

# Searches for new phenomena at the LHC: backgrounds and optimization

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**Bigger picture**

**$W/Z$  + jets studies**

**SUSY with  $b$ -jets**

# Bigger picture

$W/Z$  + jets studies

SUSY with  $b$ -jets

# Large Hadron Collider



# Large Hadron Collider

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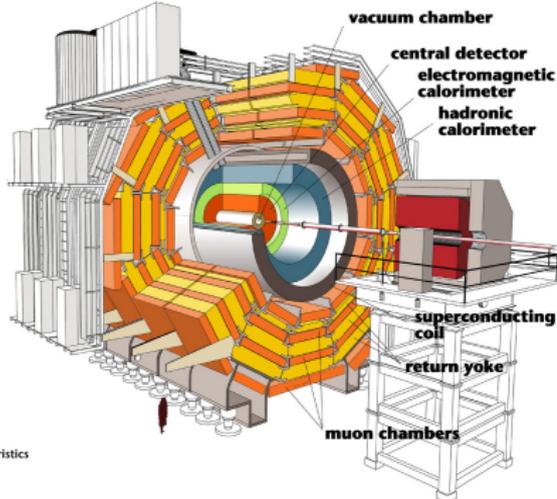
- 
- ▶ proton-proton, 4 collision points
  - ▶  $\sqrt{s} = 7$  TeV
  - ▶ general purpose detectors:  
ATLAS, CMS
  - ▶ dedicated to specific physics:  
LHCb, ALICE
  - ▶ a rich physics program:
    - Higgs
    - new phenomena:  
SUSY,  $W'$  and  $Z'$ ,  
extra dimensions, 4th generation, etc.
    - precision measurements of SM\*

\*Standard Model

# Large Hadron Collider

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## CMS



### Detector characteristics

Width: 22m  
Diameter: 15m  
Weight: 14'500t

- ▶ 2010:  $36 \text{ pb}^{-1}$  collected
- ▶ 2011:  $5.2 \text{ fb}^{-1}$  collected

similar numbers for ATLAS

E  
NCE  
CMS

ICE

# Searches for new phenomena at the LHC

2010, 2011:

Many searches, no discoveries

Desperation is not justified yet

Still much work before making the following statement

*“we can say with a high level of confidence that the LHC data does not contain hints for new phenomena”*

We are making great progress...

# Tremendous progress

## **Precision measurements of the SM**

- new constraint SM parameters
- indirect constraints on new phenomena
- improvements/better tunes of MC simulation

## **Better calculations**

- NLO is taking over

## **Improved understanding of detector**

**=> better background estimates  
for searches**

# Tremendous progress

## Precision measurements of the SM

- new constraint SM parameters
- indirect constraints on new physics
- improvements/better tunes of MC simulation

more to come:  
W/Z + jets studies

## Better calculations

- NLO is taking over

## Improved understanding of detector

=> **better background estimates  
for searches**

# Tremendous progress

## Higgs searches

### Searches for new phenomena

- exclusion regions grow

### a more general interpretation of searches

- slowly moving away from cMSSM
- use of more general models (e.g. pMSSM)
- use of simplified models

**=> better understanding of  
potential new phenomena**

# Let's put the pieces together

**Combine all available information about SM processes and new phenomena to interpret the results and design the next generation of searches\***

\*if it still doesn't work:

- wait for more data
- wait for a new machine
- try turning it off and on again

# Let's put the pieces together

Combine all available information about SM processes and new phenomena to interpret the results and design the next generation of searches\*

more to come:  
optimization of  
a SUSY search with  $b$ -jets  
(novel Bayesian technique)

- \*if it still doesn't work:
- wait for more data
  - wait for a new machine
  - try turning it off an on again

**Bigger picture**

**$W/Z$  + jets studies**

**SUSY with  $b$ -jets**

An early  $W/Z + \text{jets}$  study with CMS:

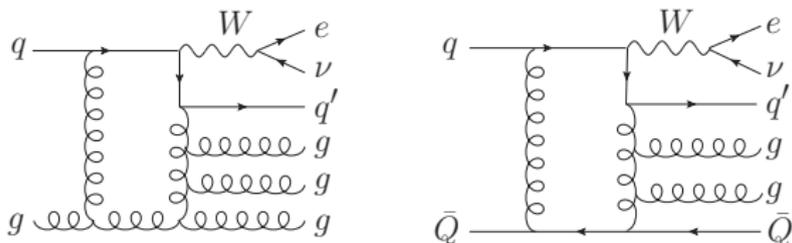
**“Jet Production Rates in Association  
with  $W$  and  $Z$  Bosons in  $pp$  Collisions  
at  $\sqrt{s} = 7 \text{ TeV}$ ”**

CMS-EWK-10-012

arXiv:1110.3226 [hep-ex]

submitted to JHEP

# Why?



- ▶ important backgrounds to many
  - Higgs searches
  - searches for new phenomena
  - top quark studies
- ▶ validation/tuning of (N)LO matrix element + parton shower MC predictions
- ▶ probes proton structure

# What?

- ▶ 2010 proton-proton data
- ▶  $36 \text{ pb}^{-1}$ ,  $\sqrt{s} = 7 \text{ TeV}$
- ▶ ratios of  $\sigma(W/Z + \geq n \text{ jets})$ ,  $n = 1, 2, 3, 4$
- ▶ corrected for detector effects
- ▶ compared to Leading Order calculations

# What?

4 Channels, 4 independent measurements:

- ▶  $W(\rightarrow e\nu)$
- ▶  $W(\rightarrow \mu\nu)$
- ▶  $Z(\rightarrow ee)$
- ▶  $Z(\rightarrow \mu\mu)$

I will present all results,

# What?

4 Channels, 4 independent measurements:

- ▶  $W(\rightarrow e\nu)$
- ▶  $W(\rightarrow \mu\nu)$
- ▶  $Z(\rightarrow ee)$
- ▶  $Z(\rightarrow \mu\mu)$

I will present all results,  
but only the analysis for  $W(\rightarrow \mu\nu)$

( Note: not all figures/tables are officially approved)

# $W/Z + \text{jets}$ studies

$W(\rightarrow \mu\nu)$  analysis

**data, reco and selection**

**signal extraction**

**unfolding**

**systematics**

**general results**

# data, reco and selection <sup>17 / 61</sup>

- **simple muon triggers**
  - $p_T > 15$  GeV

# data, reco and selection

- simple muon triggers
- event reconstruction with Particle Flow (PF)

# data, reco and selection

- **simple muon triggers**
- **event reconstruction with Particle Flow (PF)**
- **selection of  $W$  candidates**
  - leading muon
    - $p_T > 20$  GeV,  $|\eta| < 2.1$ ,
    - loose identification, isolation, vertex requirements
    - match with trigger object

# data, reco and selection

- simple muon triggers
- event reconstruction with Particle Flow (PF)
- selection of  $W$  candidates

leading muon

- $p_T > 20$  GeV,  $|\eta| < 2.1$
- loose identification, isolated
- match with trigger object

eff measured with Tag  
and Probe (TnP) in  
 $Z(\rightarrow \mu\mu)$  data vs.  
- muon  $p_T$  and  $\eta$   
- jet multiplicity

ents

# data, reco and selection

- **simple muon triggers**
- **event reconstruction with Particle Flow (PF)**
- **selection of  $W$  candidates**
- **$Z$ -veto**
  - no second muon
    - $p_T > 10$  GeV,  $|\eta| < 2.4$
    - well-reconstructed (“global muon”)
    - $60 < M_{\mu\mu} < 120$  GeV

# data, reco and selection

- simple muon triggers
- event reconstruction with Particle Flow (PF)
- selection of  $W$  candidates
- $Z$ -veto
- neutrino energy reconstruction

missing transverse energy

$$\vec{E}_T^{miss} = - \sum_{\text{all part}} \vec{p}_{T,i}$$

# data, reco and selection

- simple muon triggers
- event reconstruction with Particle Flow (PF)
- selection of  $W$  candidates
- $Z$ -veto
- neutrino energy reconstruction
- $W$  boson mass reconstruction

transverse mass

$$m_T = \sqrt{2p_T^\mu E_T^{miss} [1 - \cos(\Delta\Phi(\mu, E_T^{miss}))]}$$

$m_T > 20 \text{ GeV}$

eff measured in simulation,  
cross checked with TnP in  
 $Z(\rightarrow \mu\mu)$  data

# data, reco and selection

- simple muon triggers
- event reconstruction with Particle Flow (PF)
- selection of  $W$  candidates
- $Z$ -veto
- neutrino energy reconstruction
- $W$  boson mass reconstruction
- jet definition:
  - cluster PF-particles
  - leading muon not included
  - anti- $k_T$ ,  $R=0.5$
  - calibration (L1 FastJet, L2, L3)
  - loose identification criteria

# data, reco and selection

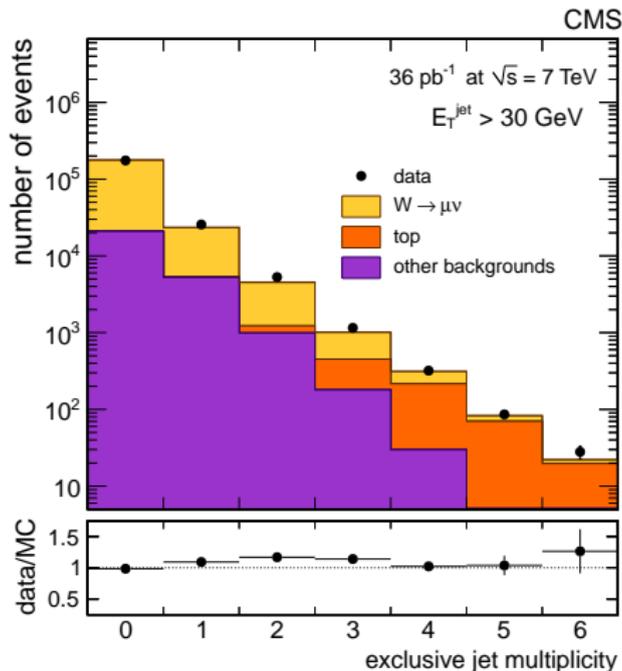
- simple muon triggers
- event reconstruction with Particle Flow (PF)
- selection of  $W$  candidates
- $Z$ -veto
- neutrino energy reconstruction
- $W$  boson mass reconstruction
- jet definition:
- $b$ -tagging
  - “Track Counting High Efficiency” algorithm
  - “Medium working point”  
(mistag eff.  $\sim 1\%$ ,  $b$ -tag eff.  $\sim 50\%$ )

# data, reco and selection

## Jet multiplicity

Steep !

A lot of top !



# $W/Z + \text{jets}$ studies

$W(\rightarrow \mu\nu)$  analysis

data, reco and selection

signal extraction

unfolding

systematics

general results

# Signal extraction

## assign data to “jet bins”

“exclusive jet bins” for 0 ... 3 jets

“inclusive jet bin” for 4 jets

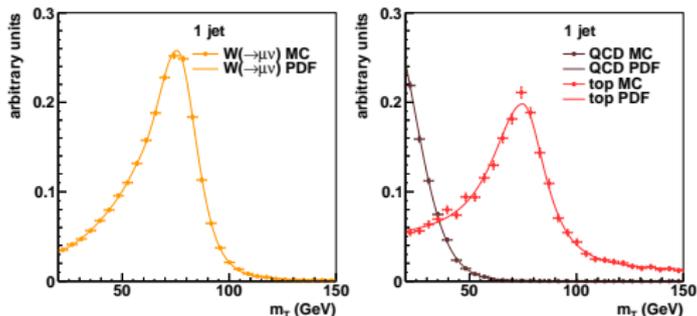
## Determine $W(\rightarrow \mu\nu)$ per jet bin with Maximum Likelihood fit

- unbinned
- functional forms
- calibrated mainly on data
- control samples to test assumptions
- weight events  $1/\epsilon$  (TnP)

# Signal extraction

$W(\rightarrow \mu\nu)$  vs. QCD:

transverse mass,  $m_T$



**Shapes for fit:**

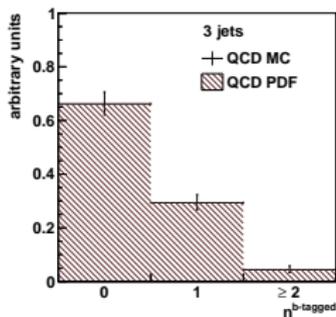
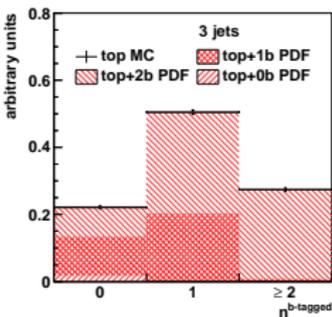
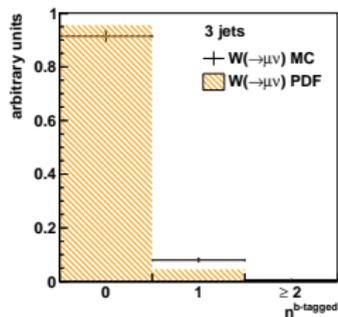
Cruiff functions

most important shape parameters floated

**Similar for other jet bins**

# Signal extraction

$W(\rightarrow \mu\nu)$  vs. top:  
 $b$ -tagged jet multiplicity

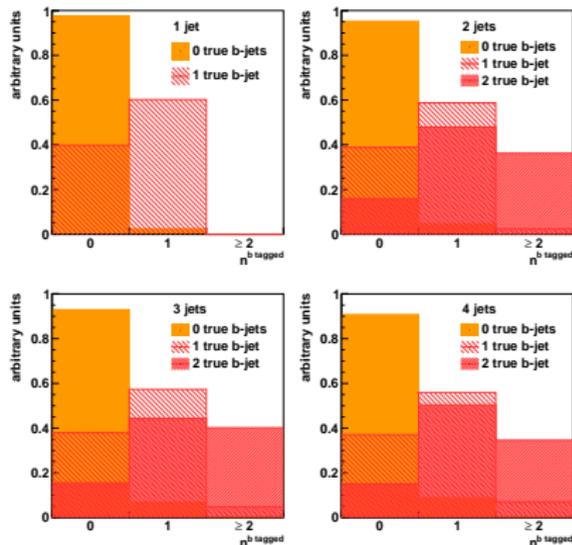


# Signal extraction

$W(\rightarrow \mu\nu)$  vs. top:

distribution of  
 $b$ -tagged jet multiplicity  
determined by

- jet multiplicity
- true  $b$ -jet multiplicity
- average mistag eff
- average  $b$ -tag eff



- **determine true  $b$ -jet multiplicity per jet bin**
- $W(\rightarrow \mu\nu) \sim 0$  true  $b$ -jets
- **top  $\sim 1,2$  true  $b$ -jets**

# Signal extraction

$W(\rightarrow \mu\nu)$  vs. top:

Calibration through:

average mistag eff

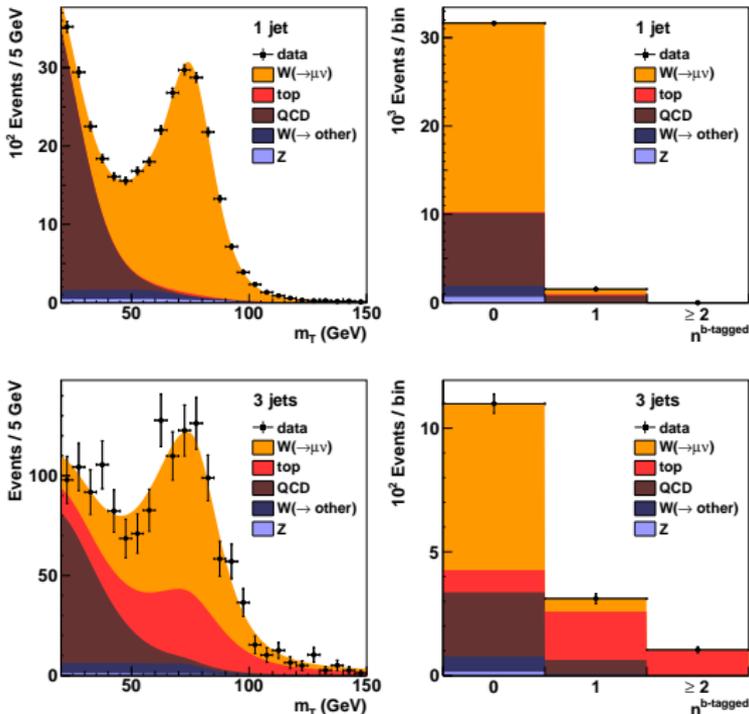
from top and  $W(\rightarrow \mu\nu)$  MC simulation,  
corrected for differences between data and MC (SF)

average  $b$ -tag eff

pure control sample of top quark pairs in data  
(double leptonic decays)

# Signal extraction

## Results



# $W/Z + \text{jets}$ studies

$W(\rightarrow \mu\nu)$  analysis

data, reco and selection

signal extraction

**unfolding**

systematics

general results

# Unfolding

Jet resolution effects

→ events migrate between jet bins

unfolding corrects for such detector effects

(SVD unfolding algorithm)

# $W/Z + \text{jets}$ studies

$W(\rightarrow \mu\nu)$  analysis

data, reco and selection

signal extraction

unfolding

**systematics**

general results

# Systematics

## Jet counting

- jet energy calibration (L2, L3)
- pile-up subtraction

## Unfolding

- algorithm
- MC simulation for unfolding matrix
- jet resolution

## signal extraction

- b-tag and mistag eff
- $m_T$  shape parameters
- selection efficiency

# Systematics

## Jet counting

- jet energy calibration (L2,
- pile-up subtraction

effects studied  
in MC

## Unfolding

- algorithm
- MC simulation for unfolding matrix
- jet resolution

repetition of  
measurement

## signal extraction

- b-tag and mistag eff
- $m_T$  shape parameters
- selection efficiency

toy MC

# $W/Z + \text{jets}$ studies

$W(\rightarrow \mu\nu)$  analysis

data, reco and selection

signal extraction

unfolding

systematics

**general results**

Results quoted on “particle level”  
(= corrected for detector effects)

Signal extraction and unfolding

→  $\sigma(V + n \text{ jets})$

→  $\sigma(V + \geq n \text{ jets})$

→ ratios, the final results

Compared against two sets of predictions:

## PYTHIA

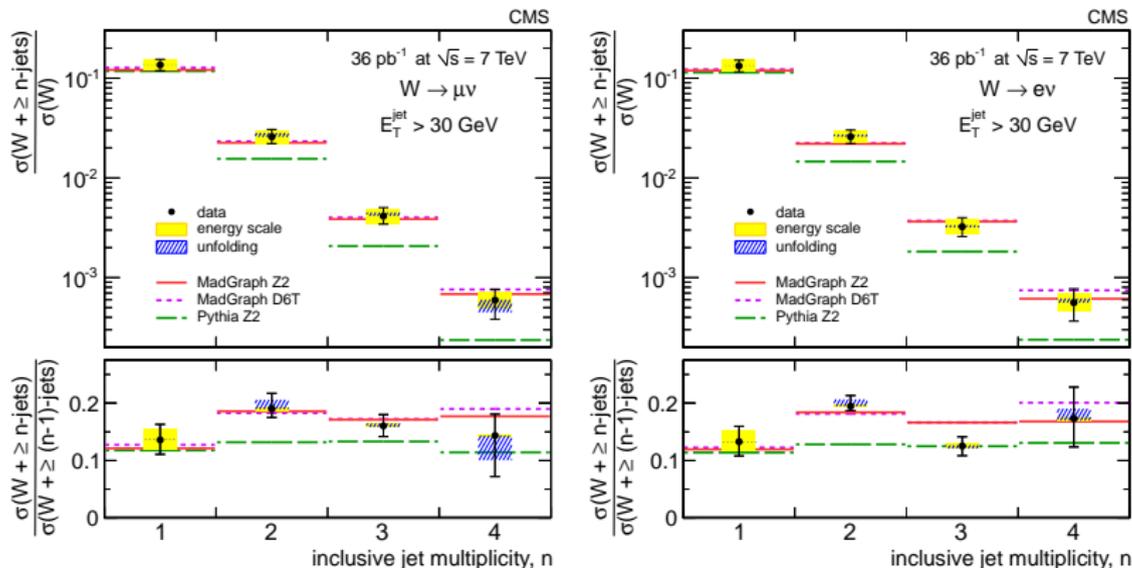
- exact leading order for  $W + 0,1$  hard parton
- more hard partons described approximately with parton shower

## MADGRAPH + PYTHIA

- exact leading order for  $W + 0, \dots, 5$  hard partons
- matched to parton shower with MLM

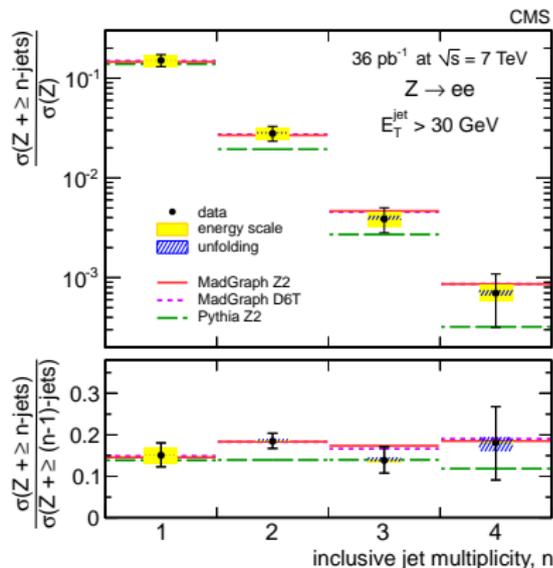
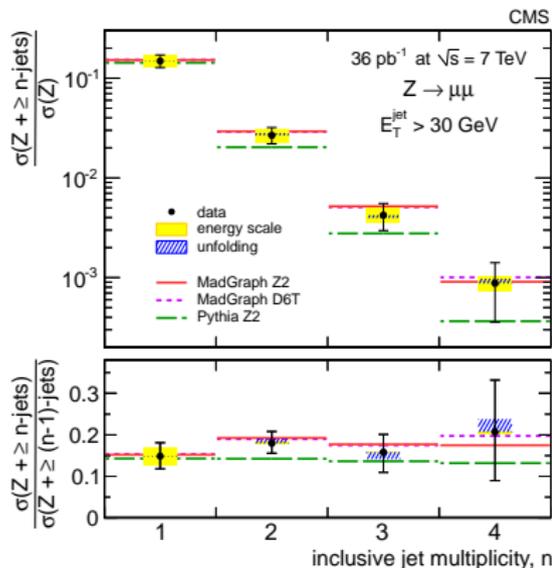
includes parton shower, hadronization, Underlying Event (UE) (D6T, Z2)

“single ratios”  $W(\rightarrow \mu\nu)$  and  $W(\rightarrow e\nu)$



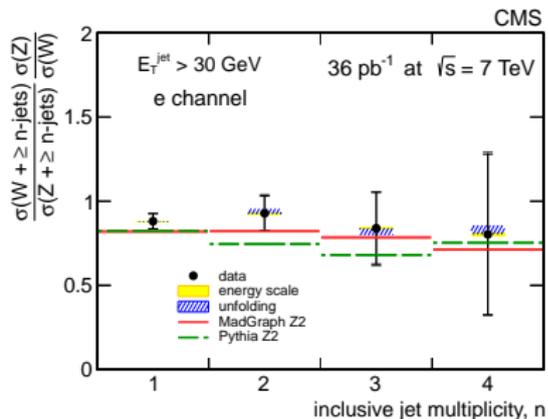
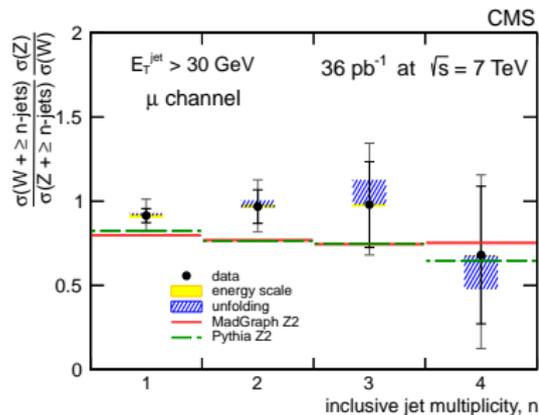
MADGRAPH + PYTHIA performs very well  
 no sensitivity to UE tunes (Z2 and D6T)

“single ratios”  $Z(\rightarrow \mu\mu)$  and  $Z(\rightarrow ee)$



MADGRAPH + PYTHIA performs very well  
 no sensitivity to UE tunes (Z2 and D6T)

## “double ratios”



## $W$ Charge asymmetry

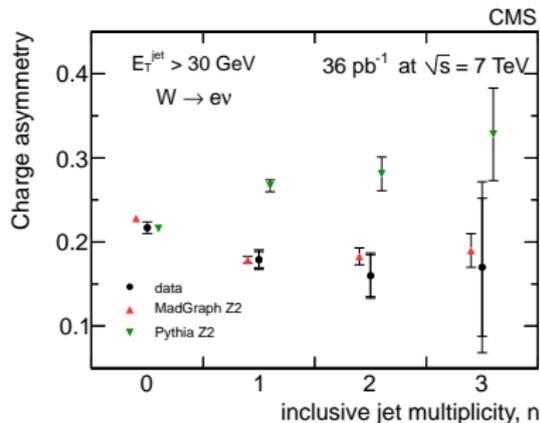
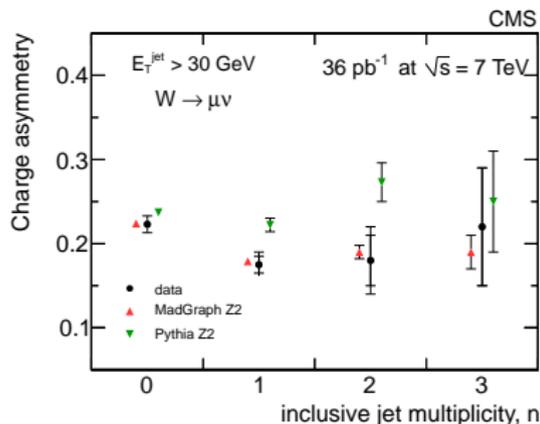
$$A_{W}^n = \frac{\sigma(W^+) - \sigma(W^-)}{\sigma(W^+) + \sigma(W^-)}$$

alternative signal extraction:

bins of

- jet multiplicity
- $W$  charge

excellent performance of  
MADGRAPH + PYTHIA



## Berends-Giele scaling

Describe cross sections as function of  $n$  jets:

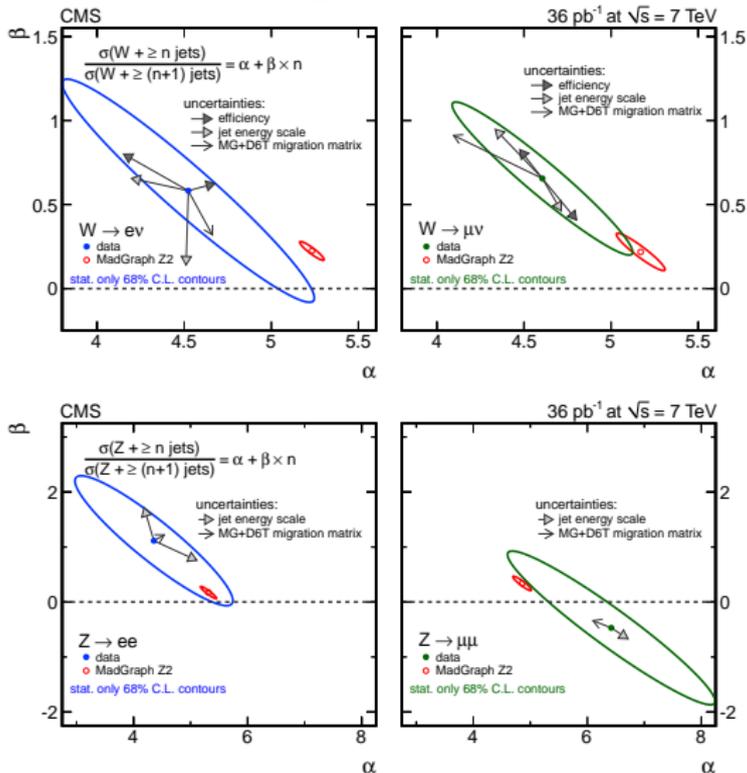
$$\alpha + \beta n = \frac{\sigma(V+ \geq n \text{ jets})}{\sigma(V+ \geq (n+1) \text{ jets})}, \quad n = 1, 2, 3, 4$$

Berends Giele hypothesis:  $\beta = 0$

Measure  $\alpha$  and  $\beta$  with all-in-one fit:

- all jet bins simultaneously
- eff correction (weighted events)
- unfolding (smearing matrix)
- impose relation above

## Berends-Giele scaling



**Bigger picture**

**$W/Z$  + jets studies**

**SUSY with  $b$ -jets**

# SUSY with $b$ -jets

about searches

optimization of searches

SUSY with  $b$ -jets

outlook

# Inclusive searches

## What?

searching for the unknown

## How?

search for an excess of events w.r.t. SM predictions in one or more pre-defined “Search Regions”

## Challenges?

reasonable definition of the Search Region(s)  
SM background predictions

# Search region examples<sup>42 / 61</sup>

## Case 1:

- Search for a specific model
  - single search region
- 
- ▶ 1 search is the best choice,
  - ▶ defining search region is “easy”,  
e.g. maximize  $\frac{S}{\sqrt{S+B}}$

# Search region examples<sup>43 / 61</sup>

## Case 2:

- Search for a model with
  - ~100 free parameters (e.g. MSSM)
  - single search region
- ▶ for different points of parameter space, different optimal search regions
- ▶ what is an overall reasonable search region?
- ▶ probably better to have more than 1 search region

# Search region examples<sup>44 / 61</sup>

## Case 3:

- Search for a model with  
~100 free parameters (e.g. MSSM)
- multiple search regions

- ▶ same problems as in **Case 2**
- ▶ and, more regions =
  - more excess from signal
  - look-elsewhere-effect
  - problematic bkg predictions

# Search region examples<sup>45 / 61</sup>

**new physics models  
with multiple free parameters**

×

**complex final states  
(many discriminating variables)**

⇒

**intuition falls short**

# SUSY with $b$ -jets

about searches

optimization of searches

SUSY with  $b$ -jets

outlook

# optimization of searches <sup>47 / 61</sup>

## **A novel Bayesian approach**

Under the hypothesis of a new physics model with free parameters, maximize the expected evidence, taking into account all available prior information

Documented in CMS-AN-2011-351

Many updates being documented

# optimization of searches

$e$  = evidence

$H_{NP}$  = new physics hypothesis

$\theta$  = parameters of  $H_{NP}$

$$\begin{aligned} E(e|H_{NP}) &= \int eP(e|H_{NP})de \\ &= \int \int eP(e|\theta, H_{NP})\pi(\theta)d\theta de \\ &\approx \sum_i \int eP(e|\theta_i, H_{NP})\pi(\theta_i)de \end{aligned}$$

# optimization of searches <sup>49 / 61</sup>

$$E(e|H_{NP}) = \sum_i \int e P(e|\theta_i, H_{NP}) \pi(\theta_i) d\theta_i$$

$\pi(\theta)$  prior probability that  
True State of Nature (TSN) is  $\theta$   
built from previous measurements

$P(e|\theta_i, H_{NP})$  probability to observe evidence  $e$   
if TSN is  $\theta_i$   
obtained from simulation

# A multi-parameter model: pMSSM

## pMSSM

- phenomenological MSSM\*
  - 19 free parameters at the SUSY scale
  - well-motivated assumptions, reducing MSSM
  - no correlations between sparticle masses
- **rich phenomenology**

\*Minimal Supersymmetric extension of the Standard Model

# $\pi(\theta)$ for pMSSM

## Pre-LHC Measurements (PLMs)

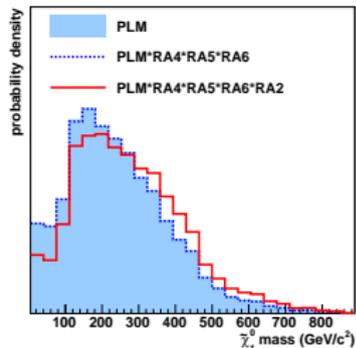
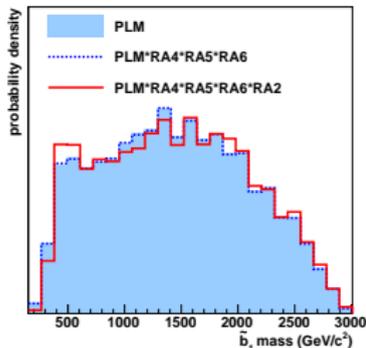
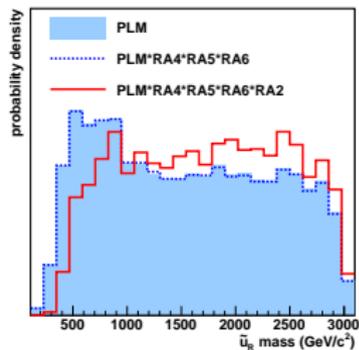
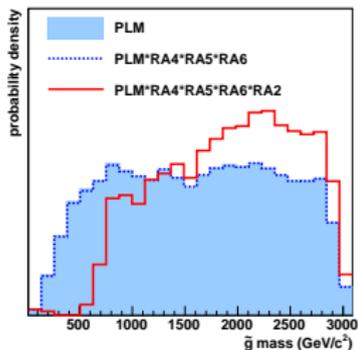
i	Observable	Limit	Likelihood function
1	$\Delta a_\mu$	$(28.7 \pm 8.0) \times 10^{-10} [e^+e^-]$ $(19.5 \pm 8.3) \times 10^{-10} [\text{taus}]$	Weighted Gaussian average
2	$BR(b \rightarrow s\gamma)$	$(3.55 \pm 0.34) \times 10^{-4}$	Gaussian
3	$BR(B_s \rightarrow \mu\mu)$	$\leq 4.7 \times 10^{-8}$	Upper limit
4	$R(B_u \rightarrow \tau\nu)$	$1.66 \pm 0.54$	Gaussian
5	$m_t$	$173 \pm 1.1$	Gaussian
6	$m_b(m_b)$	$4.19^{+0.18}_{-0.06}$	Two-sided Gaussian
7	$\alpha_s(M_Z)$	$0.117 \pm 0.002$	Gaussian
8	$m_h$	LEP & Tevatron (HiggsBounds)	$L_8 = 1$ if allowed. $L_8 = 10^{-9}$ if $m'_h$ sampled from $Gauss(m_h, 1.5)$ is excluded.
9	SUSY mass	LEP & Tevatron (micrOMEGAs)	$L_9 = 1$ if allowed $L_9 = 10^{-9}$ if excluded

# $\pi(\theta)$ for pMSSM

## CMS measurements

Analysis	Final state and signal region	$N$	$B$	$\delta B$	$\int \mathcal{L}$
RA2	Inclusive jets + $H_T^{miss}$ HT > 500, MHT > 350	12	10	2.5	882 pb <sup>-1</sup>
RA4	Single lepton + jets + $E_T^{miss}$ MET > 250, HT > 500	73	66.66	20.2	1100 pb <sup>-1</sup>
RA5	Same sign (SS) dileptons + jets + $E_T^{miss}$ Inclusive leptons, HT > 400, $E_T^{miss}$ > 120	1	2.3	1.2	980 pb <sup>-1</sup>
RA6	Opposite sign (OS) dileptons + jets + $E_T^{miss}$ HT > 300, $E_T^{miss}$ > 275	8	4.2	1.3	980 pb <sup>-1</sup>

# $\pi(\theta)$ for pMSSM



= a combined interpretation

# SUSY with $b$ -jets

about searches

optimization of searches

**SUSY with  $b$ -jets**

outlook

# SUSY with $b$ -jets

documented in SUS-11-006

## Search definition (previous version)

- ▶ PF object reconstruction
- ▶ at least 3 jets  
(anti-kt,  $p_T > 50$  GeV,  $|\eta| < 2.4$ )
- ▶ no isolated muons or electrons  
( $p_T > 10$  GeV)
- ▶  $b$ -jets: TCHEM
- ▶ search region defined by lower bounds on
  - $H_T = \sum |p_T^{jet}|$
  - $E_T^{miss}$
  - $\Delta\Phi(\vec{E}_T^{miss}, jets)$

# SUSY with $b$ -jets

## Optimization

“evidence”

$$e = \begin{cases} 0 & \text{if } S/\sqrt{S+B} < 3 \\ 1 & \text{if } S/\sqrt{S+B} > 3 \end{cases}$$

→  $E(e|H_{NP}) \approx$  probability for discovery under  $H_{NP}$

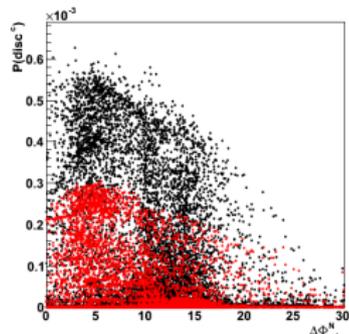
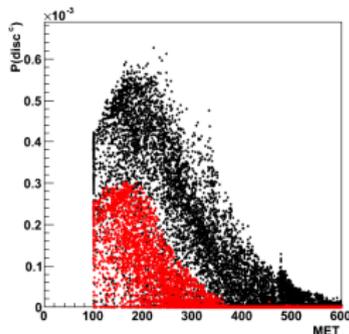
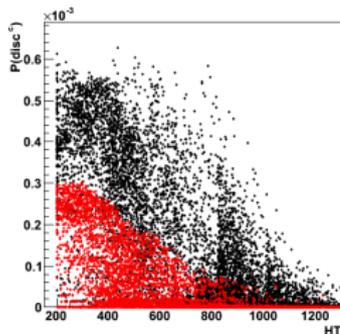
# SUSY with $b$ -jets

## Optimization

Discovery probability vs.

- lower limit on  $H_T$
- lower limit on  $E_T^{miss}$
- lower limit on  $\Delta\Phi_{min}^N$

red:  $1 \text{ fb}^{-1}$ , black:  $5 \text{ fb}^{-1}$



# SUSY with $b$ -jets

## Optimization

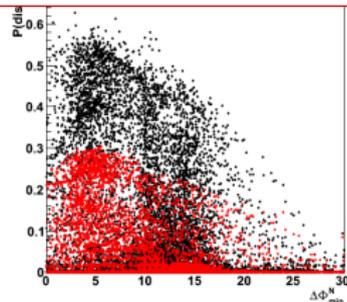
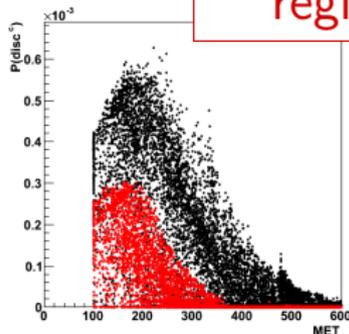
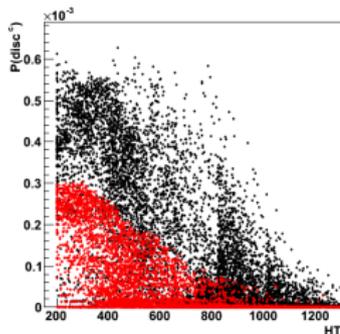
Discovery probability vs.

- lower limit on  $H_T$
- lower limit on  $E_T^{miss}$
- lower limit on  $\Delta\Phi_{min}^N$

red:  $1 \text{ fb}^{-1}$ , black:  $5 \text{ fb}^{-1}$

## Conclusions

- broad optima
- “low”  $E_T^{miss}$  and  $H_T$  regions favored



# SUSY with $b$ -jets

about searches

optimization of searches

SUSY with  $b$ -jets

**outlook**

# Outlook

## Proposal for the 2012 Analysis

- ▶ identical object definitions and variables
- ▶ multiple independent search regions
- ▶ shape analysis
- ▶ alternative Bayesian interpretation

... work in progress

**Bigger picture**

**$W/Z$  + jets studies**

**SUSY with  $b$ -jets**

**Summary**

# Summary

## A lot of progress on many fields

e.g.:

- SM measurements (W/Z + jets)
- new physics interpretation of measurements
- design of searches (SUSY with  $b$ -jets)

**Put the pieces together,  
Design the next generation of  
searches!**