generation and the EW symmetry breaking
  - CP violation can be enhanced to explain the baryon asymmetry in the universe
  - A fourth family is **not** excluded experimentally

[see e.g. “*Four Statements about the Fourth Generation*”, arXiv:0904.4698v2 (2009)]

[CDF public conference note 10110]



- Searches at the **Tevatron** result in 95% C.L. upper limits on  $t'$  and  $b'$  masses:

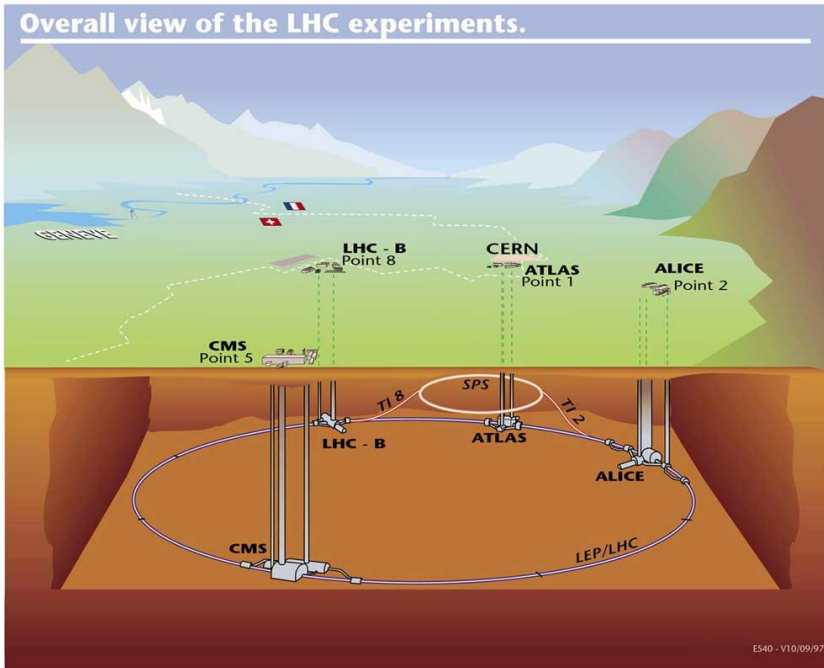
$$m(t') = 335 \text{ GeV}/c^2$$

$$m(b') = 338 \text{ GeV}/c^2$$

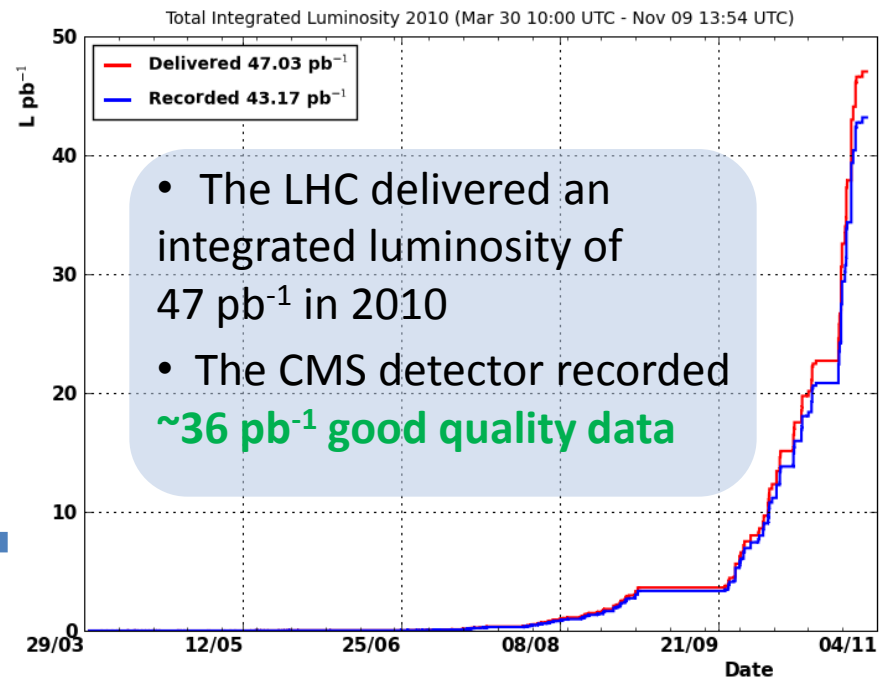
- upper limit from **CMS** for  $b'$  quark:  $m(b') = 361 \text{ GeV}/c^2$ , no limit for  $t'$  yet  
[\[arXiv:1102.4746v1\]](https://arxiv.org/abs/1102.4746v1)

# The Large Hadron Collider

- The **Large Hadron Collider** at CERN accelerates and collides protons at  $\sqrt{s} = 7$  TeV



- Physics motivation: search for Higgs boson, and physics beyond the SM (supersymmetry, new particles,...)

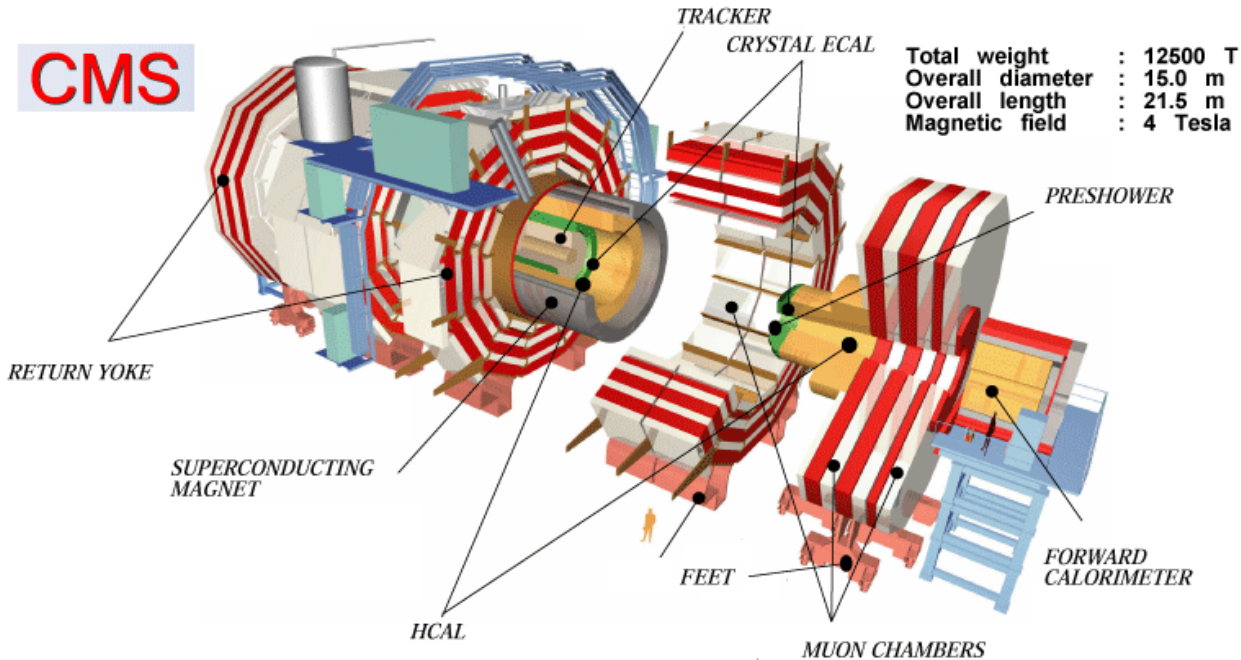


- The LHC delivered an integrated luminosity of 47 pb<sup>-1</sup> in 2010
- The CMS detector recorded **~36 pb<sup>-1</sup> good quality data**

Prospects for 2011: several fb<sup>-1</sup> of data at 7 TeV!

# The Compact Muon Solenoid

- CMS: general-purpose detector, to study any physics that comes up at TeV scale.



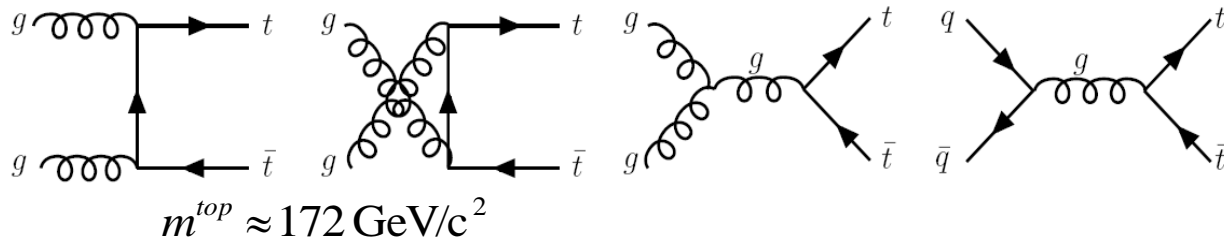
- Trigger and data acquisition systems needed to cope with large data flow

- pseudorapidity

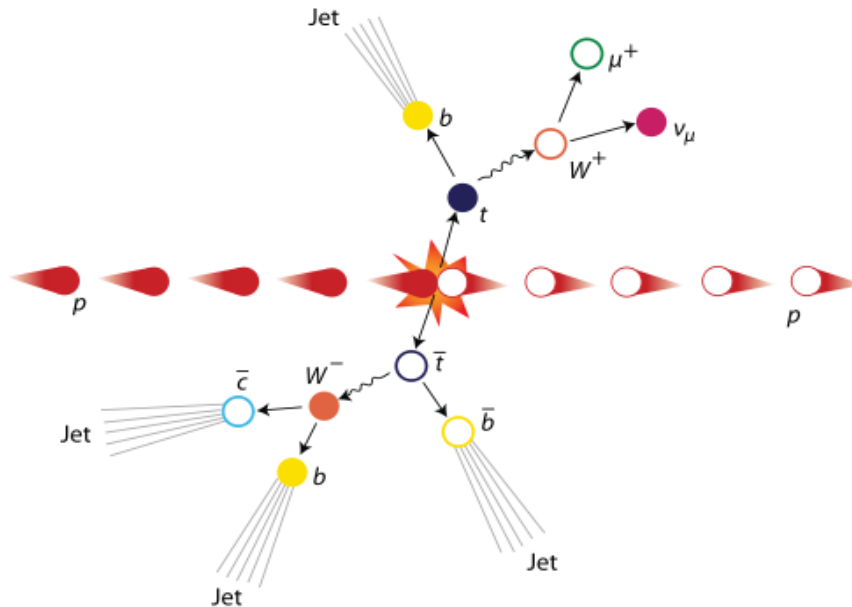
$$\eta = -\ln\left(\tan\frac{\theta}{2}\right)$$

- Consists of **subdetectors**, build around a large 3.8 T superconducting magnet:
  - **Silicon tracker**: records hits for later use in particle track reconstruction
  - **Electromagnetic calorimeter (ECAL)**: direction and energy measurement of photons and electrons
  - **Hadronic calorimeter (HCAL)**: measures energy of hadrons
  - **Muon System**: dedicated to muon detection

# Top quark topologies



- Top quark pair ( $t\bar{t}$ ) **production** at LHC
- $\sigma_{NLO}(7 \text{ TeV}) \approx 157 \text{ pb}$



- top **decays** almost exclusively to  $W$  boson and  $b$  quark, and  $W$  decays hadronically ( $W \rightarrow q\bar{q}$ ) or leptonically ( $W \rightarrow \ell \bar{\nu}_\ell$ )

- **Semi-muonic decay channel:**

$$t\bar{t} \rightarrow bW\bar{b}W \rightarrow bq\bar{q}\bar{b}\mu\nu_\mu$$

- **4th generation quarks  $t'$**

- when pair produced, and **assuming**  $t' \rightarrow Wb$ , the final state of these events looks similar to top quark pair events (= dominant background)

# Samples

- **SM Monte Carlo** samples: 38X Fall 10, PAT-ified in CMSSW\_3\_8\_5\_patch3:

Process	$\sigma_{\text{eff}}$ (NLO) (pb)	Sample
TTJets	157.5	/TTJets_TuneD6T_7TeV-madgraph-tauola/Fall10-START38_V12-v2/AODSIM
WJets	31314	/WJetsToLNu_TuneD6T_7TeV-madgraph-tauola/Fall10-START38_V12-v1/AODSIM
ZJets	3048	/DYJetsToLL_TuneD6T_M-50_7TeV-madgraph-tauola/Fall10-START38_V12-v2/AODSIM
Single top (t)	20.93	/TToBLNu_TuneZ2_t-channel_7TeV-madgraph/Fall10-START38_V12-v2/AODSIM
Single top (tW)	10.6	/TToBLNu_TuneZ2_tW-channel_7TeV-madgraph/Fall10-START38_V12-v2/AODSIM
QCD (mu+jets)	84679.3 (LO)	/QCD_Pt-20_MuEnrichedPt-15_TuneZ2_7TeV-pythia6/Fall10-START38_V12-v1/AODSIM

- **Data**: Full 2010 Mu dataset:  $L_{\text{int}} = 36.1 \text{ pb}^{-1}$ 
  - Nov4 ReReco of Run2010A and Run2010B, PAT-ified in CMSSW\_3\_8\_6\_patch1
- **t-prime MC** samples (t' pair): 36X user samples PAT-ified in CMSSW\_3\_8\_6\_patch1 :

t' pair production	$\sigma$ (NLO) (pb)	Sample
$m_{t'} = 300 \text{ GeV}$	7.3	/tptpjj_m300_7tev_madgraphlhe_iv_fnal/vorobiev-tptpjj_m300_7tev_RECO
$m_{t'} = 350 \text{ GeV}$	2.9	/tptpjj_m350_7tev_madgraphlhe_iv/vorobiev-tptpjj_m350_7tev_RECO
$m_{t'} = 400 \text{ GeV}$	1.3	/tptpjj_m400_7tev_madgraphlhe_igor/vorobiev-tptpjj_m400_7tev_RECO
$m_{t'} = 450 \text{ GeV}$	0.6	/tptpjj_m450_7tev_madgraphlhe_igor/vorobiev-tptpjj_m450_7tev_RECO

[NLO cross sections at 7 TeV: Berger and Cao, arXiv:0909.3555v2]

- **Corrections and scale factors** applied to the MC to account for data-MC differences
  - Jet Energy Resolution
  - Leptonic branching ratio correction
  - Trigger- and lepton efficiencies

Data-driven factors to scale the SM Monte Carlo (to be multiplied with cross section):

- $\beta_{t\bar{t}} = 1.06$ ,  $\beta_{W\text{Jets}} = 1.34$ ,  $\beta_{Z\text{Jets}} = 1.32$ ,  $\beta_{\text{single top}} = 1.0$ ,  $\beta_{\text{QCD}} = 2.09$

## Analysis selection:

- Reference selection **SeIV4** of the CMS Top Lepton+Jets group (in sync)  
“exactly 1 isolated muon with  $p_T > 20$  GeV/c, and at least 4 jets with  $p_T > 30$  GeV/c”
- In following: Particle Flow jets (MC: L2L3 corrections START38\_V14, data: L2L3 and residual corrections GR\_R\_38X\_V15)


TopLeptonPlusJets group twiki (mu):

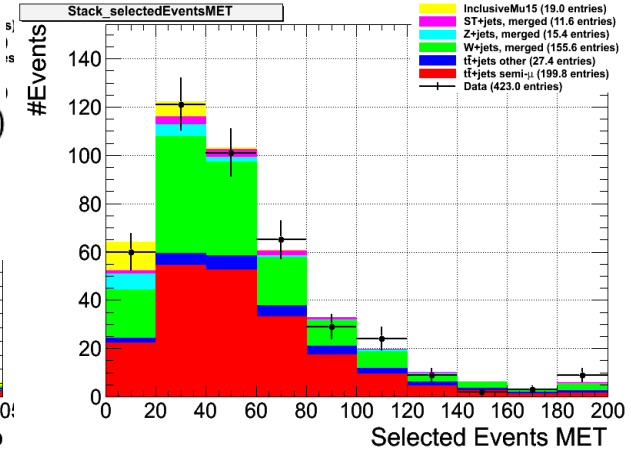
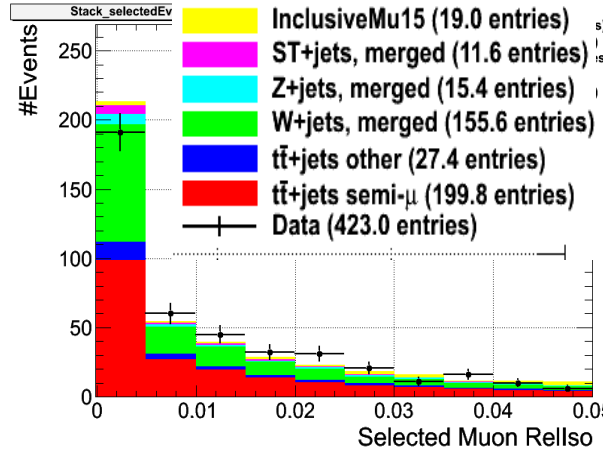
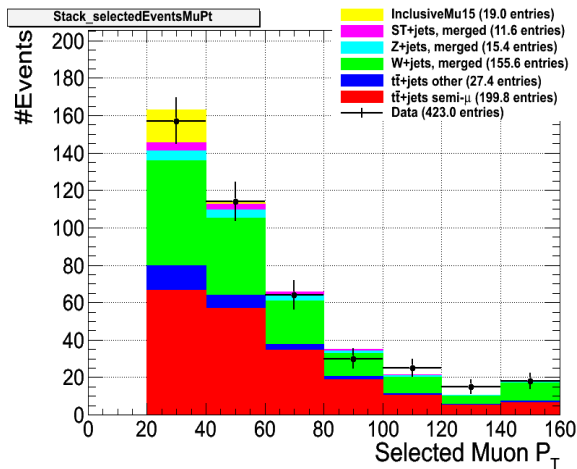
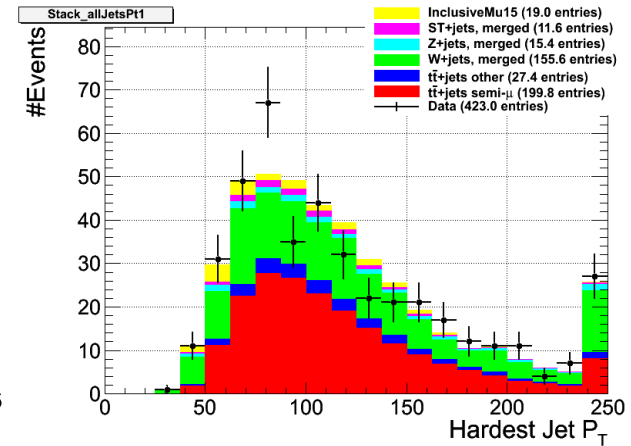
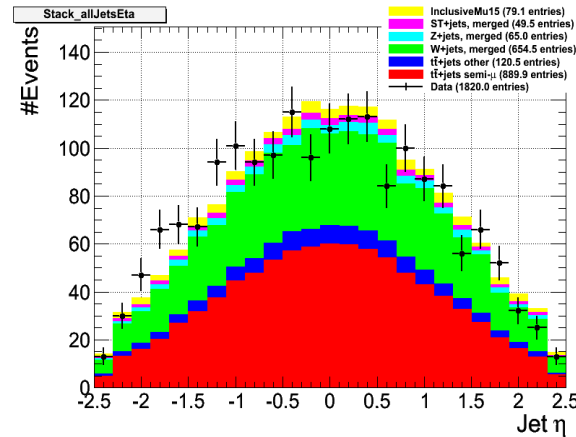
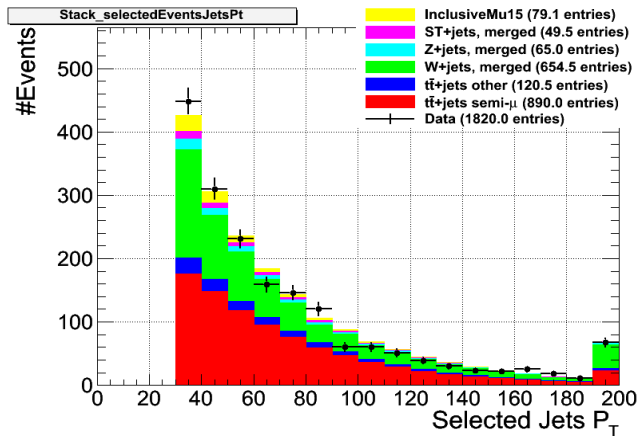
[https://twiki.cern.ch/twiki/bin/view/CMS/TopLeptonPlusJetsRefSel\\_mu](https://twiki.cern.ch/twiki/bin/view/CMS/TopLeptonPlusJetsRefSel_mu)

- The  $t'$  pair selection efficiencies of the used samples are 8 to 9% (for  $t\bar{t}$  this is  $\sim 4\%$ )



<i>selection</i>	TTJets semi- $\mu$	TTJets other	WJets	ZJets	Single top	QCD	Sum SM MC	Data
<b>RefSelV4</b>	199.8	27.4	155.6	15.4	11.6	19.0	<b>428.8</b>	<b>423</b>

• Some data-MC comparisons of variables after event selection:  **agreement**

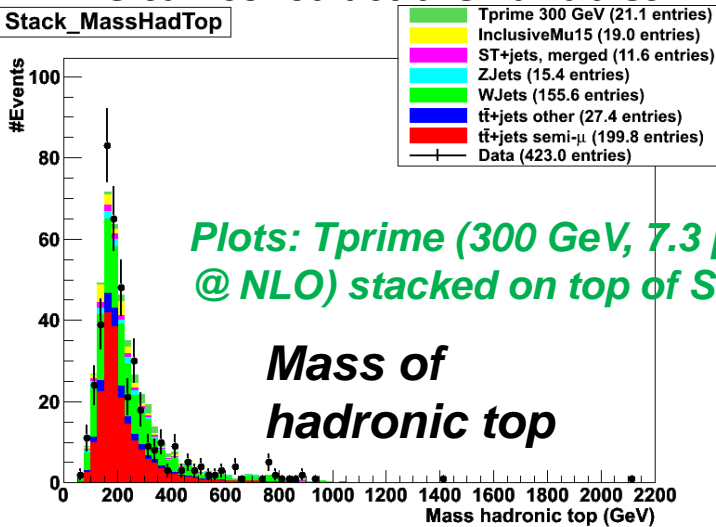




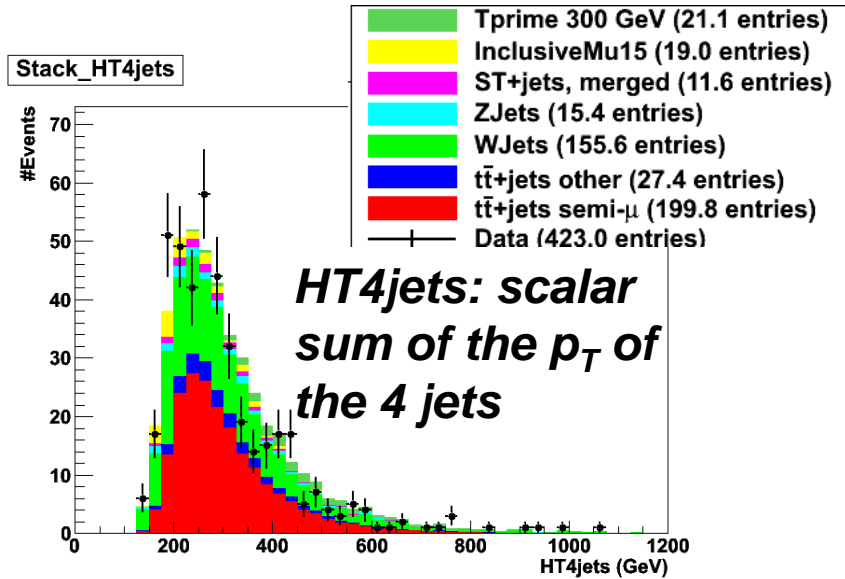
# Kinematic variables

- We can construct the variables:

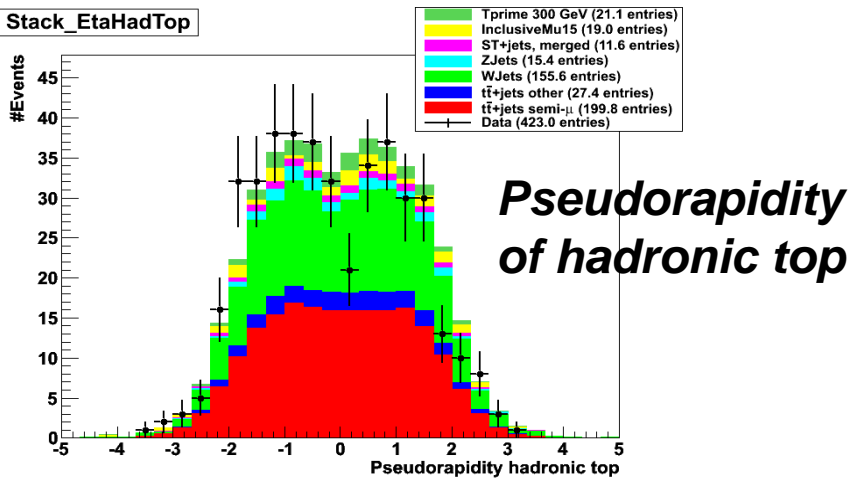
Stack\_MassHadTop



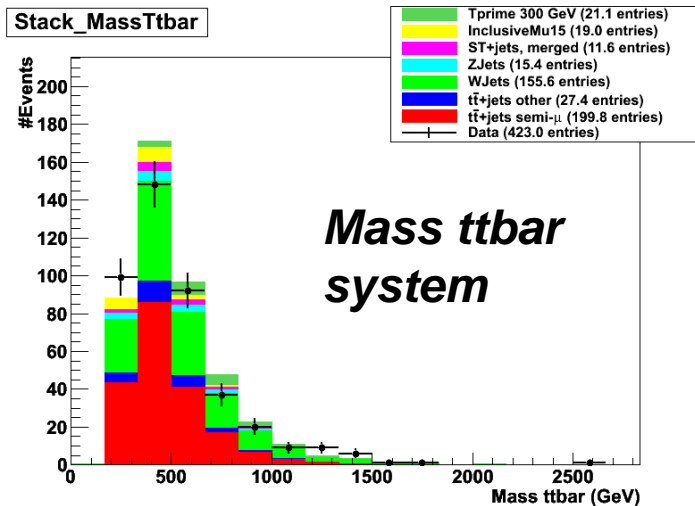
Stack\_HT4jets



Stack\_EtaHadTop



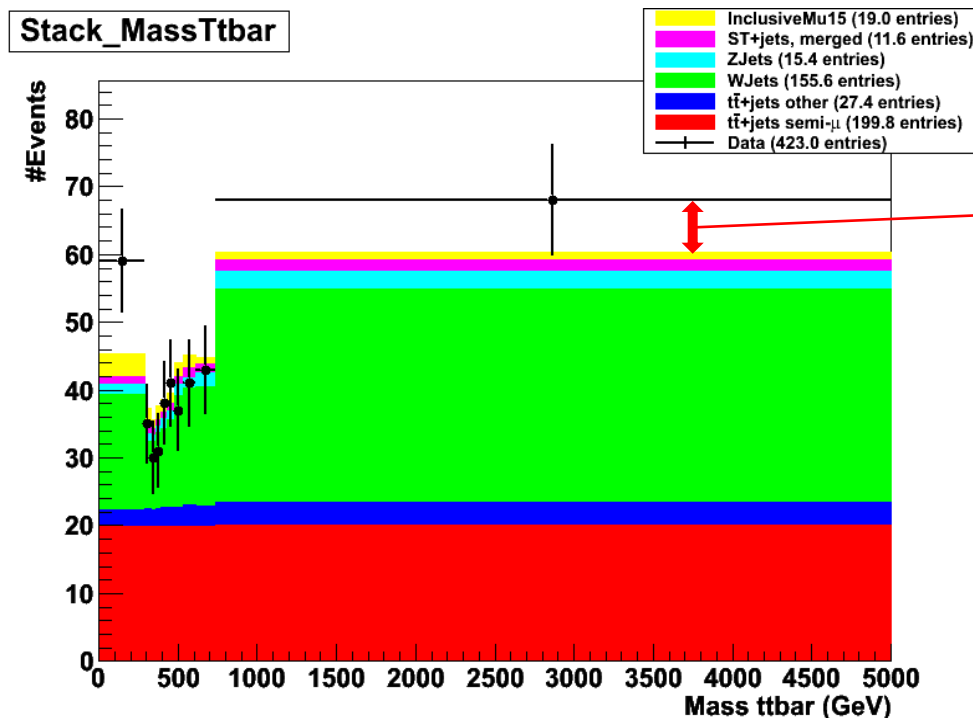
Stack\_MassTtbar



- Binning in following analysis: uniform TTJets semi-mu MC distribution after event selection, 10 bins (we only want enough events per bin). Plots in back-up.

# The statistical procedure (1)

- Consider  $N$  **kinematic variables**, each variable  $k$  has corresponding 1-dimensional binned distributions
  - of **data** or **pseudodata** (bin contents  $d_j$ , statistical variance  $Var[d]_j$ )
  - of **SM prediction** (bin contents  $y_j$ , variance  $Var[y]_j$ )



- For each bin  $j$  and observable  $k$ : a “**squared bin significance**” is computed

$$S_{k,j}^2 = \frac{(d_{k,j} - y_{k,j})^2}{Var[d]_{k,j} + Var[y]_{k,j}}$$

“Measure for deviation between data and prediction in the bin”

- Systematics can be included in squared bin significance definition:

$$Var[y] = Var[y]_{stat} + Var[y]_{syst}$$

- or can be treated via nuisance parameters during pseudoexperiments

# The statistical procedure (2)

- A certain event has variable ( $k = \{1, \dots, 4\}$ ) values in particular bins ( $j = \{1, \dots, 8\}$ ). Combined (w.r.t. observables) **S-weight** of an event  $i$ :

$$S_i = \sum_{k=1}^N s_{k,j_k}^2$$



1 value for each event. In the presence of New Physics, events with a high S-weight are 'New Physics-like'.

- Take  $x\%$  of the events to obtain 'highest S-weight subsample'. Combine the S-weights:

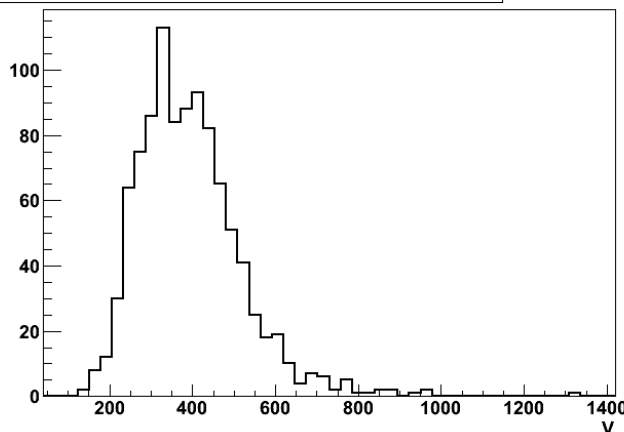
$$V = \sum_{x\% \text{ highest}} S_i$$



1 value for whole dataset;  $V$  will be the **test statistic** in the hypothesis test / goodness-of-fit.  $V$ -distributions can be made via **pseudoexperiments** with resampling techniques.

## Example V distribution

Standard Model V distribution (1000 pseudos,  $x = 0.1$ )



pick random events from MC samples (number of events equals random value from Poisson distribution with mean  $n = L_{\text{int}} \sigma$ )

- Note:* choosing a **small  $x$**  means you look at the events with the largest deviation w.r.t. the prediction

# Hypothesis test (1)

- Application on the 4 chosen kinematic variables: obtain two V-distributions

➤ 1000 SM pseudoexperiments

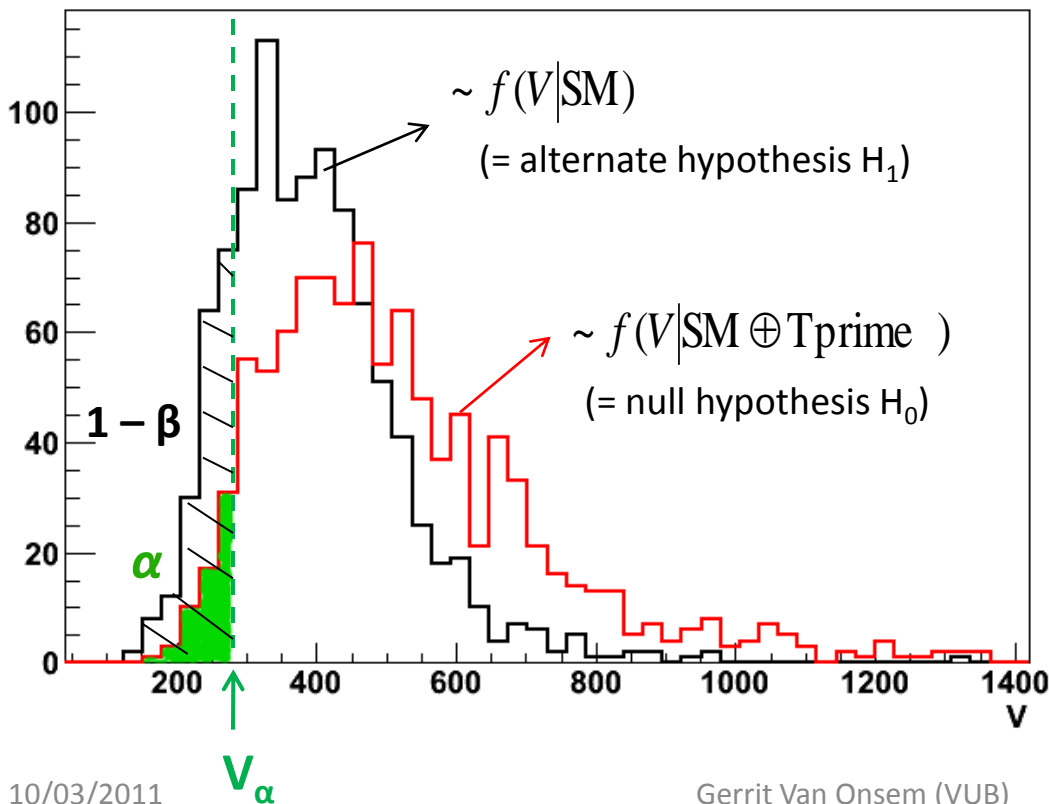
➤ 1000 SM+Tprime pseudoexperiments

$$\begin{aligned} & \xrightarrow{\text{normalized to 1}} f(V|SM) = H_1 \\ & \xrightarrow{\text{normalized to 1}} f(V|SM \oplus Tprime) = H_0 \end{aligned}$$

Choose significance level  $\alpha = 0.05$  and calculate  $V_\alpha$  according to:

$$\alpha = \int_0^{V_\alpha} f(V|SM \oplus Tprime) dV$$

**V distributions (1000 pseudos,  $x = 0.1$ )**



The **power of the test**  $1 - \beta$  is given by:

$$1 - \beta = \int_0^{V_\alpha} f(V|SM) dV$$

(Hashed region in plot)

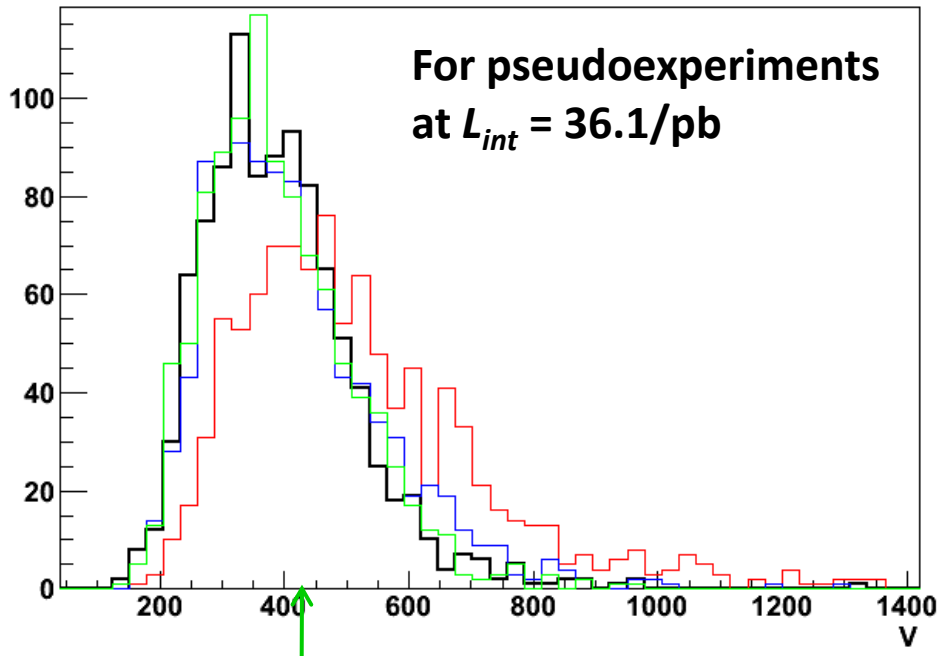
- If  $1 - \beta > 0.5$ , we can say that the null hypothesis  $H_0$  can be rejected on average at 95% C.L.

# Hypothesis test (2)

- Consider different null hypotheses  $H_0$  corresponding to different  $t'$  masses:
  - $m_{t'} = 300$  GeV
  - $m_{t'} = 350$  GeV
  - $m_{t'} = 400$  GeV
  - $m_{t'} = 450$  GeV (not plotted)

Always same alternate hypothesis  
 $H_1 = \text{SM-only}$  (black in plot)

V distributions (1000 pseudos,  $x = 0.1$ )



$V_{\text{data}} = 435$  (V value of data can be calculated as well)

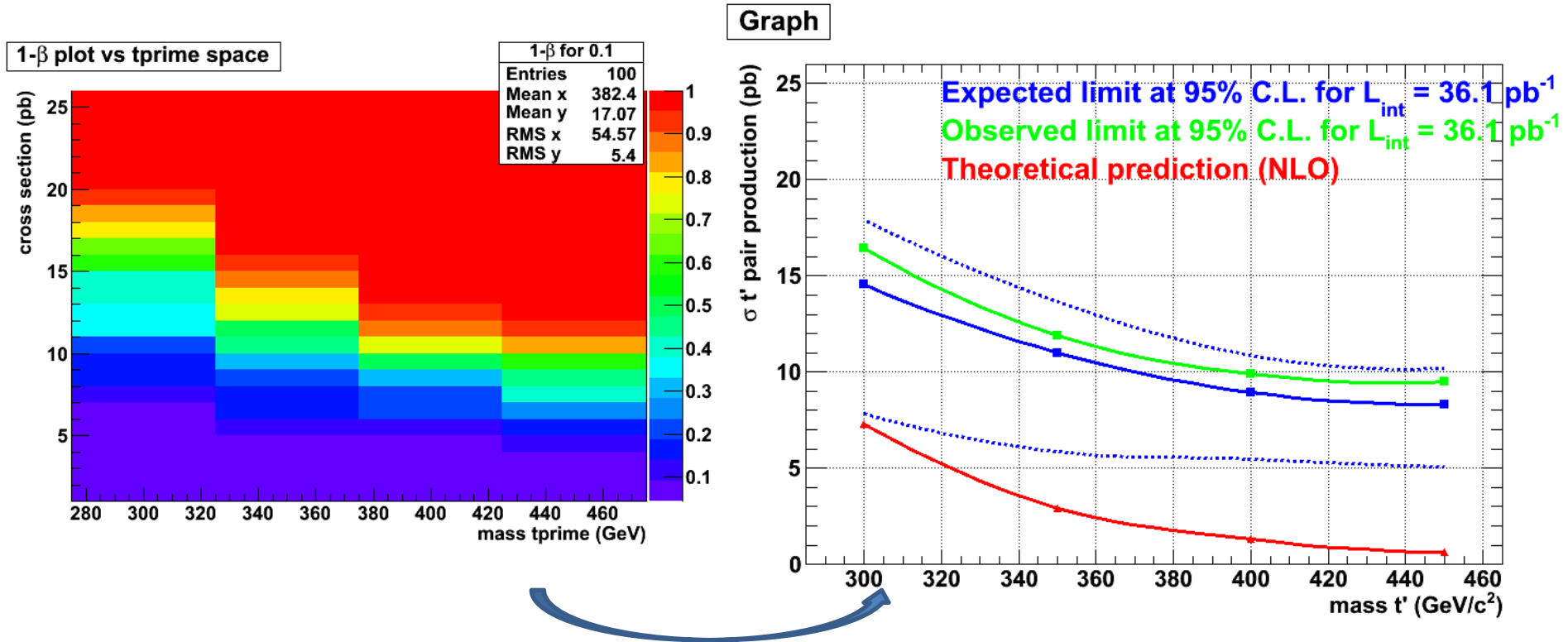
The power of the test  $1 - \beta$  is  $< 0.5$   
in all these cases

➔ **The expectation is that  
these models cannot be excluded  
at 95% C.L. with 36.1/pb**

With theoretical  $t'$  pair production  
cross sections (NLO)

# Exclusion plot for $L_{\text{int}} = 36.1/\text{pb}$

- Scan plane of production cross section ( $\sigma_{t't'\text{bar}}$ ) vs mass tprime ( $m_{t'}$ ) by performing the hypothesis test and calculating  $1 - \beta$  for 'each point' in the plane:



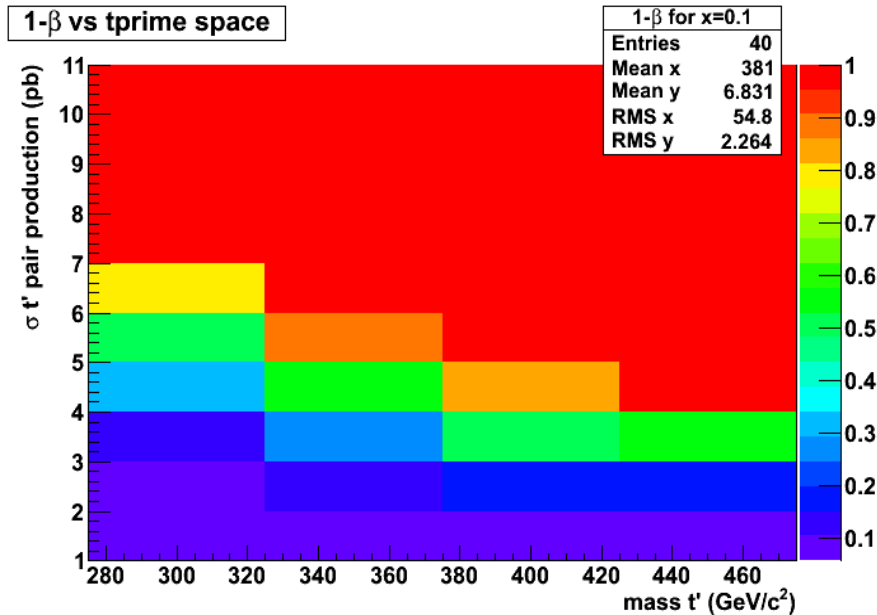
- Interpolation result in expected **exclusion band**
  - expected limit (solid line):  $1 - \beta = 0.5$
  - dashed lines:  $1 - \beta = 0.16$  and  $1 - \beta = 0.84$  (1-sigma band: in 68% of the cases a SM outcome lies in the dashed band)
- **Observed exclusion limit at 95% C.L.**

**Observed exclusion line lies above theoretical prediction: no  $t'$  masses can be excluded**

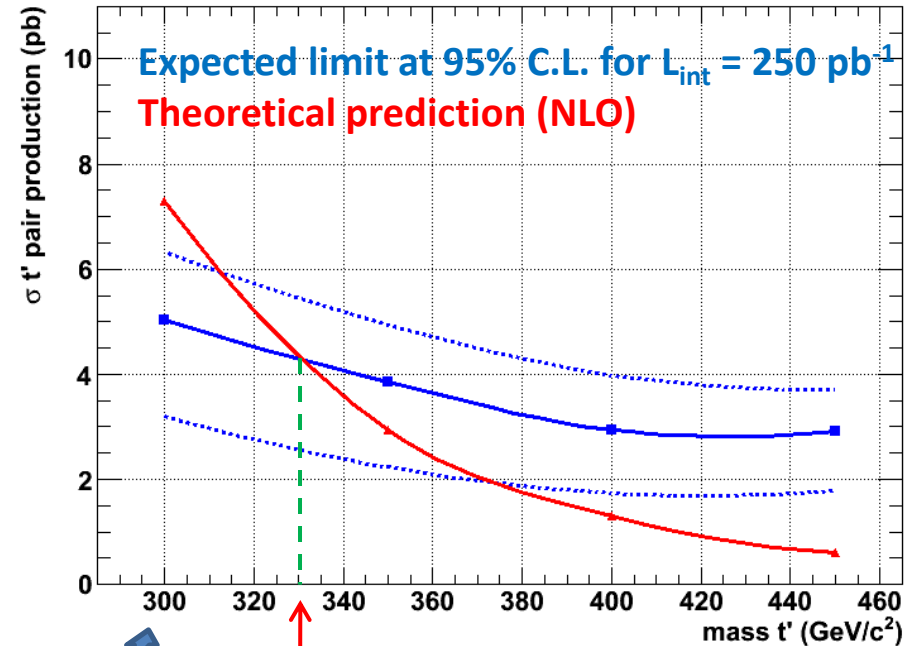


# Exclusion plot for $L_{\text{int}} = 250/\text{pb}$

- Do the same for **250/pb** (omitting QCD in pseudoexperiments, sample too small)



Graph



Interpolation

**With 250/pb: expected limit on mass  $t' = 330 \text{ GeV}/c^2$**

**Note:** only first test that tools work, to be optimized later!

# Outlook and conclusions

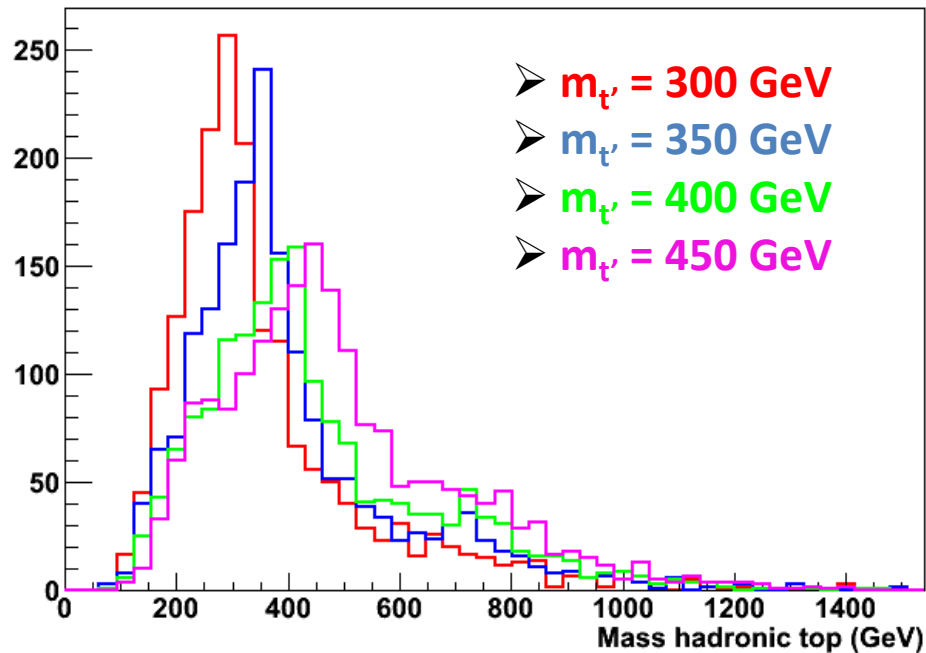
- A **new hypothesis test**, using kinematic variables in  $t\bar{t}$  topologies, is applied to distinguish the Standard Model with  $t'$  models, to explore the possibilities of the method.
  - **Note:** other ( $t'$ -)models can be used in the procedure as well
- An exclusion plot is obtained for 36.1/pb, and applied to the 2010 data at 7 TeV, and for 250/pb. **The results shown are a first demonstration: now the method can fine-tuned.**
  - **Method can be optimized:**
    - extra cuts (e.g. harder cut on jet  $p_T$ )
    - b-tagging (e.g. Wjets background can be reduced a lot)
    - the effect of alternative or more variables can be explored (e.g.  $\eta(\text{hadtop})$  not very sensitive)
    - taking pile-up into account
  - **Systematical uncertainties** will be included (MC cross sections, Jet Energy Scale, ...)

# Back-up

# Mass of hadronic top

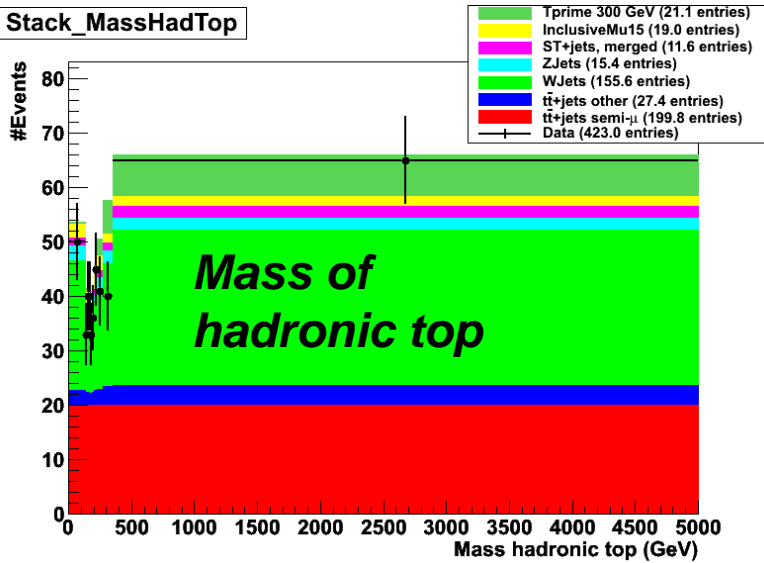
- Mass of the hadronic top for the different used  $t'$  samples (not normalized w.r.t. each other)

MassHadTop

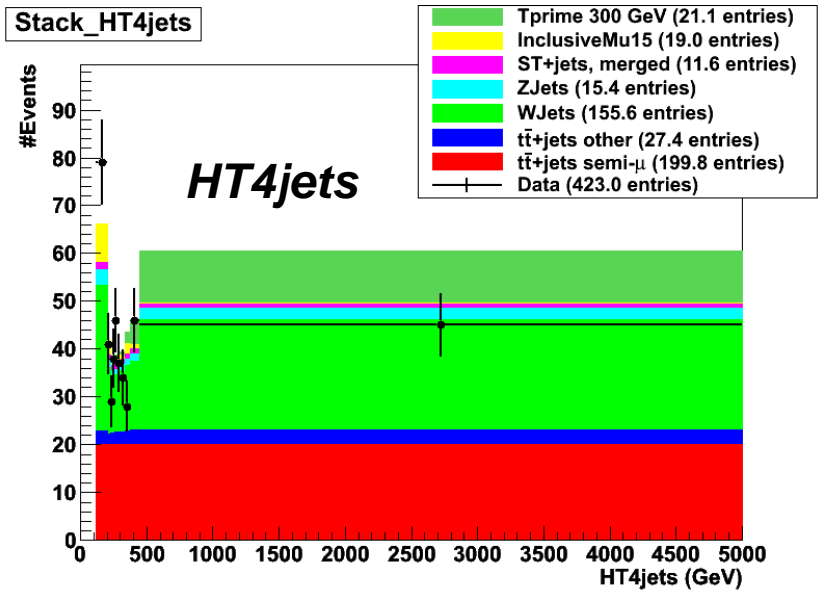




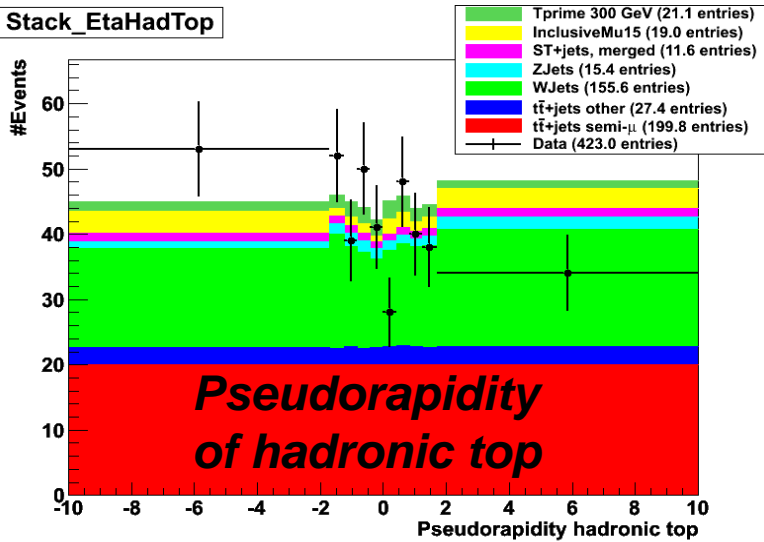
Stack\_MassHadTop



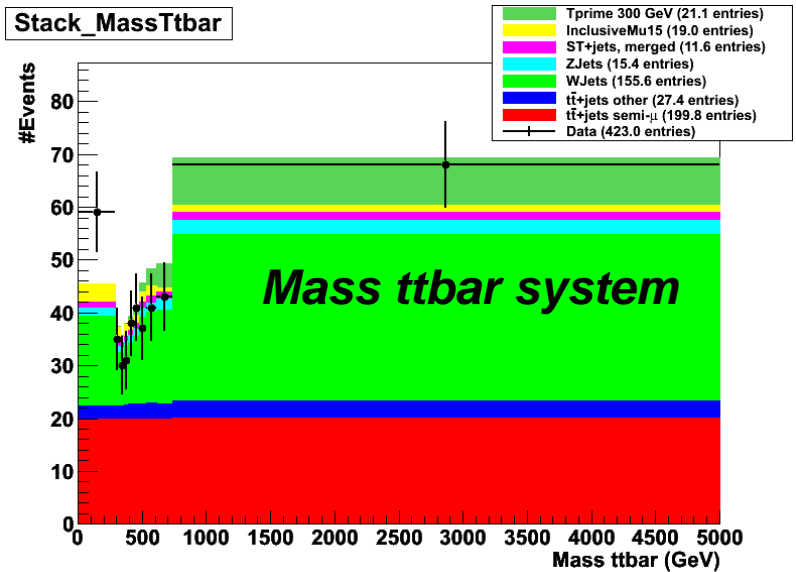
Stack\_HT4jets



Stack\_EtaHadTop



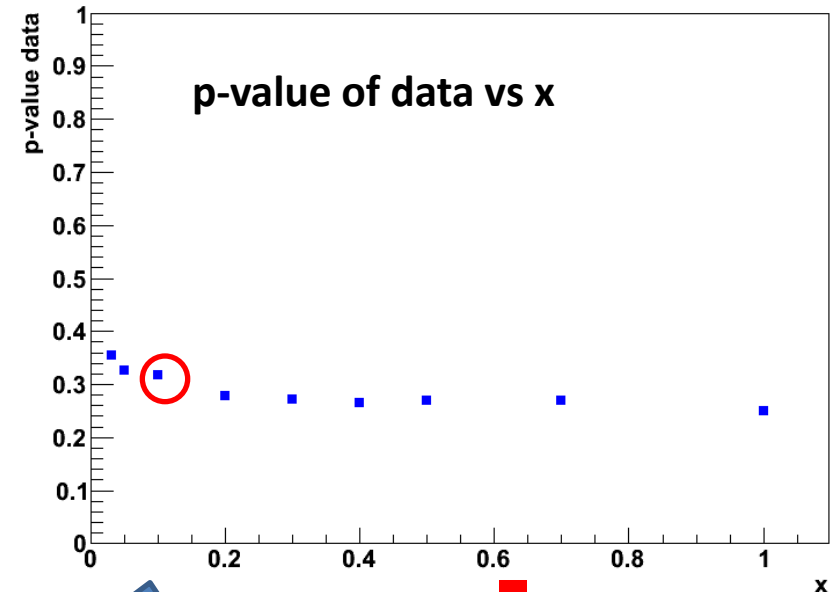
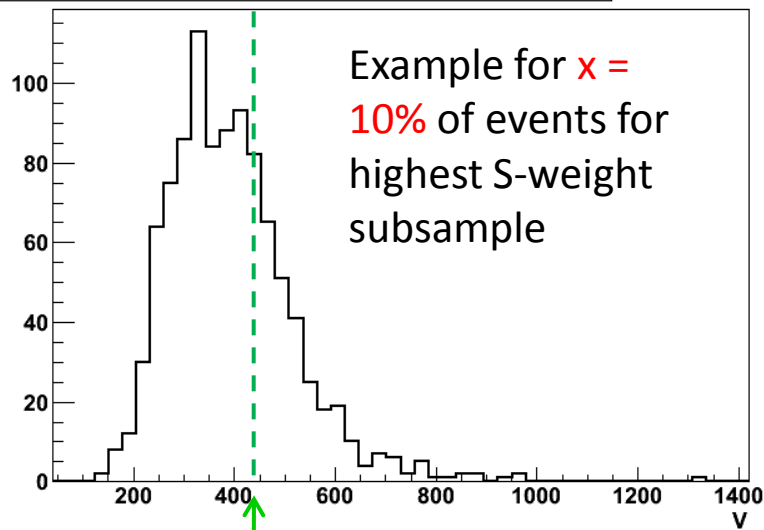
Stack\_MassTtbar



# Goodness-of-fit of Standard Model to data

- Application of S2-method as goodness-of-fit test of the Standard Model with the 2010 data, using the 4 variables used in the tprime analysis

Standard Model V distribution (1000 pseudos,  $x = 0.1$ )



$V_{data}$

$$p = \left( \int_{V_{data}}^{+\infty} f(V) dV \right) / \int_0^{+\infty} f(V) dV$$

flat behaviour,  $p \sim 0.3$   
without systematics: **data agrees reasonably with SM**

# Jet Combinations via TMVA (2)

